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TWO MENGER-TYPE THEOREMS FOR TRANSFINITE GRAPHS  
AND SOME OPEN QUESTIONS INSPIRED BY THEM

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# TWO MENGER-TYPE THEOREMS FOR TRANSFINITE GRAPHS AND SOME OPEN QUESTIONS INSPIRED BY THEM

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*Abstract — Two Menger-type theorems are proven herein for transfinite graphs. They are established under some strong restrictions on the transfinite graphs. This leads to some open questions concerning more general Menger-type theorems for transfinite graphs.*

Let us refer to a conventional graph as a “0-graph” and to their vertices as “0-vertices.” Transfinite graphs of rank 1 (synonymously, 1-graphs) are constructed by connecting together infinite 0-graphs at their infinite extremities through 1-vertices. This can also be done for higher ranks of transfiniteness by connecting 1-graphs at their infinite extremities through 2-vertices to obtain a 2-graph, and so on to get  $\nu$ -graphs where  $\nu$  can be any natural-number rank or even a transfinite-ordinal rank. The theory of these structures was originally presented in [4] and developed further in [5]. All the terminology we use herein is explicated in those references except that we now speak of “ $\nu$ -vertices” instead of “ $\nu$ -nodes” and “edges” instead of “branches.” Moreover, we restrict our attention herein to “pristine” transfinite graphs, in which no vertex of any rank contains a vertex of lower rank.

There is a large literature on Menger’s theorem [1, page 121], which includes versions appropriate for conventionally infinite graphs [2], [3]. However, there have been no such results so far for transfinite graphs. The two Menger-type theorems stated herein are based on the assumption that the transfinite graph  $\mathcal{G}^\nu$  at hand is “stout” in addition to being pristine.

Let us start by recalling some definitions. We confine the rank  $\nu$  of the transfinite graph  $\mathcal{G}^\nu$  to  $0 \leq \nu \leq \omega$ ,  $\nu \neq \bar{\omega}$ , where  $\omega$  is the first transfinite ordinal and  $\bar{\omega}$  is the arrow rank preceding  $\omega$ . The same arguments work for ranks higher than  $\omega$ . A  $\nu$ -vertex is defined in

[5, pages 30 and 42], and a  $\nu$ -graph is defined in [5, pages 31 and 43]. A  $(\nu - 1)$ -section of  $\mathcal{G}^\nu$  is a maximal subgraph, every two edges of which are connected through a two-ended  $\rho$ -path for some rank  $\rho = 0, \dots, \nu - 1$  [5, page 49].  $\rho$ -paths are defined in [5, pages 33 and 44]. Two  $\nu$ -vertices are said to be  $\nu$ -adjacent if they are incident to the same  $(\nu - 1)$ -section [5, page 87]. Two endless paths (of any ranks) are called disjoint if no single vertex (of any rank) is in both paths. [5, page 33].

As for new definitions, we need the following. Two two-ended  $\nu$ -paths are called independent if no single vertex (of any rank) is in both paths except possibly for one or two terminal vertices of both paths. In other words, the independent paths may meet at their terminal vertices but no place else. A set of such pairwise independent two-ended  $\nu$ -paths is also called independent.

The  $\nu$ -graph  $\mathcal{G}^\nu$  is called stout if there exists a set  $\mathcal{P}^{\nu-1}$  of pairwise disjoint endless  $(\nu - 1)$ -paths satisfying the following two conditions:

- There is a bijection between  $\mathcal{P}^{\nu-1}$  and the set of all pairs of  $\nu$ -adjacent nonsingleton  $\nu$ -vertices.
- Each path in  $\mathcal{P}^{\nu-1}$  reaches the two  $\nu$ -vertices in the corresponding pair under that bijection.

For example, the 1-graph of Fig 1(a) is stout whereas the 1-graph of Fig. 1(b) is not stout.

A two-ended  $\nu$ -path in  $\mathcal{G}^\nu$  that terminates at two  $\nu$ -vertices  $n_a^\nu$  and  $n_b^\nu$  is called an  $n_a^\nu n_b^\nu$ -path. A set of  $\nu$ -vertices is said to separate two given  $\nu$ -vertices  $n_a^\nu$  and  $n_b^\nu$  if that set contains neither  $n_a^\nu$  nor  $n_b^\nu$  and if every  $n_a^\nu n_b^\nu$ -path meets at least one  $\nu$ -vertex of that set.

The stoutness of  $\mathcal{G}^\nu$  enables the lifting of Menger's conventional theorem into the context of transfinite graphs as follows:

**Theorem 1.** Assume that the  $\nu$ -graph  $\mathcal{G}^\nu$  has the following properties:

- (a)  $\mathcal{G}^\nu$  is  $\nu$ -connected.
- (b)  $\mathcal{G}^\nu$  has only finitely many nonsingleton  $\nu$ -vertices.
- (c)  $\mathcal{G}^\nu$  is stout.

Let  $n_a^\nu$  and  $n_b^\nu$  be two nonsingleton  $\nu$ -vertices in  $\mathcal{G}^\nu$  that are not  $\nu$ -adjacent. Then, the maximum number of independent  $n_a^\nu n_b^\nu$ -paths in  $\mathcal{G}^\nu$  is equal to the minimum number of  $\nu$ -vertices that separate  $n_a^\nu$  and  $n_b^\nu$ .

**Proof.** Replace each  $n_a^\nu n_b^\nu$ -path  $P^\nu$  in  $\mathcal{G}^\nu$  by an  $n_a^\nu n_b^\nu$ -path  $Q^\nu$  such that each endless  $(\nu - 1)$ -path in  $P^\nu$  reaching two consecutive  $\nu$ -vertices of  $P^\nu$  is replaced by the unique endless  $(\nu - 1)$ -path in  $\mathcal{P}^{\nu-1}$  reaching those two  $\nu$ -vertices. Let  $\mathcal{Q}^\nu$  be the set of those  $n_a^\nu n_b^\nu$ -paths  $Q^\nu$ .  $\mathcal{Q}^\nu$  is a subset of all the  $n_a^\nu n_b^\nu$ -paths in  $\mathcal{G}^\nu$ . By the stoutness of  $\mathcal{G}^\nu$ , the maximum number of independent  $n_a^\nu n_b^\nu$ -paths in  $\mathcal{G}^\nu$  cannot be greater than the maximum number of independent  $n_a^\nu n_b^\nu$ -paths in  $\mathcal{Q}^\nu$ , nor can it be less obviously. Let  $K$  be that number.

Now, create a “surrogate” 0-graph  $\mathcal{G}^{\nu \rightarrow 0}$  from  $\mathcal{G}^\nu$  as follows. Replace bijectively each nonsingleton  $\nu$ -vertex  $n^\nu$  in  $\mathcal{G}^\nu$  by a 0-vertex  $m^0$  in  $\mathcal{G}^{\nu \rightarrow 0}$ ; thus,  $n_a^\nu$  and  $n_b^\nu$  are replaced by  $m_a^0$  and  $m_b^0$  respectively. Also, replace bijectively each endless  $(\nu - 1)$ -path  $P^{\nu-1}$  in  $\mathcal{P}^{\nu-1}$  by an edge that is incident to the two 0-vertices in  $\mathcal{G}^{\nu \rightarrow 0}$  corresponding to the two  $\nu$ -vertices that  $P^{\nu-1}$  reaches. This determines a bijection between the paths in  $\mathcal{Q}^\nu$  and the  $m_a^0 m_b^0$ -paths in  $\mathcal{G}^{\nu \rightarrow 0}$ . By Menger’s theorem,  $K$  equals the minimum number of 0-vertices in  $\mathcal{G}^{\nu \rightarrow 0}$  that separate  $m_a^0$  and  $m_b^0$ .

Clearly, a set of  $\nu$ -vertices in  $\mathcal{G}^\nu$  separates  $n_a^\nu$  and  $n_b^\nu$  if and only if the corresponding set of 0-vertices in  $\mathcal{G}^{\nu \rightarrow 0}$  separates  $m_a^0$  and  $m_b^0$ . Therefore,  $K$  equals the minimum number of  $\nu$ -vertices in  $\mathcal{G}^\nu$  that separate  $n_a^\nu$  and  $n_b^\nu$ .  $\square$

In the event that  $\mathcal{G}^\nu$  has infinitely many  $\nu$ -vertices, we can state the following.

**Theorem 2.** Assume that the  $\nu$ -graph  $\mathcal{G}^\nu$  has the following properties.

- (a)  $\mathcal{G}^\nu$  is  $\nu$ -connected.
- (b)  $\mathcal{G}^\nu$  has infinitely many nonsingleton  $\nu$ -vertices.
- (c)  $\mathcal{G}^\nu$  is stout.

Let  $n_a^\nu$  and  $n_b^\nu$  be two nonsingleton  $\nu$ -vertices in  $\mathcal{G}^\nu$  that are not  $\nu$ -adjacent. Then, there are infinitely many independent  $n_a^\nu n_b^\nu$ -paths in  $\mathcal{G}^\nu$  if and only if no finite set of  $\nu$ -vertices in  $\mathcal{G}^\nu$  separates  $n_a^\nu$  and  $n_b^\nu$ .

**Proof.** The “only if” part is clear, for no finite set of  $\nu$ -vertices other than  $n_a^\nu$  and  $n_b^\nu$  can meet infinitely many independent  $n_a^\nu n_b^\nu$ -paths.

So consider the “if” part. Let  $\mathcal{Q}^\nu$  be the set of  $n_a^\nu n_b^\nu$ -paths defined in the proof of Theorem 1. choose any  $n_a^\nu n_b^\nu$ -path  $Q_1^\nu$  in  $\mathcal{Q}^\nu$ .  $Q_1^\nu$  has only finitely many  $\nu$ -vertices. Since no finite set of  $\nu$ -vertices separates  $n_a^\nu$  and  $n_b^\nu$ , there exists an  $n_a^\nu n_b^\nu$ -path  $P_2^\nu$  that does not meet any of the  $\nu$ -vertices of  $Q_1^\nu$ . Since  $\mathcal{G}^\nu$  is stout, we can replace  $P_2^\nu$  by an  $n_a^\nu n_b^\nu$ -path  $Q_2^\nu$  in  $\mathcal{Q}^\nu$  that does not meet any vertex (of any rank) of  $Q_1^\nu$ .  $Q_2^\nu$  also has only finitely many  $\nu$ -vertices. Therefore, there exists an  $n_a^\nu n_b^\nu$ -path  $P_3^\nu$  that does not meet any of the  $\nu$ -vertices of  $Q_1^\nu$  and  $Q_2^\nu$ . By the stoutness again, we can replace  $P_3^\nu$  by an  $n_a^\nu n_b^\nu$ -path  $Q_3^\nu$  in  $\mathcal{Q}^\nu$  that does not meet any of the vertices (of any ranks) of  $Q_1^\nu$  and  $Q_2^\nu$ . Continuing in this way, we generate an infinite set of independent  $n_a^\nu n_b^\nu$ -paths  $\{Q_1^\nu, Q_2^\nu, Q_3^\nu, \dots\}$  in  $\mathcal{G}^\nu$ .  $\square$

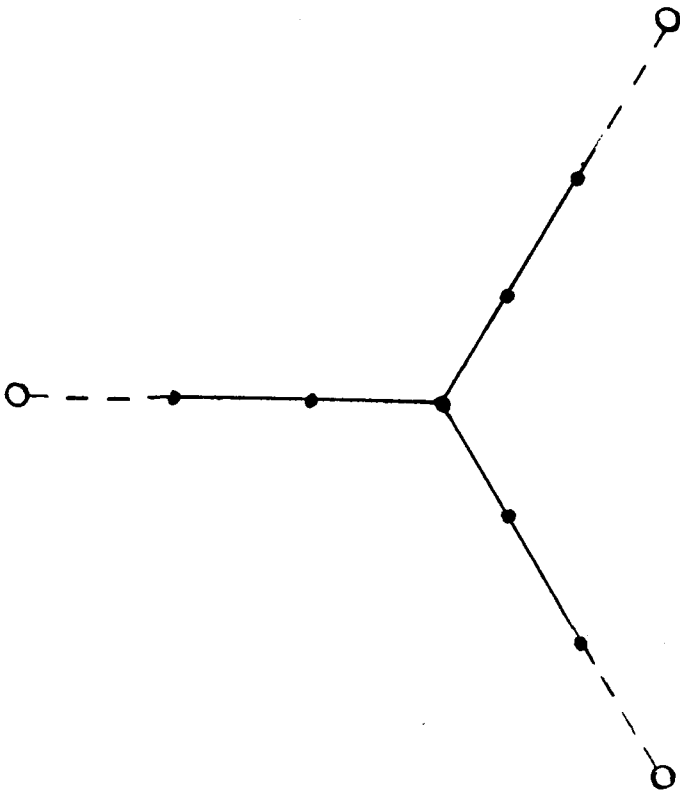
All this leads to the following open questions: Can a Menger-type theorem be stated for two vertices of different ranks? What can be said if the assumption of stoutness is dropped? Also, what if  $\mathcal{G}^\nu$  is not pristine? These questions appear to be much harder to answer.

## References

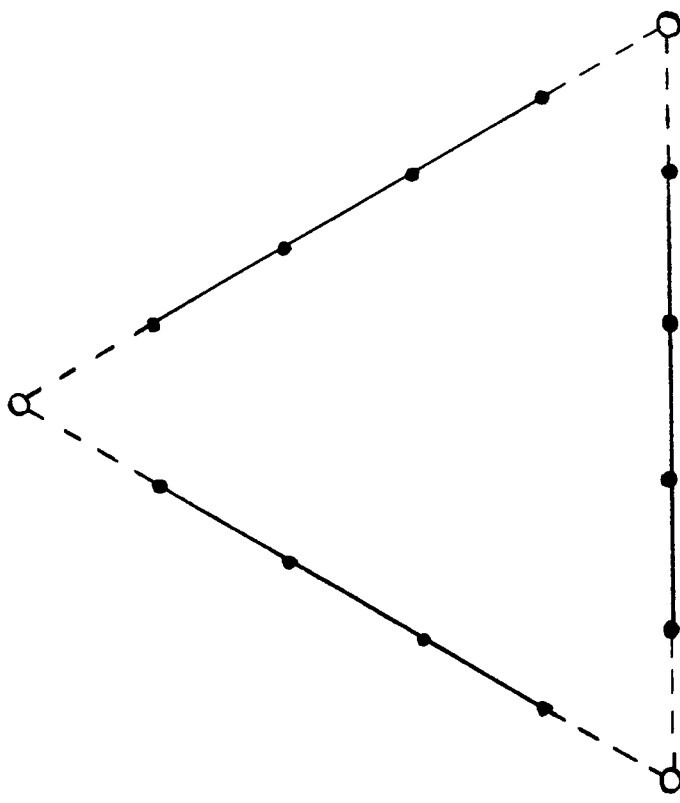
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Caption for Fig. 1.

**Fig. 1. Two 1-graphs. The first one shown in part (a) is stout, and the second one shown in part (b) is not stout. The dots represent 0-vertices, the small circles represent 1-vertices and the lines represent edges. Each pair of 1-nodes is connected through an endless 0-path in both cases.**



(b)



(a)