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# Modeling Heterogeneous Chemistry of Air Pollutants: Co-Adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on Soot Coated with Benzo[a]pyrene in an Urban Pollution Scenario

A Thesis Presented

by

### Marco Springmann

to

The Graduate School

in Partial Fulfillment of the Requirements

for the Degree of

Master of Arts

in

**Physics** 

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#### Abstract of the Thesis

### Modeling Heterogeneous Chemistry of Air Pollutants: Co-Adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on Soot Coated with Benzo[a]pyrene in an Urban Pollution Scenario

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This study assesses in detail the effects of heterogeneous chemistry on the particle surface and gas-phase composition by modeling the reversible co-adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on soot coated with benzo[a]pyrene (BaP) for an urban pollution scenario over a period of five days. By coupling the Pöschl-Rudich-Ammann (PRA) kinetic framework for aerosols to a box model version of the gas phase mechanism RADM2, it is possible to track individual concentrations of gas-phase and surface species over the course of several days. The flux-based PRA formulation takes into account changes in the uptake kinetics due to changes in the chemical gas-phase and particle surface compositions. This dynamic uptake coefficient approach is employed for the first time in a broader atmospheric context of an urban pollution scenario. The results show a decrease of the O<sub>3</sub> and NO<sub>2</sub> uptake coefficients by more than three orders of magnitude or 99.9% within the first six hours of simulation time.

Thereafter, periodic peaks of the uptake coefficients follow the diurnal cycle of gas-phase  $O_3$ -N $O_x$  reactions. Physisorption of water vapor prolongs the half-life of the coating substance BaP by up to a factor of seven by permanently occupying  $\sim 75\%$  of the soot surface. Soot emissions modeled by replenishing reactive surface sites lead to maximum gas-phase O<sub>3</sub> depletions of 41 ppbv for an hourly and 7.8 ppbv for a six-hourly replenishment cycle for the co-adsorption of  $O_3$  and  $NO_2$ . For the co-adsorption of  $O_3$ ,  $NO_2$ , and water vapor, the O<sub>3</sub> gas-phase concentration decreases due to the heterogeneous reactions and the hourly replenishment cycle by 19 ppbv or 12%, the NO<sub>2</sub> gas-phase concentration increases during nighttime by 0.1 ppbv or 16%, and the NO concentration increases by 11 pptv or 16% during daytime and 0.3 pptv or 58% during nighttime. This conceptual study highlights the interdependence of co-adsorbing species and their non-linear gas-phase feedback. It yields further insight into the atmospheric oxidation of particles and guides future modeling and experimental investigations of the heterogeneous chemistry and chemical aging of aerosols.

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The co-author(s) listed in the publication directed and supervised the research that forms the basis for this thesis.

# Chapter 1

## Introduction

Urban air pollution has been a danger to human health and the environment ever since urbanities existed [2, 3]. From ancient Rome to London during the Industrial Revolution, to Los Angeles in the 20th century, to Beijing and other metropoles today, severe air pollution can be found through time and around the world. One example of urban air pollution is shown in Figure 1.1 for the case of Beijing.



Figure 1.1: Smog episode in Beijing, China. Credits: Guang Niu, Getty Images

From the 13th to the 20th century, documented air pollution problems were primarily associated with high concentrations of sulfur dioxide (SO<sub>2</sub>) and soot particles originated from the combustion of coal and other raw materials. Due to a severe air pollution episode in London<sup>1</sup>, this kind of air pollution is often referred to as London smog, where the word *smog* describes the combination of smoke and fog, which was characteristic for air pollution episodes. The widespread use of the automobile coincided with the occurrence of another type of air pollution called *photochemical smog*. In air pollution events of such kind, air pollutants are produced photochemically, i.e., by chemical reactions in the presence of solar radiation. Due to its special severity in Los Angeles, it is also sometimes referred to as Los Angeles smog. Although distinct for these two cities, most air pollution events are characterized by a combination of high concentrations of particulate matter and photochemically produced air pollutants. This is also the case for the Beijing smog episode shown in Figure 1.1.

Urban air pollution episodes are caused by a combination of factors. One factor is the combustion of primarily fossil-fuels, chemicals and/or biomass, which is the source of primary pollutants. These pollutants are, e.g., nitrogen oxides  $(NO_x = NO + NO_2)$  and volatile organic compounds (VOCs), such as alkanes and other hydrocarbons, which are primarily emitted as vehicle exhaust, as well as particulate matter, which is also emitted from factories and power plants. Another factor is the meteorology. Cities are located in the planetary boundary layer, which are the lowest one to two kilometers of the atmosphere. For this altitude range, the temperature generally decreases with increasing altitude, so that pollutants emitted from the ground can disperse to higher altitudes and are thereby removed from the emission source. However, under certain conditions, a temperature inversion can form, in which the temperature increases with height. In these situations, air pollutants cannot disperse to higher altitudes, leading to a build-up of pollutants close to the ground. The conditions that facilitate temperature inversions are the emission of infra-red radiation from the ground, which is the case especially at night, the occurrence of winds that blow cool ocean air underneath warmer inland air, and the presence of subsiding high-pressure systems which warm from adiabatic compression. A third factor contributing to urban air pollution is the presence of sunlight as solar irradiation of the trapped primary air pollutants initiates the photochemical production of secondary air pollutants. These include oxidants, such as ozone  $(O_3)$ , which have adverse health effects. High concentrations of  $O_3$  cause eye-irritation, taint plants, damage materials, and cause respiratory problems.

<sup>&</sup>lt;sup>1</sup>In 1952, 4,000 excess deaths were attributed to a single smog episode in London.

A major component of urban air pollution that is not produced photochemically is particulate matter. Of special interest are soot particles, which are produced from incomplete combustion processes, e.g., in power plants or diesel engines. With particle diameters ranging below 2.5 µm, soot particles can penetrate deep into lungs and lead to decreased lung function and increased mortality [4, 5]. They are also the dominant absorber of visible solar radiation in the atmosphere and contribute significantly to regional and global warming [6, 7]. Soot is regularly coated with a variety of polycyclic aromatic hydrocarbons (PAHs), which are produced in the same process of incomplete combustion. In contrast to the gas-phase reactions governing the production and loss of ground-level O<sub>3</sub>, which are well known and incorporated into chemical gas-phase models, the chemistry involving soot is much less known. Reactions on the surface of soot particles can change the particles' physical properties, such as reflectivity, mass and size, but also their chemical composition which can influence the toxicity and reactivity of the particles.

Chemical reactions between the gas phase and the condensed phase, which includes the solid and liquid phases, are termed heterogeneous reactions. They can lead to changes in the composition of both the gas phase and the particle surface. The most prominent example of heterogeneous reactions in the atmosphere is the conversion of chlorine compounds to molecular chlorine (Cl<sub>2</sub>) on polar stratospheric clouds. The converting reactions, whose speed is greatly enhanced on the ice surfaces in comparison to the gas phase, lead to the strong ozone depletion over the Antarctic during polar spring, known as the ozone hole [8–11].

This study focuses on heterogeneous reactions in the lower altitudes of the troposphere, specifically in the planetary boundary layer. It investigates the the interaction of soot particles with other air pollutants and typical atmospheric constituents in the urban environment. Specifically, the competitive co-adsorption and subsequent surface reactions of  $O_3$ ,  $NO_2$ , and water vapor on soot particles coated with the PAH benzo[a]pyrene is modeled to determine the effects of these heterogeneous reactions on the particle and gas-phase compositions in a polluted urban environment. The model approach employs a novel kinetic model framework for aerosol surface chemistry and gas-particle interactions, which in reference to its authors is denoted as the Pöschl-Rudich-Ammann (PRA) framework [12]. It enables a detailed mathematical description of the physiochemical processes governing the uptake process and the particle surface reactions. This framework is implemented into the Second Generation Regional Acid Deposition Model (RADM2) [13], which is a well-established chemical gas-phase mechanism for modeling atmospheric chemistry

on a regional scale. It simulates diurnal changes in photolysis frequencies and gas-phase emissions and can therefore be used to place the heterogeneous reactions in a realistic atmospheric environment. This is the first time that such detailed heterogeneous chemistry is evaluated in an atmospheric context. From the modeling perspective, it is a novelty, since modeling studies often use simple parametrizations of the uptake process [e.g., 14, 15]. From the experimental perspective, it exceeds the complexity of current laboratory experiments which consider two adsorbents at most without a dynamic atmospheric context. Thus, this study shows the application of an extended atmospheric model system and can provide valuable information for the conception of new experiments.

This thesis is structured as follows. The second chapter provides a background in the reactions of air pollutants, the mathematical description of the chemical kinetics governing these reactions, and an overview of atmospheric models and model studies relevant to this study's model system. In the third chapter, the model approach followed in this study is outlined. The centerpiece of this thesis is a published academic paper in chapter four which presents and discusses the obtained model results. Additional results are also shown in the same chapter. The material in the appendices is used to supplement the chapters' contents.

## Chapter 2

# Background

This chapter provides the background for the undertaken modeling study. It includes information on air pollutants, their chemical interactions, and their representations in atmospheric models. It starts with presenting the photochemistry of gaseous air pollutants. Then, the characteristics of particulate matter, in particular soot, are discussed and laboratory studies on heterogeneous reaction between soot and gas-phase species are reviewed. After discussing the chemical kinetics with special emphasis on heterogeneous reactions, a brief introduction into atmospheric models and model studies of heterogeneous reactions on soot are presented. This chapter closes with summarizing the motivations, but also the limitations of the undertaken modeling study.

### 2.1 Photochemistry of Air Pollutants

Urban air pollution is characterized, on the gas-phase side, by the formation of  $O_3$  and other oxidants by photochemical reactions of  $NO_x$  and VOCs. The following is a basic illustration of these reactions. A more detailed account of the involved processes can be found in Finlayson-Pitts and Pitts [2] and Seinfeld and Pandis [16].

Groundlevel  $O_3$  is produced by the photolysis, i.e., the photodissociation, of nitrogen dioxide (NO<sub>2</sub>) to nitric oxide (NO) and excited atomic oxygen  $(O(^3P))$ ,

$$NO_2(g) + h\nu \to NO(g) + O(^3P)(g), \lambda < 420 \text{ nm},$$
 (2.1)

followed by the reaction of the atomic oxygen with molecular oxygen  $(O_2)$  [17]:

$$O(^{3}P)(g) + O_{2}(g) \xrightarrow{M} O_{3}(g)$$
, (2.2)

where M denotes the presence of a molecule M that carries off excess energy.

Some  $NO_2$  is emitted directly into the atmosphere by combustion processes [18], but most of it is formed by the oxidation of NO, the major nitrogeneous byproduct of combustion.

In the unpolluted background troposphere, NO is oxidized by  $O_3$ ,

$$NO(g) + O_3(g) \to NO_2(g) + O_2(g)$$
, (2.3)

which results, together with (2.1) and (2.2), in a photostationary-state relationship between the concentrations of  $O_3$ , NO, and  $NO_2$ .

In a polluted environment, the conversion from NO to NO<sub>2</sub> is part of the oxidation of reactive VOCs which are emitted alongside NO by combustion processes. The oxidation is initialized by reactive species, such as the hydroxyl radical (OH), which break down the VOCs into radicals, denoted by R, and, for the case of oxidation by OH, water vapor:

$$VOC(g) + OH \rightarrow R(g) + H_2O(g)$$
. (2.4)

The radical then reacts with molecular oxygen  $(O_2)$  to form a peroxy radical, denoted by  $RO_2$ , which then oxidizes NO to  $NO_2$ :

$$R(g) + O_2(g) \xrightarrow{M} RO_2(g)$$
, (2.5)

$$NO(g) + RO_2(g) \rightarrow NO_2(g) + RO(g)$$
. (2.6)

VOCs emitted in urban air include alkanes, alkenes, alkynes, aldehydes, ketones, alcohols, aromatics, and hemiterpenes. Among these, the greatest contributors to  $O_3$  formation can be determined by combining abundance and reactive ability of each VOC. For example, for a smog episode in Los Angeles in the year 1987, the five most important VOCs in terms of generating  $O_3$  were the aromatic hydrocarbons xylene and toluene, the alkene ethene, and the aldehydes acetaldehyde and formaldehyde [19, 20].

Some of these VOCs can also be broken down by photolysis and by reactions with the hydroperoxy radical  $(HO_2)$ , atomic oxygen (O), nitrate  $(NO_3)$ , and  $O_3$ . However, only OH can break down all of the VOCs. OH is produced in urban air by various reactions throughout the day. These include the photolysis of nitrous acid (HONO), also an emission product of automobiles, the photolysis of aldehydes with subsequent oxidation processes, and the photolysis of  $O_3$  with a subsequent reaction with water vapor.

The presence of sunlight is an essential ingredient of urban air pollution. It initiates the production of the OH and other radicals that enable the oxidation of NO to  $NO_2$  by VOCs. Then sunlight photodissociates  $NO_2$  to NO and O which then reacts with  $O_2$  to form  $O_3$ . In the absence of sunlight, the photol-

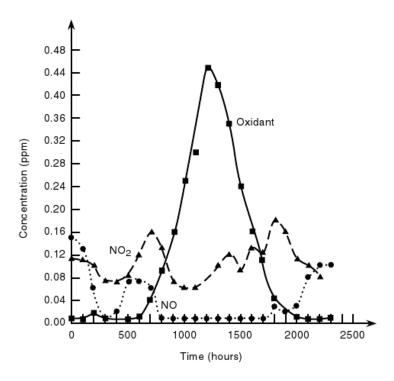


Figure 2.1: Diurnal variation of NO, NO<sub>2</sub>, and total oxidant in Pasadena, California, on July 25, 1973. Adapted from Finlayson-Pitts and Pitts [2]

ysis reactions shut off, thereby also haltering the  $O_3$  production. Continued emissions of NO can then lead to nighttime  $O_3$  depletion by reaction (2.3).

A typical diurnal variation of NO, NO<sub>2</sub>, and oxidants, such as  $O_3$ , is shown in Figure 2.1 for a severe photochemical air pollution episode in July 1973. In the early morning, the NO concentration rises and reaches a maximum coinciding with peak automobile traffic. Reactions (2.4) to (2.6) convert NO to  $NO_2$ . When enough  $NO_2$  has built up, reactions (2.1) and (2.2) set in, causing the high production of oxidants, mainly O<sub>3</sub>, at noon and and early afternoon. In the night, the oxidant concentration decreases again due to the reaction with NO via (2.3) and due the absence of sunlight which stops the photolytic reactions that led to its production.

Since in the night, NO<sub>2</sub> is not depleted by photolysis either, it can participate in reactions producing the nitrate radical NO<sub>3</sub> and dinitrogen pentoxide  $(N_2O_5)$ :

$$NO_2(g) + O_3(g) \rightarrow NO_3(g) + O_2(g),$$
 (2.7)

$$NO_2(g) + O_3(g) \rightarrow NO_3(g) + O_2(g),$$
 (2.7)  
 $NO_2(g) + NO_3(g) \stackrel{M}{\rightleftharpoons} N_2O_5(g).$  (2.8)

The gaseous  $N_2O_5$  can then react with liquid particles to produce nitric acid (HNO<sub>3</sub>) which can stay in dissolved form or desorb to the gas phase [e. g. 21]:

$$N_2O_5(g) + H_2O(l) \to 2HNO_3(aq)$$
. (2.9)

Reaction (2.9), together with reactions (2.7) and (2.8), convert the reactive nitrogen species  $NO_2$  and  $NO_3$  to the longer-lived nitrogen reservoir species  $HNO_3$ . If  $NO_x$  concentrations are low, this conversion can also influence  $O_3$  production.

Reaction (2.9) occurs between the gas phase and the condensed phase (here, the liquid phase) and is therefore one example of a heterogeneous reaction, which will be covered in more detail in the next section about particulate matter.

### 2.2 Particulate Matter in Air Pollution

Alongside gas-phase species that lead to photochemical smog episodes, also particulate matter (PM) is emitted in combustion processes. While large, millimeter-sized particles are removed in the combustion zone as bottom ash or wall deposits, or are collected in post-combustion gas cleaning devices, small, micrometer-sized particles travel with the combustion exhaust gas and contribute to ambient air pollution on both the urban and regional scale [22]. Such particles that are suspended in air are defined as *aerosols*.

#### Classification by Size

Figure 2.2 gives an overview of the sizes of atmospheric particles. The focus of this classification is on the micrometer-sized fine particles, often denoted as  $PM_{2.5}$ , i.e., particles with diameters smaller than 2.5  $\mu$ m. These can be subdivided into particles in the nucleation and accumulation mode [24]. The nucleation mode contains particles with diameters less than 0.1  $\mu$ m which can be directly emitted (primary) particles or newly nucleated (secondary) particles formed directly in the gas phase. Their size can increase due to coagulation with other particles and due to condensation of gases onto the particle. This can move the particles into the accumulation mode which contains particles with diameters between 0.1 and 2  $\mu$ m. Particles in this size range tend to accumulate in the atmosphere, since they do not settle out or agglomerate to form larger particles. The accumulation mode particles are likely to affect human health, since they penetrate deep into the lungs [25]. They also affect visibility by scattering and absorbing light, causing decreases in visibility and changes in the radiative budget [26]. Some of the particles in this size range

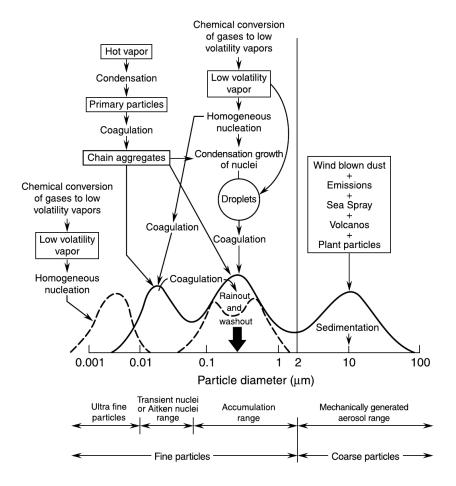


Figure 2.2: Idealized schematic of the size distribution of atmospheric aerosols with principle modes, sources, and indicated particle formation and removal mechanisms. Adapted from Finlayson-Pitts and Pitts [2], Whitby and Sverdrup [23].

are removed by rain. However, to be removed from the air by gravitational settling, the particles have to be larger than about 2  $\mu$ m and are then termed coarse mode particles. Since the fine particles in the nucleation and accumulation mode do not grow by condensation much larger than 1  $\mu$ m, coarse mode particles originate primarily from emissions. The characteristic residence times of aerosol particles in the atmosphere range from hours to weeks, depending on size, altitude, and atmospheric conditions [27, 28].

#### 2.2.1 Soot

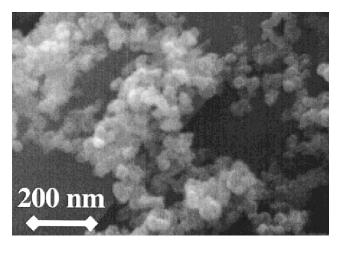
The particulate components emitted during the combustion of fossil fuels include fly ash (consisting of various traces metals), metals, sulfates, organic matter, and carbonaceous particles [24]. Carbonaceous aerosol components (organic compounds and black or elementary carbon) account for a large fraction of air particulate matter — about 40% of PM<sub>2.5</sub> [29]. About half of the total carbon content in urban air is comprised of black carbon or soot [29], which originates from the incomplete combustion of hydrocarbons, primarily from coal, diesel-fuel, and jet-fuel. In Los Angeles, 93% of soot has been attributed to motor vehicle sources; in Detroit, 47%; and in Western Europe, 70 to 90% [16, 30, 31].

#### Morphology of Soot

Soot particles are straight or branched agglomerates of elementary carbonaceous particles which are roughly spherical in shape and consist of graphene-like layers. Both, agglomerate and elementary soot particles are shown in Figure 2.3. Although the agglomerates can form larger, visible soot particles that have sizes up to a few micrometers, more than 90% of the emitted soot mass is constituted by sub-micrometer particles. The morphology and composition of soot particles varies from particle to particle. Most soot particles in polluted areas are found in the size range from 0.05 to 0.12  $\mu$ m [32]. The soot concentrations in urban areas can vary from 1.5 to 20  $\mu$ g m<sup>-3</sup> and from 0.2 to 2.0  $\mu$ g m<sup>-3</sup> in rural and remote areas [16].

The soot surface has a fractal-like (or porous) geometry [35], whose surface area cannot be directly assessed. Therefore indirect methods have to be used. The most commonly employed method is based on the Brunauer-Emmett-Teller (BET) theory [36] which assumes that under suitable pressure and temperature conditions, gas molecules physically adsorb on surfaces in multiple layers with no interaction between the layers. Knowing the adsorbed gas quantity, the surface area can be determined by  $S = N_A \sigma_{X_i} n_m$ , where  $N_A$  is Avogadro's number,  $\sigma_{X_i}$  is the adsorbent's molecular cross section, and  $n_m$  is the amount adsorbed (in moles per gram of adsorbent). The so-determined surface areas of soot can range up to several hundred m<sup>2</sup> per gram [37, 38].

The soot particles' morphology and chemical composition change with time. As they age, they are "mixed" with other particles through coagulation, condensation of secondary aerosol compounds, and cloud processing on characteristic time scales of a few days [39]. This eventually leads to an internal aerosol mixture consisting of elementary and organic carbon and small amounts of other elements, such as oxygen, nitrogen, and hydrogen [16]. Fresh



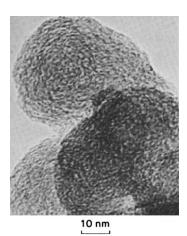


Figure 2.3: Agglomerates of diesel soot particles (left), imaged with a high-resolution scanning electron microscope (HRSEM); adapted from Arens et al. [33]. Elementary diesel soot particles (right), imaged with a transmission electron microscope; adapted from Nienow and Roberts [34].

soot particles also contain compounds other than graphitic carbon. For example, soot particles emitted from spark-ignition engines consist of a core of elementary carbon covered with a layer of polycyclic aromatic hydrocarbons (PAHs) — organic compounds with more than one benzene ring — and an outermost shell of organic and inorganic compounds [40, 41]. Although soot particles tend to be hydrophobic, the coating of soot particles with organic compounds that act as surfactants increases their hygroscopicity [42].

#### **Soot Coating**

Among the PAHs that are found to coat soot particles are 5- and 6-ring PAHs, such as benzo[a]pyrene (BaP), a 5-ring PAH with the chemical formula C<sub>20</sub>H<sub>12</sub> whose structure is shown in Figure 2.4. BaP was found to be identical to carcinogenic substances in coal tar and induced cancer in mice [43]. It also was identified in carcinogenic extracts of ambient air particles [44–47]. This led the International Agency for Research on Cancer (IARC), as well as the U. S. Environmental Protection Agency (EPA) to classify BaP as animal carcinogen and "probable" human carcinogen [48, 49]. In addition, BaP was found to be a promutagen that can be activated and converted to mutagenic metabolites. Levels of BaP found in urban environments are, e.g., about 1.6 ng m<sup>-3</sup> in urban Boston air [50] and 2.0 ng m<sup>-3</sup> in Central London [51].

The photodissociation of BaP and the toxicity of its reaction products was shown to depend on the substrate surface, in particular its carbon content.

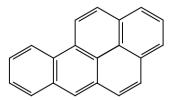


Figure 2.4: Molecular structure of benzo[a]pyrene

While sunlight contributes to BaP degradation when deposited on glass surfaces, thin-layer chromatography plates, and inorganic oxides, such as  $SiO_2$  and  $Al_2O_3$  [52–54], BaP deposited on fly ash substrates and carbon black (soot) are found to be photostable [52, 55, 56]. And, while upon ozonolysis, i.e., exposure to  $O_3$ , BaP deposited on glass fiber filters was shown to react readily to form direct-acting mutagens [57], the ozonolosis of BaP dissolved in liquid solution and of BaP deposited on soot particles showed a decrease or steadiness in the toxicity of the BaP degradation products [58, 59].

In laboratory experiments, BaP is often regarded as a proxy for the wider class of polycyclic aromatic compounds (PACs), but also for soot in general due to its structural similarities to the soot surface [60].

### 2.3 Heterogeneous Reactions on Soot

Laboratory studies have indicated that soot interacts chemically with a variety of gas-phase speciess [29, 34, 61].

#### O<sub>3</sub> and Soot

Ozonolysis experiments of soot showed rapid initial gas uptake followed by a slower uptake regime during which surface reactions occurred [60, 62–64]. While the detailed reaction pathways of the surface reactions is not always clear, up to 29 reaction products with 3 main products have been identified from the ozonolysis of BaP deposited on soot [59]. Some of these reaction products can be volatile species, such as CO, CO<sub>2</sub>, and H<sub>2</sub>O, which desorb to the gas phase [65, 66].

#### NO<sub>2</sub> on Soot

The same two-step kinetic process, fast initial uptake followed by a slower uptake regime, was found in experiments probing the adsorption and reactivity of NO<sub>2</sub> on soot [21, 33, 67–69]. Fourier transform infrared spectroscopy (FTIR)

identified absorption bands of an organic nitrate R-O-NO<sub>2</sub> (the R stands for the soot bulk) and nitro compound R-NO<sub>2</sub> on soot after exposure to NO<sub>2</sub> [70].

Studies also indicated the subsequent formation and desorption of nitrous acid (HONO), which is important for initiating daytime photochemistry by providing a source for the hydroxyl radical (OH) [33, 68, 71, 72] via:

$$NO_2(s) + RH(s) \rightarrow HONO(g) + R(s)$$
, (2.10)

$$HONO(g) + h\nu \rightarrow OH(g) + NO(g), \lambda < 400 \text{ nm},$$
 (2.11)

where RH is part of a functional group on the surface of the soot particle, from which a hydrogen atom (H) can be abstracted. In the presence of sunlight, HONO rapidly photodissociates to form the OH radical which can then initialize the oxidation of NO to  $NO_2$ . This contributes to  $O_3$  production as described in the last section.

While the ozonolysis of soot coated with BaP resulted in reaction products of similar toxicity [59], the exposure of particle-associated 4- and 5-ring PAHs to NO<sub>2</sub> (and traces of HNO<sub>3</sub>) in air resulted in significant yields of nitro-PAH, which are direct-acting, high-potency mutagens [57, 73].

#### H<sub>2</sub>O on Soot

The uptake of water affects the soot particles' ability to act as cloud condensation nuclei [74, 75]. It was found that water uptake increases with soot aging in air, surface oxidation, relative humidity, in the presence of physisorbed  $O_2$ , and after the treatment with  $O_3$  [74–76].

Two mechanisms of soot-water interaction were identified [37]: In the absorption mechanism, water dissolutes into the soot bulk and forms a soot-water-soluble coverage; in the adsorption mechanism, water molecules adsorb onto soot surface sites. Which mechanism is followed depends on the soot particle's hydrophilicity, which can vary from hygroscopic (very hydrophilic) to hydrophobic, and it also depends on the kind of soot and its production process [37].

In an experiment investigating the interaction of  $O_3$  and  $H_2O$  with soot coated with BaP, the results indicated a rapid, reversible, and competitive co-adsorption of  $O_3$  and  $H_2O$  [60].

#### $HO_2$ and $NO_3$ on Soot

Other gases that can be taken up by soot surfaces or its PAH coating include  $HO_2$  [21, 77] and  $NO_3$  [78]. In the atmosphere,  $HO_2$  is a direct oxidant of NO to  $NO_2$  and a OH source via reaction (2.6) (with R replaced by H) and

therefore of importance for the gas-phase  $NO_2$  and  $O_3$  production. However, less is known about its reaction mechanism on the surface.  $NO_3$  was found to be the dominant adsorbent among  $N_2O_5$ ,  $NO_2$ ,  $HNO_3$ , and  $O_3$  on pure 4-ring PAH surfaces. In the atmosphere,  $NO_3$  uptake would decrease the efficiency of reaction (2.8) in forward-direction, thereby lowering the  $O_3$  reducing effect of reaction (2.9). However, as mentioned in section 2.2.1, the PAH substrate has a great influence on the possible reaction pathways, so that an extrapolation to soot coated with PAHs might not be valid.

In each of the experiments described above, the efficiency of the uptake of gas-phase species on soot particles is quantified by an uptake coefficient, which is part of the chemical kinetics of heterogeneous reactions. These, as well as the mathematical representation of homogeneous reactions will be discussed in the next chapter.

### 2.4 Chemical Kinetics

Chemical kinetics represent a reaction mathematically. They express a relationship between the reactants' and the products' concentrations and can therefore be used to predict the concentrations of the chemical species that participate in the reaction at specific points in time, which is essential for modeling chemical processes. On the other hand, if the species' concentrations are measured experimentally, the chemical kinetics can be used to determine the reaction rate, i.e., the speed of the reaction and infer the concrete reaction pathway. Thus, the derivation of process-specific chemical kinetics reconciles laboratory measurements with the underlying physiochemical processes.

This section first introduces the general reaction kinetics, which is valid for reactions occurring in the same phase, e.g., in the gas phase. Then, heterogeneous kinetics are described, first, by a classic framework, mostly used for the interaction between gas-phase species and liquid particles, and then by a novel approach, which offers a comprehensive kinetic framework which is also valid for the interaction between gas-phase species and solid particles, such as soot.

### 2.4.1 Homogeneous Kinetics

Elementary reactions, i.e., reactions that cannot be broken down into two or more simpler reactions, can consist of one, two, or three reactants, correspondingly referred to as unimolecular, bimolecular, and termolecular processes, respectively. In most atmospheric cases, termolecular reactions involve molecular nitrogen  $(N_2)$  and molecular oxygen  $(O_2)$  as the third molecule which acts

as an inert stabilizer of the energy-rich intermediates formed by the reaction of the other two species by carrying away the excess energy and thereby preventing their dissociation back into the reactants. In such cases, the colliding third body is denoted by the symbol M. Reaction (2.2) is an example of such a reaction.

The majority of elementary reactions in the atmosphere are bimolecular, i.e., they consist of two reactant species. In general form, such a reaction can be written as a *stoichiometric equation*:

$$a A + b B \rightarrow c C + d D$$
, (2.12)

where a, b, c, and d are stoichiometric coefficients quantifying the rate of disappearance and appearance, respectively, of the chemical species A, B, C, and D.

The change in the concentration of each reactant and product with time, i.e., the rate of reaction r, is in proportion to the corresponding stoichiometric coefficient defined, by convention, as:

$$r = -\frac{1}{a}\frac{d[A]}{dt} = -\frac{1}{b}\frac{d[B]}{dt} = \frac{1}{c}\frac{d[C]}{dt} = \frac{1}{d}\frac{d[D]}{dt},$$
 (2.13)

where the square brackets denote the concentration of the species in brackets.

While the rate of reaction relates the temporal changes in the species' concentrations to each other, the *rate equation* (or rate law) quantifies these temporal changes by an absolute value. For the elementary generalized equation (2.12), the rate law is given by

$$r = k[\mathbf{A}]^a[\mathbf{B}]^b, \qquad (2.14)$$

where k is the *rate constant*, which relates the rate of the reaction to the concentrations of the reactants.

The rate equation for the reaction (2.12) can now be written by equating (2.13) with (2.14), e. g., for the loss of molecules A as

$$-\frac{1}{a}\frac{d[A]}{dt} = k[A]^{a}[B]^{b}. {(2.15)}$$

The rate constant k can be temperature, as well as pressure dependent. The temperature dependence is empirically parametrized by the Arrhenius equation:

$$k = Ae^{-\frac{E_a}{RT}}, (2.16)$$

where R is the gas contant (in J  $K^{-1}$  mol<sup>-1</sup>), T the temperature (in Kelvin),

 $E_{\rm a}$  the activation energy (in J mol<sup>-1</sup>), and A a preexponential factor characteristic of the particular reaction. For most reactions in the troposphere, A is temperature independent or varies only insignificantly. However, for reactions in which the activation energy is small or zero, A can display a non-negligible temperature dependence, of the form  $A = BT^n$ , where the number n and the temperature-independent constant B are fitted to the specific reaction. Also the form of  $A = A(T/T_0)$  is used, where  $T_0$  is a reference temperature. Since atmospheric termolecular processes often involve an inert molecule M, usually  $N_2$  and  $O_2$  whose concentrations are pressure-related, the rate constant can also exhibit a pressure dependence, which was parametrized by Troe [79] as

$$k = \frac{k_0 k_\infty}{k_0 + k_\infty} F \,. \tag{2.17}$$

The parameters  $k_0$  and  $k_\infty$  are high- and low-pressure limiting values, which are combinations of rate constants for the formation of an excited intermediate, its re-dissociation, and the collision with molecule M. F is a (vibrational) broadening factor that also depends on  $k_0$ ,  $k_\infty$ , and the temperature. However, in the following, the reaction rate will simply be denoted by k, without referring to its potential temperature and pressure dependence explicitly.

For elementary reactions, the coefficients a and b in (2.14) are the same stoichiometric coefficients as in reaction (2.12). The sum of the exponents a and b is called the *order* of the reaction, e.g., for the reaction  $A + B \rightarrow C + D$ , the rate equation for the loss of species A would be  $-\frac{d[A]}{dt} = k[A][B]$ , so the reaction would be second order, since it is first order with respect to each reactant.

In reactions in which the concentration of one reactant is much larger than the concentration of the other reactant or reactants, it is customary to define a pseudo-rate-constant, which includes the larger reactant's concentration. For example, in tropospheric termolecular reactions which involve an inert collision molecule M, a pseudo-second-order rate constant  $k^{\rm bi}$  can be defined which includes the concentration of M:

$$r = k^{\text{ter}}[A]^2[M] = k^{\text{bi}}[A]^2$$
. (2.18)

For *overall* reactions, which include two or more elementary reactions, the exponents of the rate equations may be different from the stochiometric coefficients in the stochiometric equation and a generalized rate equation, for a

species  $X_i$ , can be written as:

$$r_i = \frac{d[X_i]}{dt} = \sum_{j=1}^{N_R} c_{ij} k_j \prod_{k=1}^{N_j} [X_k]^{c_{kj}}, \qquad (2.19)$$

where  $c_{ij}$  and  $c_{kj}$  are stoichiometric coefficients; j numbers the reactions in which  $X_i$  is produced and lost, so that  $N_R$  is the total number of involved reactions; k numbers the participating reactants, so that  $N_j$  is the total number of reactants; and  $k_j$  is the rate constant for reaction j. For example, the overall rate equation for  $O_3$  taking into account reactions (2.2) and (2.3) is

$$\frac{d[O_3]}{dt} = k_{(2.2)}[O][O_2] - k_{(2.3)}[NO][O_3], \qquad (2.20)$$

where the rate constants are denoted by the corresponding reactions.

#### 2.4.2 Photolytic Reactions

As described in section 2.1, photolytic reactions play a crucial role in urban air pollution. They can be described as first-order reactions and are therefore included in the generalized rate equation (2.19). The general reaction and rate equation for the photodissociation of a molecule A, upon light absorption, are:

$$A + h\nu \rightarrow B + C$$
, (2.21)

$$\frac{d[\mathbf{A}]}{dt} = -k_{\mathbf{p}}[\mathbf{A}] , \qquad (2.22)$$

where  $h\nu$  is the energy of a quantum of light with h being the Planck's constant (in J s), and  $\nu$  the light's frequency. The rate constant  $k_p$ , also called the *photolysis rate*, is given by:

$$k_{\rm p} = \int_{\lambda} \phi(\lambda) \sigma(\lambda) F(\lambda) d\lambda ,$$
 (2.23)

where  $\phi(\lambda)$  is the quantum yield for photodissociation for a specific wavelength  $\lambda$ , which quantifies the relative efficiency of the photochemical or photophysical process, i.e., number of excited molecules by the process divided by the total number of photons absorbed;  $\sigma(\lambda)$  is the absorption cross section for wavelength  $\lambda$ ; and  $F(\lambda)$  is the spherically integrated actinic flux, which describes the total intensity of light of wavelength  $\lambda$  including direct, scattered, and reflected radiation reaching molecule A.

#### 2.4.3 Heterogeneous Kinetics

While the reaction kinetics mentioned in the previous section can be applied in a straight forward manner to chemical reactions in the gas phase and in solution, several other processes that influence the reaction kinetics need to be taken into account for heterogeneous reactions between the gas phase and the condensed phase.

The first general account for such atmospheric heterogeneous reactions was given for the interactions of gas-phase species with aqueous solutions, since such aqueous solutions are present in the atmosphere in the form of clouds, fogs, rain, and particulate matter coated with a liquid film [2]. An overview of the process governing the heterogeneous reactions between the gas phase and the liquid phase is given in Figure 2.5. As shown in the figure, the uptake of a gas into a liquid, including subsequent reactions, is determined by several physical and chemical processes. In order for heterogeneous reactions to take place, the gas has to first diffuse to the interface. At the interface, it can bounce off or is taken up by the surface. On the surface, the adsorbent can either react, or dissolve and diffuse into the bulk, where it may subsequently react as well. Reaction products and/or the adsorbent may evaporate again to the gas phase. This framework can also be adapted for the uptake on solid particles, for which chemical reactions occur on the surface of the particle.

Two important parameters for the uptake process are the accommodation coefficient  $\alpha$  and the net uptake coefficient  $\gamma_{\text{net}}$ . The accommodation coefficient, also called the sticking coefficient, denotes the fraction of gas-condensed phase collisions that result in uptake of the gas by the condensed phase:

$$\alpha = \frac{\text{Number of gas molecules taken up by the surface}}{\text{Number of gas-surface collisions}} \,. \tag{2.24}$$

While desorption and evaporation back into the gas phase are excluded in this definition, they are included in the definition of the net uptake coefficient  $\gamma_{\text{net}}$ , sometimes referred to as uptake probability. It is defined as the net rate of uptake of the gas normalized to the rate of gas-surface collisions:

$$\gamma_{\text{net}} = \frac{\text{Number of gas molecules lost due to uptake}}{\text{Number of gas-surface collisions}}.$$
(2.25)

In experiments, the adsorbent-specific  $\gamma_{\text{net}}$  is inferred from the adsorbent's net gas-phase loss due to uptake on a particle. This can be done, if the adsorbent's collision flux,  $J_{\text{coll,X.}}$ , and the particle surface concentration in air,

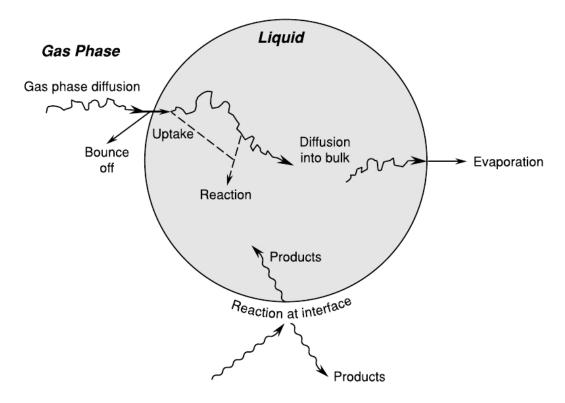


Figure 2.5: Schematic diagram of uptake and reaction of gases in liquids. Adapted from Finlayson-Pitts and Pitts [2].

[PS]<sub>g</sub>, are known, via the relationship:

$$\left[\frac{\mathrm{d}}{\mathrm{dt}}[X_i]_{\mathrm{g}}\right]_{\mathrm{uptake}} = -J_{\mathrm{coll},X_i}\gamma_{\mathrm{net},X_i}[\mathrm{PS}]_{\mathrm{g}}, \qquad (2.26)$$

where  $X_i$  denotes the specific adsorbent.

The collision flux, i.e., the rate of gas-surface collisions of a molecule  $X_i$  is given by

$$J_{\text{coll},X_i} = [X_i]_g \frac{\omega_{X_i}}{4} , \qquad (2.27)$$

where  $[X_i]_g$  is the adsorbent's gas-phase concentration and  $\omega_{X_i}$  is the mean thermal velocity of molecule  $X_i$  given by  $\omega_{X_i} = \sqrt{8RT/(\pi M_{X_i})}$ , in which R is the universal gas constant (in J K<sup>-1</sup> mol<sup>-1</sup>), T is the absolute temperature (in K), and  $M_{X_i}$  is the molar mass of species  $X_i$  (in g mol<sup>-1</sup>).

By inserting equation (2.27) into (2.26), the net gas-phase loss of adsorbent

 $X_i$  can be obtained in more explicit form as

$$\left[\frac{\mathrm{d}}{\mathrm{dt}}[\mathbf{X}_i]_{\mathbf{g}}\right]_{\mathrm{uptake}} = -\gamma_{\mathrm{net},\mathbf{X}_i}[\mathbf{PS}]_{\mathbf{g}}[\mathbf{X}_i]_{\mathbf{g}} \frac{\omega_{\mathbf{X}_i}}{4} = -k_{\mathbf{g},\mathbf{p},\mathbf{X}_i}[\mathbf{X}_i]_{\mathbf{g}}, \qquad (2.28)$$

where  $k_{\mathrm{g,p,X}_i} = \gamma_{\mathrm{net,X}_i}[\mathrm{PS}]_{\mathrm{g}} \frac{\omega_{\mathrm{X}_i}}{4}$  is a pseudo-first order rate coefficient that can be defined to treat the gas-phase uptake as a pseudo-first order loss mechanism with respect to the adsorbent's gas-phase concentration.

Equation (2.28) is a dynamic equation, since the adsorbent's gas-phase concentration  $[X_i]_g$  and the particle surface concentration  $[PS]_g$  can change with time. This is also true for the net uptake coefficient  $\gamma_{\text{net},X_i}$ , which contains all physiochemical processes illustrated in Figure 2.5, such as desorption, dissolution and diffusion, and surface reactions. Thus, the explicit form of  $\gamma_{\text{net},X_i}$  determines not only the net gas-phase uptake, but also the surface composition. Resolving these different processes enables a fundamental understanding of the uptake process.

In the following, two models with different parametrizations of  $\gamma_{\text{net},X_i}$  will be presented. These are the resistor model, which decouples the involved physiochemical processes, and the PRA model framework, which employs a flux-based approach that emphasizes the dynamic nature of the uptake process.

#### Resistor Model

The uptake of a gas by the condensed phase followed by its reactions can be described by a series of coupled differential equations [80], which in most cases have to be solved numerically. The resistor model [81, 82] is an approximation, in which the individual processes governing the uptake are treated as resistances in analogy to an electric circuit. An outline of this model is shown in Figure 2.6. Dimensionless "conductances"  $\Gamma$  are associated with each process, reflecting the rate (or speed) of each process normalized to the rate of gas-surface collisions. The corresponding "resistances" are given by  $1/\Gamma$ . The net resistance, corresponding to the inverse of the net uptake coefficient,  $1/\gamma_{\rm net}$ , is obtained by combining the individual resistances in analogy to an electric circuit, i.e., by adding the resistances connected in series and by adding their inverse for resistances connected in parallel:

$$\frac{1}{\gamma_{\text{net}}} = \frac{1}{\Gamma_{\text{g}}} + \frac{1}{\alpha} + \frac{1}{\Gamma_{\text{rxn}} + \Gamma_{\text{sol}}}, \qquad (2.29)$$

where  $\alpha$  is the accommodation coefficient,  $\gamma_{\rm net}$  the net uptake coefficient,  $\Gamma_{\rm g}$  the conductance (=normalized rate) of gas-phase diffusion,  $\Gamma_{\rm rxn}$  the conductance for reaction in the aqueous phase, and  $\Gamma_{\rm sol}$  the conductance for solubility and

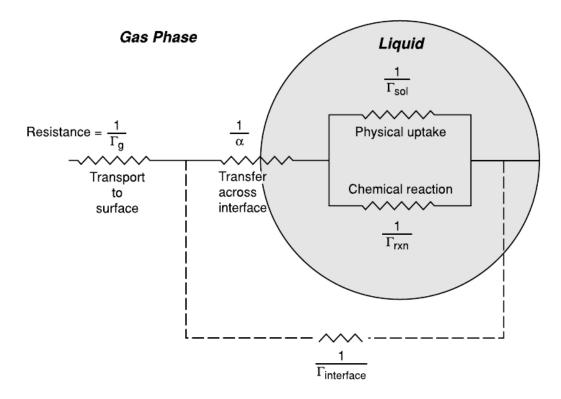


Figure 2.6: Schematic of resistor model for diffusion, uptake and reaction of gases with liquids.  $\Gamma_{\rm g}$  represents the transport of gases to the surface of the particle,  $\alpha$  the mass accommodation coefficient for transfer across the interface,  $\Gamma_{\rm sol}$  the solubilization and diffusion in the liquid phase,  $\Gamma_{\rm rxn}$  the bulk liquid reaction, and  $\Gamma_{\rm interface}$  the reaction of the gas at the interface. Adapted from Finlayson-Pitts and Pitts [2].

diffusion into the bulk.

Under the assumption that every collision leads to an uptake, which is often the case for gas uptake by liquids, the rate of diffusion of a gas-phase molecule  $X_i$  to the particle surface is given by  $2\pi D_g d[X_i]_g$  [83], where  $D_g$  is the gas diffusion coefficient and d is the particle's diameter. Normalizing this rate to a spherical particle surface of  $\pi d^2$  and to the collision rate (2.27) yields

$$\Gamma_{\rm g} = \frac{8\pi D_{\rm g} d[X_i]_{\rm g}}{\pi d^2 [X_i]_{\rm g} \omega_{\rm X_i}} = \frac{8D_{\rm g}}{\omega_{\rm X_i} d} \,.$$
 (2.30)

For such rapid uptake, the Boltzmann velocity distribution close to the surface is distorted, so that the speed towards the surface is effectively doubled. The

overall resistance is then given by

$$\frac{1}{\Gamma_{\rm g}} = \frac{\omega_{\rm X_i} d}{8D_{\rm g}} - \frac{1}{2} \,. \tag{2.31}$$

An expression for the rate of dissolution can be obtained by assuming that the dissolution of gas-phase molecules occurs in a thin layer of thickness  $(D_1t)^{1/2}$ , where  $D_1$  is the diffusion coefficient in the liquid phase, and that the layer is in Henry's law equilibrium, i.e., the solubility of the gas in the liquid is proportional to the pressure of the gas above the liquid,  $[X_i] = HP_{X_i}$ , where H is the molecule-specific Henry's law constant and  $P_{X_i}$  is the pressure of the gas above the liquid. Then,

$$J_{\text{sol}} = [X_i]_{gs} HRT \sqrt{\frac{D_1}{\pi t}}, \qquad (2.32)$$

where  $[X_i]_{gs}$  is the gas-phase concentration of  $X_i$  immediately adjacent to the interface, R the gas constant, and t the time of exposure of the liquid to the gas. The rate of dissolution decreases with time of exposure of the liquid to the gas, since more evaporation back to the gas phase takes place when the concentration of the dissolved species increases. Normalizing by the flux rate of gas-surface collisions — with  $[X_i]_{gs} = [X_i]_g$ , since gas-phase diffusion is accounted for by the decoupled diffusion conductance — the conductance of solubility is given by:

$$\Gamma_{\rm sol} = \frac{4HRT}{\omega_{\rm X_i}} \sqrt{\frac{D_1}{\pi t}} \,. \tag{2.33}$$

If an irreversible, first-order reaction takes place in the interface layer in addition to diffusion and dissolution, and the solubility of the reaction product is assumed to be large, the conductance of solubility can be adapted to formulate the conductance of reactions:

$$\Gamma_{\rm rxn} = \frac{4HRT}{\omega_{\rm X_i}} \sqrt{D_1 k} \left[ \coth\left(\frac{r}{l}\right) - \frac{l}{r} \right] , \qquad (2.34)$$

where k is the reaction constant, r the particle radius, and l is the diffusoreactive length, which is a measure of the distance from the interface at which the reaction occurs and is defined by  $l = \sqrt{D_1/k}$ . The second term in parenthesis accounts for a decreased rate of reaction in small particles, for which the reaction occurs throughout the particle volume, i.e., the diffuso-reactive length is the same, or greater than, the particle radius.

Combining equations (2.31) to (2.33), the net resistance can be written as:

$$\frac{1}{\gamma_{\text{net}}} = \frac{1}{\Gamma_{\text{g}}} + \frac{1}{\alpha} + \frac{\omega_{X_i}}{4HRT\left(\sqrt{\frac{D_1}{\pi t}} + \sqrt{D_1 k} \left[\coth\left(\frac{r}{l}\right) - \frac{l}{r}\right]\right)}.$$
 (2.35)

Equation (2.35) is essentially an equilibrium expression, since, with the exception of the dissolution conductance, all conductances are time-independent. This is justified for the uptake onto liquid particles, since the dissolution into the liquid bulk equilibrates the bulk concentration and the outside pressure.

However, the resistor model, in the form of equation (2.35), has several shortcomings when adapting it to heterogeneous reactions between the gas phase and solid particles, where surface saturation effects introduce time-dependencies. Especially, the surface reactions on solid particles are generally different from those within a bulk liquid. As outlined in section 2.3, reactions on solid particles are dominated by competitive surface adsorption and chemical reactions among the surface components, which are not captured in equation (2.35).

Another point of critique of the resistor model is that too much emphasis is put on decoupling parameters, although they are intrinsically linked [84]. For example, Henry's law coefficient H could be replaced by an adsorption rate coefficient divided by an evaporation rate coefficient. Since the adsorption rate depends on the accommodation coefficient  $\alpha$ , this would introduce a coupled  $\alpha$ -dependence. Similar arguments hold for the reaction on solid particles, which also depend on  $\alpha$  [84].

Addressing the first point of criticism, Ammann et al. [84] derived a conductance term that describes Langmuir-Hinshelwood type surface reactions by assuming a steady-state equilibrium between adsorption and desorption, whose derivation is outlined in appendix B. The equilibrium assumption, however, might not be valid at all times, especially not in the beginning of the uptake process. To overcome this and the aforementioned shortcomings, Pöschl, Rudich, and Ammann formulated a comprehensive kinetic model framework for aerosol and cloud surface chemistry and gas-particle interactions [12], referred to as PRA framework, which will be outlined in the next subsection.

#### PRA Framework

The PRA framework [12] describes gas-phase uptake and surface chemistry by a double-layer surface model with a sorption layer and a quasi-static layer, and by flux-based rate equations. Sketches of the model compartments and parametrized surface reactions are shown in Figure 2.7. Gas and bulk diffusion and reaction processes as described by the resistor model are now represented

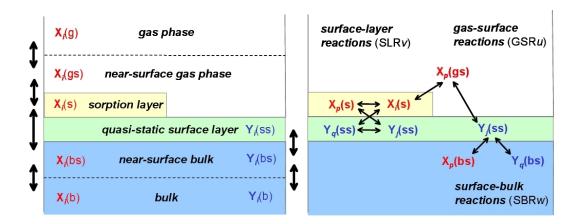


Figure 2.7: Double-layer surface model compartments with arrows indicating transport fluxes for volatile species  $X_i$  and non-volatile species  $Y_j$  (left). Classification of chemical reactions between volatile and non-volatile species at the surface (right). Adapted from Pöschl et al. [12].

by specific model compartments and transport fluxes, which distinguishes between the specific physiochemical processes.

Also the net uptake coefficient is now defined in terms of fluxes, as the ratio between the net flux of  $X_i$  to the condensed phase,  $J_{\text{net},X_i}$ , which can be decomposed into an adsorption and desorption flux,  $J_{\text{ads},X_i}$  and  $J_{\text{des},X_i}$  respectively, and the gas kinetic flux of  $X_i$  colliding with the surface,  $J_{\text{coll},X_i}$ :

$$\gamma_{\text{net},X_i} = \frac{J_{\text{net},X_i}}{J_{\text{coll},X_i}} = \frac{J_{\text{ads},X_i} - J_{\text{des},X_i}}{J_{\text{coll},X_i}}.$$
 (2.36)

This definition is in accordance to definition (2.25) given before. In this formulation, the uptake processes are not decoupled, but are represented by the corresponding fluxes.

Instead of having a separate conductance for gas-phase diffusion as in the resistor model, a local depletion of  $X_i$  close to the surface with the resulting gas-phase diffusion can be accounted for by defining a gas-phase diffusion correction factor  $C_{g,X_i}$  that relates the gas-phase concentration close to the surface  $[X_i]_{gs}$  to the average gas-phase concentration  $[X_i]_g$ :

$$C_{g,X_i} = \frac{[X_i]_{gs}}{[X_i]_g} = \frac{\gamma_{\text{eff,net},X_i}}{\gamma_{\text{net},X_i}}.$$
 (2.37)

This factor can be implemented into the diffusion-affected collision flux by replacing  $[X_i]_g$  in equation (2.27) by  $[X_i]_{gs} = C_{g,X_i}[X_i]_g$ , since it is really the

gas-phase concentration close to the surface that collides with the surface. Alternatively, the net uptake coefficient  $\gamma_{\text{net},X_i}$  can be multiplied by  $C_{g,X_i}$  to obtain an effective net uptake coefficient  $\gamma_{\text{eff,net},X_i}$  which accounts for gas-phase diffusive effects. For  $\gamma_{X_i}$ -values smaller than one, which is often the case for gas uptake on solid particles,  $C_{g,X_i}$  can be determined as [83]

$$C_{g,X_i} = \frac{1}{1 + \gamma_{X_i} \frac{0.75 + 0.28 \text{Kn}_{X_i}}{\text{Kn}_{X_i} (1 + \text{Kn}_{X_i})}},$$
(2.38)

where  $Kn_{X_i}$  is the Knudsen number, the ratio of the mean free path of  $X_i$  in the gas phase,  $\lambda_{X_i}$ , and the particle radius. It can be approximated by [83]

$$\operatorname{Kn}_{\mathbf{X}_{i}} = \frac{2\lambda_{\mathbf{X}_{i}}}{d_{\mathbf{D}}} \approx \frac{6D_{\mathbf{g},\mathbf{X}_{i}}}{\omega_{\mathbf{X}_{i}}d_{\mathbf{D}}}, \qquad (2.39)$$

where  $D_{g,X_i}$  is the gas-phase diffusion coefficient of species  $X_i$  and  $d_p$  is the particle diameter.

The adsorption flux of a gas molecule  $X_i$  is related to the collision flux via the accommodation coefficient  $\alpha_{s,X_i}$  representing the molecule's probability of adsorption on the surface:

$$\alpha_{s,X_i} = \frac{J_{ads,X_i}}{J_{coll,X_i}} \,. \tag{2.40}$$

Thus,  $J_{ads,X_i}$  can be expressed as

$$J_{\text{ads},X_i} = \alpha_{\text{s},X_i} J_{\text{coll},X_i} = \alpha_{\text{s},X_i} \frac{\omega_{X_i}}{4} [X_i]_{\text{gs}} . \qquad (2.41)$$

In case of competitive co-adsorption of several gas-phase species, the accommodation coefficient of the individual species,  $\alpha_{s,X_i}$ , can be derived using a Langmuir adsorption model in which all adsorbate species compete for a single sorption site on the quasi-static surface, such that

$$\alpha_{s,X_i} = \alpha_{s,0,X_i} (1 - \theta_s) , \qquad (2.42)$$

where  $\alpha_{s,0,X_i}$  is the surface accommodation coefficient on an adsorbate-free surface.  $\theta_s$  is the sorption layer surface coverage which is given by the sum of fractional surface coverages of all competing adsorbate species,  $\theta_{s,X_n}$ :

$$\theta_{\rm s} = \sum_{p} \theta_{\rm s, X_p} , \qquad (2.43)$$

where the fractional surface coverage depends on the surface concentration of

the adsorbate species  $X_p$ ,  $[X_p]_s$ , and its effective molecular cross section,  $\sigma_{s,X_p}$ , which corresponds to the inverse of the species' maximum surface concentration in the sorption layer,  $[X_p]_{s,\max}$ :

$$\theta_{s,X_p} = [X_p]_s / [X_p]_{s,max} = \sigma_{s,X_p} [X_p]_s .$$
 (2.44)

The desorption flux of species  $X_i$  can be quantified by the ratio between this species' surface concentration  $[X_i]_s$  and its desorption lifetime  $\tau_{d,X_i}$  which is the mean residence time of the species on the surface:

$$J_{\text{des},X_i} = [X_i]_s / \tau_{d,X_i} . \qquad (2.45)$$

By combining equations (2.27) and (2.36) to (2.45), the net uptake coefficient of species  $X_i$  can be written as

$$\gamma_{\text{net},X_i} = \frac{\frac{1}{4}\alpha_{\text{s},0,X_i}\omega_{X_i}[X_i]_{gs}(1 - \sum_p \sigma_{\text{s},X_p}[X_p]_{s}) - \frac{[X_i]_{s}}{\tau_{\text{d},X_i}}}{\frac{1}{4}\omega_{X_i}[X_i]_{gs}}.$$
 (2.46)

Equation (2.46) shows that the uptake coefficient derived according to Pöschl et al. [12] depends on the parameters of the adsorbing species such as the accommodation coefficient  $\alpha_{s,0,X_i}$ , the effective molecular cross section  $\sigma_{s,X_p}$ , the mean molecular velocity  $\omega_{X_i}$ , the desorption lifetime  $\tau_{d,X_i}$ , but also on its surface and gas-phase concentrations  $[X_i]_s$  and  $[X_i]_{gs}$ , which can be affected by transport and chemical reactions. Therefore, equation (2.46) expresses  $\gamma_{X_i}$  as a dynamic uptake coefficient when changes in  $[X_i]_s$  and  $[X_i]_{gs}$  are taken into account.

 $[X_i]_{gs}$  can change due to gas-phase reactions as outlined in section 2.4.1 and 2.4.2. Additionally, direct reactions between gas-phase species and surface components in the quasi-static surface layers, as illustrated in Figure 2.7, can influence the adsorbent's gas-phase concentrations.

The adsorbent's surface concentration  $[X_i]_s$  can change due to surface reactions that, after adsorption, can be described by Langmuir-Hinshelwood surface reactions.

#### Langmuir-Hinshelwood Surface Reactions

As described in section 2.3, a two-step kinetic process consisting of fast initial uptake followed by a slower uptake regime was observed for the adsorption of  $NO_2$  [21, 33, 67–69],  $O_3$  [62–64], and for the co-adsorption of  $H_2O$  and  $O_3$  on soot [60]. The surface reactions observed in these experiments were explained by and are consistent with a *Langmuir-Hinshelwood* reaction mech-

anism, which resolves the two-step kinetic process as initial adsorption, which can be competitive when multiple adsorbing species are present and is then called *Langmuir adsorption*, followed by surface reactions among adsorbents or other components on the surface. Since the same kinetic characteristics could be observed in other heterogeneous chemistry studies [85–89], it was concluded that the Langmuir-Hinshelwood mechanism is the general reaction pathway for surface reactions on aerosols [90].

Within the PRA framework, Langmuir-Hinshelwood surface reactions are parametrized by a double-layer surface model as shown in Figure 2.7 (right): Gas-phase species  $X_i(g)$  adsorb onto the sorption layer, quantified by the adsorption flux  $J_{ads}$ . Adsorbed species  $X_i(s)$  can then either desorb, expressed by the desorption flux  $J_{des}$ , or react with non-volatile particle components  $Y_i(ss)$  from the quasi-static surface layer, which is indicated by a second-order rate coefficient  $k_{SLR}$ .

The net chemical production of surface species under the assumption that the surface reactions take place between adsorbed species in the sorption layer,  $X_p(s)$ , and surface components in the quasi-static surface layer,  $Y_q(ss)$ , and that the product of these reactions is a surface component residing within the quasi-static surface layer,  $Y_i(ss)$ , can be expressed, in analogy to the generalized rate equation (2.19), by

$$\frac{\mathrm{d}}{\mathrm{dt}}[Y_i]_{ss} = \sum_{v} \sum_{p} \sum_{q} c_{\mathrm{SLR}v, s, Y_i} k_{\mathrm{SLR}v, X_p, Y_i} [X_p]_s [Y_q]_{ss} , \qquad (2.47)$$

where v numbers the rate equation, p and q number the reactants,  $c_{\rm SLR}$  are negative or positive stoichiometric coefficients, and  $k_{\rm SLR}$  are second-order rate coefficients.

The loss of sorption layer species  $X_i$  due to surface reactions, which alongside adsorption and desorption contributes to the total production of adsorbents in the sorption layer, is a subset of equation (2.47) with the reactant summation index variable p fixed to species  $X_i$ :

$$L_{s,ss,X_i} = \sum_{v} \sum_{q} c_{SLRv,s,X_i} k_{SLRv,X_i,Y_i} [X_i]_s [Y_q]_{ss}, \qquad (2.48)$$

$$\frac{d}{dt}[X_i]_s = J_{ads,X_i} - J_{des,X_i} - L_{s,ss,X_i} , \qquad (2.49)$$

where  $J_{\text{ads},X_i}$  and  $J_{\text{des},X_i}$  are the adsorption and desorption fluxes, respectively, as defined in (2.41) and (2.45) in section 2.4.3.

The gas-phase uptake with subsequent Langmuir-Hinshelwood surface reactions is now fully determined by the set of differential equation consisting

of the net surface production (2.49), the net gas-phase production (2.19), and the net gas-phase loss (2.28), which are related to each other by the expression for the net uptake coefficient (2.46).

These differential equations have to be solved simultaneously to completely model the gas-phase uptake with subsequent surface reactions. Depending on the exact chemical pathway of the specific heterogeneous reaction, other differential equations, such as for direct reactions between the gas phase and the condensed phase, as well as for bulk diffusion processes and reactions, need to be taken into account.

In atmospheric models, the chemical differential equations are solved numerically, as will be described in the next section.

# 2.5 Atmospheric Models

Atmospheric models are developed to understand and predict the relationship between emissions, reactions, and concentrations of primary and secondary pollutants. In general, the major components of an atmospheric model are: (1) emissions of the primary pollutants; (2) meteorological and topographical features, such as temperature, relative humidity, wind speed and direction, inversion height, and other terrain features; and (3) the chemistry.

## 2.5.1 Chemical Component

The chemistry in an atmospheric model is represented by chemical rate equations, such as equations (2.19), which are a system of coupled, non-linear differential equations. In order to predict the temporal evolution of the chemical species' concentrations, the differential equations need to be solved simultaneously.

A simple explicit solution method for ordinary differential equation is the forward Euler method [91], which uses the formula

$$y_{(n+1)} = y_n + hf(x_n, y_n),$$
 (2.50)

to advance a solution by a step length h, from  $x_n$  to  $x_{(n+1)} = x_{n+h}$ . In this method, the difference quotient is evaluated at the beginning of the interval:

$$f(x_n, y_n) = \frac{y(t+h) - y(t)}{h}.$$
 (2.51)

Chemical rate equations commonly have a high degree of *stiffness*, which is the ratio between the largest and the smallest Eigenvalue of the system of

equations to be solved. The stiffness can amount as much as 10<sup>10</sup> for chemical rate equations. This demands a small time step for simple explicit solution methods, such as the Euler forward method. Since this would be computationally very inefficient, other solution algorithms are used in these cases.

A standard solution method for the equations of chemical kinetics is the Gear algorithm [92], which is a robust and accurate, multistep, variable order numerical scheme [93]. Multistep and variable order mean that not only values from one order n are used to compute the value at n+1, but that the solution depends on s more past values:

$$y_{n+s} + a_{s-1}y_{n+s-1} + a_{s-2}y_{n+s-2} + \dots + a_0y_n$$

$$= h[b_s f(x_{n+s}, y_{n+s}) + b_{s-1} f(x_{n+s-1}, y_{n+s-1}) + \dots + b_0 f(x_n, y_n)],$$
(2.52)

where the a's and the b's are coefficients.

The dependence on multiple steps makes multistep methods more accurate than one-order methods. However, these methods are also slower than oneorder methods, since they require the storing of multiple previous values of concentrations of each species.

#### Chemical Representation

The explicit representation of atmospheric chemistry can be very extensive with respect to the number of differential equations that need to be solved. For example, the oxidation of just one organic species in air, contributing to the formation of ground-level O<sub>3</sub> by reactions (2.4) to (2.6), can include hundreds of reactions [94]. The Master Chemical Mechanism (MCMv3) [95], which is a near-explicit chemical mechanism describing the tropospheric degradation of 124 VOCs and the associated inorganic chemistry, contains in excess of 12,600 reactions and 4,500 chemical species. When also meteorological conditions and emissions need to be taken into account, such explicit chemical approaches in combination with multistep schemes become quickly unmanageable and computational expensive.

As a result, the chemical mechanisms of most models are condensed in various ways by lumping together several organic species and reactions [95, 96]. Two main approaches have been followed so far. The first approach groups organics by their traditional classifications, e.g., alkanes, alkenes, aromatics, sometimes with some exceptions when members of that group are much more reactive than others. Two examples of models utilizing this approach are the Lurman-Carter-Coyner (LCC) mechanism [97] and the Regional Acid Deposition Model (RADM) [13]. The second approach, the so-called "carbon bond mechanism", groups organics in terms of their chemical bonding [98].

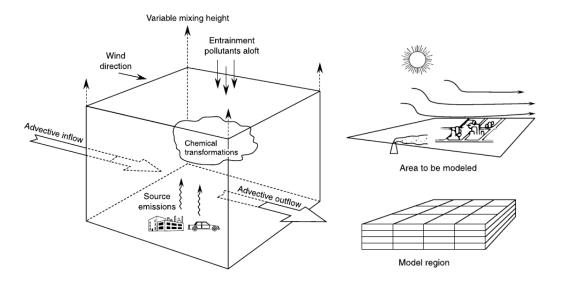


Figure 2.8: Schematic diagram showing basic elements of a box model (left) and a grid model's representation of an area to be modeled. Adapted from Finlayson-Pitts and Pitts [2].

Model intercomparisons show generally good agreement between the models for the major species  $O_3$  and  $NO_x$  [99, 100]. However, the discrepancies can be larger for trace species, such as  $H_2O_2$  and HCHO.

Before chemical submodels, such as a VOC chemical model, are incoporated into comprehensive models, they are tested against environmental chamber experiments [e. g. 13]. The comprehensive models, which include complex meteorology and may have a spatial resolution that incorporates terrain-features, can be tested against field campaigns [e. g. 101].

## 2.5.2 Spatial Categorization

With regards to spatial resolution, models can be classified into box models, Lagrangian models, and grid models. In a box model, whose basic elements are shown in Figure 2.8 (left), the airshed, i.e., a given volume of atmospheric air in a geographical region, is in the shape of a box. It is based on the assumption that the air pollutants inside the box are homogeneously distributed to estimate the average pollutant concentrations anywhere within the airshed. It may include advective in- and outflow. Although it does not fully resolve topographic features and complex meteorology, box models are being used to represent landscapes with simple topographies [102, 103]. They are also often used as a first approach to incorporating and testing new chemical sub-

modules [104]. A Langrangian model is a series of adjacent, interconnected boxes that follow the trajectory of an air pollution parcel. If the frame of reference does not move with the air parcel, the model is called an (Eulerian) grid model. These are the models currently used for making concrete, terrain-specific, predictions. As Figure 2.8 (right) illustrates, grid models divide an area horizontally and vertically into grids (or boxes) which are interconnected by transport processes. The horizontal size of each grid, as well as its number can vary, depending on the purpose of the model. There are also nested approaches which nest urban-scale grids into larger regional-scale grids [105].

Frequently when chemical kinetics are being tested, simple models parametrizing only the investigated chemical species are being used as first estimates [33, 72].

#### 2.5.3 Heterogeneous Chemistry in Models

Particles are represented in atmospheric models in several ways. Some models focus on predicting aerosol mass concentrations, which is motivated by correlations of respiratory disease with aerosol mass burden [106]. These models resolve particles in size bins and include physical processes, such as nucleation, coagulation, condensation, and evaporation. The condensation of gas-phase species onto the particles is usually treated by assuming a gas-particle equilibrium without accounting for surface reactions [107–109].

Other models investigate the influence that heterogeneous reactions have on the gas-phase composition, in particular on the gaseous air pollutants  $O_3$  and  $NO_x$ . This is done by integrating equation (2.28) into the models' numerical solvers. The physiochemical processes on the surface or in the bulk of the particle are also mostly assumed to be in equilibrium, which corresponds to the resistor model described in section 2.4.3 and the use of constant uptake coefficients.

In the following, findings of the model investigations involving heterogeneous reactions on soot are reviewed.

#### Review of Previous Heterogeneous Model Studies

As described in section 2.3, several laboratory studies observed the production of HONO by heterogeneous reactions of  $NO_2$  with soot particles [33, 68, 71, 72]. These heterogeneous reactions were suggested to be an important HONO source, since the observed HONO concentrations cannot be explained by gasphase production only [110, 111]. By producing HONO, the heterogeneous reactions also have an influence on the  $O_3$  concentration via the photolysis to NO and OH and the subsequent oxidation to  $NO_2$ , as described in section 2.3.

For these reasons, several model studies investigated the HONO production by heterogeneous reactions of NO<sub>2</sub> with soot.

Kotamarthi et al. [112] performed a series of box model calculations to test the model's  $NO_x$  sensitivity to a number of reactions on soot surfaces, including HONO production analogous to reaction (2.10). Besides this, also a reaction of ammonium bisulfate to  $HNO_3$ , as in reaction (2.9), was incorporated. The calculations suggest that the conversion of  $NO_2$  to HONO on particle surfaces produces a large, measurable signal, up to several parts per billion (ppbv), in nighttime HONO mixing ratios. According to the simulations, this results in a decrease in the gas-phase  $O_3$  concentration of 20 ppbv and 8 ppbv respectively, depending on the value of the  $NO_2$  uptake coefficient, which was either  $3.3 \times 10^{-4}$  or 0.01.

In contrast, a model study by Aumont et al. [110] that, in contrast to Kotamarthi et al. [112], took into account depositional losses of HONO and deactivation of the soot as a result of NO<sub>2</sub> processing, observed no significant influence of reaction (2.10) on the OH and O<sub>3</sub> concentrations. Subsequently, the assumed soot deactivation by NO<sub>2</sub> processing was observed in the laboratory, e.g., by Lelievre et al. [113] and Aubin and Abbatt [72]. Also other estimates of the HONO formation potential that took into account surface saturation effects agree with the result of Aumont et al. [110] [33, 72]. Additionally, a numerical simulation with a comprehensive mesoscale model system implied that reactions on aerosol surfaces are a negligible source of HONO and that heterogeneous reactions at the ground and direct emissions are the most important currently identified sources [114].

As described in section 2.3, several other gas-phase species besides  $NO_2$  can be involved in heterogeneous reactions with soot. Their effect was investigated in model studies as well. For example, Saathoff et al. [21] fitted aerosol chamber data to box model simulations to investigate the heterogeneous loss of  $NO_2$ ,  $HNO_3$ ,  $NO_3/N_2O_5$ , and  $HO_2/HO_2NO_2$  on soot. It was found that these heterogeneous reactions had a minor impact on the  $O_3$  concentration when  $NO_x$  emissions were low, but caused a decrease in  $O_3$  up to 10% when  $NO_x$  emission were high. The  $HO_2$  uptake was responsible for 7% out of the 10%. However, the authors suggested that the impact of soot on atmospheric chemistry is likely to be small and perhaps negligible, since transport, deposition, and dilution processes were not taken into account in the modeling study and high soot surface concentrations and uptake coefficients were used.

Aklilu and Michelangeli [115] used a box model simulation to investigate the effects of heterogeneous reactions on soot in an air parcel passing over an urban area and traveling downwind. Taking into account the consumption of soot surface sites, the heterogeneous reactions of O<sub>3</sub>, HNO<sub>3</sub>, NO<sub>2</sub>, NO<sub>3</sub>, and

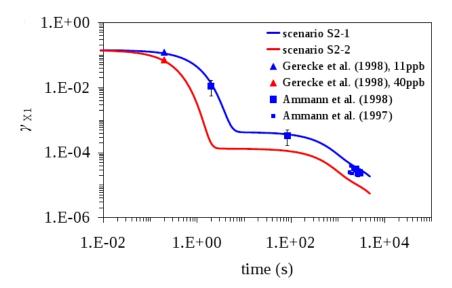


Figure 2.9: Temporal evolution of the NO<sub>2</sub> uptake coefficient, modeled to fit uptake coefficient values measured by Ammann et al. [68], Gerecke et al. [71], Ammann et al. [116]. Specifications and input parameters for scenarios S2-1 and S2-2 are given in Ammann and Pöschl [117]. Adapted from Ammann and Pöschl [117].

 $N_2O_5$  with soot particles resulted in a maximum  $O_3$  reduction of 7 ppbv (about 25%) downwind of the urban center.

The results of the model studies presented above show that heterogeneous reactions potentially play a role in influencing the concentrations of gaseous air pollutants in the troposphere. However, it also becomes clear that the atmospheric model studies depend crucially on the parameters and reaction mechanisms they employ. A major uncertainty is associated with the adapted uptake coefficients. The span of measured uptake coefficients on soot surfaces of various kinds ranges seven orders of magnitude, from  $10^{-8}$  to  $10^{-1}$ , for NO<sub>2</sub> uptake [72], and four orders of magnitude, from  $10^{-3}$  to  $10^{-7}$ , for O<sub>3</sub> uptake [63].

The modeling results also depend on the way heterogeneous reactions are represented in the model. While simple equilibrium parametrizations with constant uptake coefficients are computationally efficient, they ignore the underlying physical processes and therefore may result in erroneous projections. This was, for example, the case for the study by Kotamarthi et al. [112] which did not take soot surface passivation into account.

As discussed in section 2.4.3, the PRA kinetic framework for aerosol surface chemistry and gas-particle interactions takes into account the underlying

physical picture with its flux-based formulation of the uptake process and mass balances. Ammann and Pöschl [117] showed in kinetic model investigations using the PRA framework that the uptake coefficient decreases with time due to surface saturation effects [117]. Thus, different measured uptake coefficients for the same model substance can be reconciled when aligning the values according to the time scales of the measurements. An example for the uptake coefficients measured in NO<sub>2</sub> uptake experiments is shown in Figure 2.9, where the temporal evolution of the NO<sub>2</sub> uptake coefficient was modeled to fit the different uptake values measured by Ammann et al. [68, 116] and Gerecke et al. [71].

The absence of atmospheric model simulations which fully resolve the underlying physiochemical processes that govern heterogeneous reactions is one motivation for the study presented here.

# 2.6 Motivation for Modeling Study

The focus of this paper is to determine how heterogeneous reactions change the aerosol surface composition and affect the gas-phase concentrations of air pollutants in a polluted urban environment. The specific heterogeneous reactions that will be focused on are the reversible co-adsorption of O<sub>3</sub>, NO<sub>2</sub>, and water vapor on soot particles coated with the polycyclic aromatic hydrocarbon benzo[a]pyrene (BaP) in an urban pollution scenario.

#### 2.6.1 Motivation and Relevance

The studied model substances are of high relevance with respect to public health and the environment, as BaP is classified as a "probable human carcinogen" [118] and the trace gases  $O_3$  and  $NO_2$  are major criteria air pollutants [119] as was outlined in section 2.1 and 2.2.

Sections 2.3 and 2.4.3 showed that the heterogeneous reactions between the model substances and soot follow two-step Langmuir-Hinshelwood type surface reactions, which have a direct effect on the uptake process by inducing variations in the uptake coefficient via equation (2.46). However, as discussed in section 2.5.3, current atmospheric models commonly parametrize the uptake process by constant uptake coefficients or coarse parametrizations that do not take dynamic changes in the uptake kinetics into account [14, 15, 115, 120, 121]. This can lead to erroneous results. This study addresses this shortcoming by resolving the physiochemical processes that determine the uptake process and surface reactions with the PRA framework for gas-particle interactions and surface chemistry [12], as described in section 2.4.3.

Previous modeling studies employing the PRA framework were so far limited to kinetic modeling exercises [117] that considered only one adsorbent at a time without simulating a dynamic atmospheric context. However, the uptake coefficient, as given in equation (2.46), explicitly depends on the adsorbent's gas-phase concentration which generally undergoes diurnal changes in the atmosphere, as described in section 2.1. To address this shortcoming, this study implements the heterogeneous kinetics parametrized by the PRA framework into the gas-phase solver RADM2 (Second Generation Regional Acid Deposition Model) [13], which is a well-established, nonlinear chemical gas-phase mechanism for modeling atmospheric chemistry on a regional scale. It can simulate diurnal changes in photolysis frequencies and gas-phase emissions and can therefore be used to study heterogeneous reactions in a realistic atmospheric environment. Since this study focuses on air pollutants, RADM2 is initialized with gas-phase concentrations and emissions characteristic for an urban pollution scenario.

With this coupled RADM2-PRA framework, the effects of heterogeneous reactions on the particle surface and gas-phase composition can be studied in a realistic atmospheric environment and under consideration of the underlying physiochemical processes. The adaptation of a polluted urban environment as context for the heterogeneous reactions allows to investigate the importance of heterogeneous reactions for urban air pollution, in particular for the concentrations of the major air pollutants  $O_3$  and  $NO_2$ . With respect to laboratory studies, the detail with which the surface chemistry can be modeled using this framework exceeds the complexity of current laboratory experiments which consider two adsorbents at most without a dynamic atmospheric context. Therefore this study can provide valuable information for the conception of new experiments. This is the first time that such detailed heterogeneous chemistry is evaluated in an atmospheric environment.

#### 2.6.2 Limitations

The scope of this study is conceptual and therefore relies on some simplifications:

This study focuses on three adsorbents. However, as the experimental studies reviewed in section 2.3 show, other gas-phase species, such as HO<sub>2</sub> and NO<sub>3</sub> can also adsorb onto soot surfaces [21, 77, 78]. Moreover, under realistic atmospheric conditions, one can expect even more chemical species, such as other PAHs and different trace gases, to adsorb on, or react with, soot. This study limits the number of adsorbents to three to assess each adsorbent's influence in detail. The determination of simple cause-effect relationships with a higher number of adsorbents would be very complex, without necessarily

providing more insights. It is assumed that atmospheric oxidation is well represented by the major oxidants, which include the here-considered  $O_3$ . Other very reactive gases, such as OH and  $NO_3$ , have not been shown to adhere to Langmuir adsorption kinetics with subsequent surface reactions. These gases are not included in this study to avoid the mixing of conceptual frameworks.

By using the PRA model framework, this study limits the the maximum adsorbents' surface coverage to one monolayer. Therefore, diffusion processes through multiple surface layers, such as multiple layers of water, are not considered in the model framework. However, limiting the total adsorbents' surface coverage to one monolayer allows treating the uptake of gas-phase species according to Langmuir adsorption kinetics, which was the experimentally determined reaction mechanism for the chemical species considered in this study [e. g. 33, 60].

To reduce complexity, this study does not take into account the changes in particle composition and mass due to coagulation, dilution, and condensation of semi-volatile gas-phase species.

Finally, this study uses as input parameters realistic values characteristic of an urban pollution scenario and uptake parameters determined by laboratory experiments. However, the purpose of this study is not to make exact atmospheric predictions, but to conceptually investigate the influence of heterogeneous reactions with soot on common polluted environments.

The next chapter discusses the this study's model approach in detail.

# Chapter 3

# Model approach

This study's model approach is based on the coupling of the PRA kinetic framework for aerosol chemistry and gas-particle interactions [12] to the chemical gas-phase solver RADM2 [13]. Details about the adapted PRA framework, as well as the reaction scenarios and input parameters are presented in this chapter. First, a description of the gas-phase mechanism RADM2, its solver routine, and the adapted gas-phase scenarios is given.

## 3.1 Gas-Phase Mechanism

The nonlinear, chemical gas-phase mechanism RADM2 [13] is widely used in atmospheric models to predict concentrations of oxidants and other air pollutants [e.g., 122, 123]. It is based on the earlier RADM1 and RADM1.0 [93, 124], as well as on several other mechanisms [97, 125, 126]. It includes 62 chemical species, 21 photolysis reactions, and 140 thermal reactions [13]. Volatile organic compounds (VOCs) are aggregated (lumped) into 15 classes of reactive organic species. It also includes one heterogeneous reaction by default: the hydrolysis of  $N_2O_5$  as described by equation (2.9). The reaction is parametrized by equation (2.28) with a constant uptake coefficient of  $\gamma_{N_2O_5} = 0.02$ . (The use of constant uptake coefficient is justified for the interaction between gasphase species and liquid particles as discussed in section 2.4.3.) The included chemical species and reactions are listed in appendix C. As suggested by Vogel et al. [114], the reaction rate for the production of HONO from NO and OH was updated to  $9.7 \times 10^{-12}$  cm<sup>3</sup> s<sup>-1</sup> according to Atkinson et al. [127]. RADM2 is used in a box model version for the conceptual purpose of this study.

#### 3.1.1 Urban Pollution Scenario

For studying the uptake process in a polluted urban environment, RADM2 is initialized with the tropospheric urban plume scenario PLUME1 according to Kuhn et al. [100]. PLUME1 was designed to represent the chemistry in the polluted boundary layer. It includes constant emissions for a variety of trace gases representative for continental European air [128], such as 0.54 pptv min<sup>-1</sup> of SO<sub>2</sub>, 2.68 pptv min<sup>-1</sup> of NO, and 5.85 pptv min<sup>-1</sup> of CO. Initial concentrations are set to 50 ppbv for O<sub>3</sub>, 0.5 ppbv for NO<sub>2</sub>, 0.2 ppbv for NO, and 20,000 ppmv for H<sub>2</sub>O which corresponds to 64% relative humidity (RH). Time-dependent photolysis rates are parametrized by equation (2.1) with parameters based on a radiation transport model by E. P. Roeth [100]. A detailed overview of the emission rates, initial gas concentrations, and photolysis parameters is given in appendix D.

The pressure and temperature are set to 1013.25 hPa and 298 K, respectively. Note that this temperature is 10 K warmer than the temperature in the PLUME1 scenario by Kuhn et al. [100]. This is to represent typical temperatures in the summer when photochemical smog episodes are most frequent. The physical processes of deposition, particle coagulation, and dilution of trace gases and soot particles are not considered. A modeling period of five days is chosen, which is within the reported soot residence times in the atmosphere [27, 28]. The simulation period starts at 12 noon.

The numerical solution technique with which RADM2 solves the chemical differential equations is described in the next subsection.

#### 3.1.2 Chemical Solver

As descibed in section 2.5.1, a standard solution method for the stiff differential equations of chemical kinetics is the Gear algorithm [92]. This multistep algorithm is accurate, but since it requires storing of up to six previous values of concentrations for each species, it is computationally slow. For RADM2, a more efficient but mathematically less rigorous integration technique has been developed [93].

The stiffness of the chemical differential equations is reduced by several measures. Pairs of species with large reaction rates are grouped to linear combinations and thereby removed from the system of equations. The grouped species, as well as species with very large characteristic time scales of loss reactions, are treated with the Euler forward method, which was described in section 2.5.1. Additionally, chemical species with lifetimes less than a minute are treated by local steady-state equations, which avoids solving their rate equations by numerical integration. The remaining differential equations are

then solved as follows:

The chemical rate equations can be written in a generic form as

$$\frac{d[\mathbf{X}_i]}{dt} = P_{\mathbf{X}_i}(\mathbf{X}_j) - \frac{\mathbf{X}_i}{\tau_{\mathbf{c}}(\mathbf{X}_j)}, \qquad (3.1)$$

where  $P_{X_i}$  is the production term of species  $X_i$ , which is dependent on some other species  $X_j$ , and  $\tau_c$  is the characteristic time scale of the loss reactions, also dependent on some other species. If  $P_{X_i}$  and  $\tau_c$  are constant during a time step  $\Delta t_c$ , equation (3.1) has the exponential solution:

$$[X_i]^{t+\Delta t_c} = P_{X_i} \tau_c + ([X_i] - P_{X_i} \tau_c) \exp(-\Delta t_c / \tau_c),$$
 (3.2)

where  $[X_i]^t$  is the concentration of  $X_i$  at time t and  $[X_i]^{t+\Delta t_c}$  the concentration at time  $t+\Delta t_c$ . The time step  $\Delta t_c$  is variably determined by the condition [129]:

$$\Delta t_{\rm c} = \min\left(\epsilon \frac{X_i}{dX_i/dt}\right) , \qquad (3.3)$$

where  $\epsilon$  ensures that the change in concentration stays within a pre-set limit.

This numerical method was compared to the Gear algorithm [92], which showed good agreement between both solvers, but the RADM method described above used six to ten times less computational memory and was at least five times faster [93].

An overview of the RADM2 code, outlining the way the chemical production and loss terms are supplied to the solver, is given in appendix E. The next section presents the heterogeneous reactions that were implemented into the RADM2 solver.

## 3.2 Heterogeneous Reactions

This study models the co-adsorption and subsequent surface reactions of  $O_3$ ,  $NO_2$ , and water vapor on soot coated with BaP. Based on experiments on the adsorption and subsequent surface reaction of  $O_3$  on soot [60, 62], and  $NO_2$  on soot [33, 68], which were outlined in section 2.3, the heterogeneous reactions of the considered model substances can be assumed to follow a competitive Langmuir adsorption process and Langmuir-Hinshelwood surface reactions between the adsorbents and the soot surface components. As described in section 2.4.3, these heterogeneous reaction processes can be described by the PRA kinetic framework for aerosol chemistry and gas-particle interactions [12]. The PRA framework's flux-based formulation of the uptake process led to expression

(2.46) for describing the uptake kinetics. This equation shows dependencies on the adsorbent's gas-phase and surface concentrations. While the gas-phase processes affecting the uptake were illustrated in sections 2.1 and 2.4.1 and their model implementation was outlined above, this section describes the implemented surface reaction scenarios.

#### 3.2.1 Surface Reactions

Three reaction scenarios are defined to investigate the effect that the coadsorption of  $O_3$ ,  $NO_2$ , and water vapor has on the soot surface composition and the gas phase: the adsorption of  $O_3$  in *Scenario A*, the co-adsorption of  $O_3$  and  $NO_2$  in *Scenario B*, and the co-adsorption of  $O_3$ ,  $NO_2$ , and water vapor in *Scenario C*. Up to five surface reactions, depending on the scenario, are simulated. An overview is given in Table 3.1. It should be noted that experimentally determined initial uptake coefficients are implemented as accommodation coefficients in accord to Ammann and Pöschl [117]. This approach is justified, since initially  $\gamma_{Xi} = \alpha_{s,0,Xi}$ , as can be seen from equation (2.46). In the following, the reaction pathway of each adsorbent and the input parameters are discussed.

 $O_3$  Adsorbed  $O_3$  can participate in three surface reactions, which convert the soot-coating BaP into generic chemical derivatives Y2, Y3, and Y4. These derivatives are meant to represent the three major reaction products identified in ozonolysis experiments of soot coated with BaP [59] and can be pictured as BaP derivatives with an increasing number of oxygenated functional groups and decreasing reactivity towards photo-oxidants such as BaP-quinones, hydroxy-ketones, acid anhydrides, lactones, etc. [59, 131–133]. Their decreasing reactivity was modeled by Ammann and Pöschl [117] with decreasing reaction rates,  $k_{\rm SLR1}$  to  $k_{\rm SLR3}$ , as given in Table 3.1. Scenario A, in which only  $O_3$  adsorbs and reacts on the soot surface is adapted from their Model System Solid 1 [117], with the exception that in this study the atmospheric context is simulated by the coupling to RADM2. The reaction rate  $k_{\rm SLR1}$  and the  $O_3$  specific parameters  $\alpha_{\rm s,0,O_3}$ ,  $\sigma_{\rm O_3}$ ,  $\tau_{\rm d,O_3}$  are adapted from results of the ozonolysis experiment by Pöschl et al. [60], which used an aerosol flow tube apparatus at ambient temperature and pressure.

 $NO_2$  Adsorbed  $NO_2$  is modeled to convert the BaP derivatives Y2 and Y3 to another surface component, Y5, and HONO that desorbs to the gas phase. As mentioned in section 2.3, the possible important desorption of HONO received much experimental investigation [e.g., 68, 72], but less is known about

Table 3.1: Adsorbents, surface reactions, reaction rates, and parameters (accommodation coefficient  $(\alpha)$ , effective molecular cross section  $(\sigma)$ , desorption time  $(\tau)$ ) applied in model scenarios A, B, C.

Scenario	Adsorbants	Surface reactions
A	${ m O_3}$	$ \begin{array}{c} (1) \ {\rm O}_{3}({\rm s}) + {\rm BaP}({\rm ss}) \to {\rm Y2}({\rm ss}) \\ (2) \ {\rm O}_{3}({\rm s}) + {\rm Y2}({\rm ss}) \to {\rm Y3}({\rm ss}) \\ (3) \ {\rm O}_{3}({\rm s}) + {\rm Y3}({\rm ss}) \to {\rm Y4}({\rm ss}) \end{array} $
В	${ m O_3},$ ${ m NO_2}$	(1) to (3) (4) $NO_2(s) + Y2(ss) \rightarrow Y5(ss)$ (5) $NO_2(s) + Y3(ss) \rightarrow HONO(g)$
С	$egin{aligned} \mathrm{O_3}, \\ \mathrm{NO_2}, \\ \mathrm{H_2O} \end{aligned}$	(1) to (5)
Scenario	Reaction rates $[cm^2 s^{-1}]$	Parameters
A	$k_{\rm SLR1} = 2.1 \times 10^{-17} [60, 117]$ $k_{\rm SLR2} = 2.1 \times 10^{-19} [117]$ $k_{\rm SLR3} = 2.1 \times 10^{-21} [117]$	$\begin{array}{l} \alpha_{\rm s,0,O_3} = 1 \times 10^{-3} \ [60,  65,  117] \\ \sigma_{\rm O_3} = 1.8 \times 10^{-15} \ {\rm cm^2} \ [60,  117] \\ \tau_{\rm d,O_3} = 18 \ {\rm s} \ [60,  117] \end{array}$
В	$k_{\rm SLR1}$ to $k_{\rm SLR3}$ $k_{\rm SLR4} = 7 \times 10^{-18} [117]$ $k_{\rm SLR5} = 7.5 \times 10^{-21} [116, 117]$	$\alpha_{\rm s,0,NO_2} = 0.14 \ [71, 117]$ $\sigma_{\rm NO_2} = 3 \times 10^{-15} \ {\rm cm^2} \ [116, 117]$ $\tau_{\rm d,NO_2} = 18 \ {\rm s} \ [116, 117]$
С	$k_{ m SLR1}$ to $k_{ m SLR5}$	$\alpha_{\rm s,0,H_2O} = 0.4 \times 10^{-3} [60, 67]$ $\sigma_{\rm H_2O} = 1.08 \times 10^{-15} \text{ cm}^2 [130]$ $\tau_{\rm d,H_2O} = 3 \times 10^{-3} \text{ s} [60]$

the exact reaction pathways. Besides the HONO producing reaction, Kirchner et al. [70] identified several possible reactions with, e.g., OH groups on the soot surface. These OH groups are present as functional groups on soot surfaces, but can also be pictured as a results of a reaction with  $O_3$  in the presence of small humidity levels. Since it was shown that small humidity levels are enough for the HONO formation to proceed [71], the influence of water vapor was not parametrized within the modeled reactions. The two-reaction pathway of  $NO_2$  on soot was adapted from Model System Solid 2 [117], which was used to reconcile short-term and long-term experimental results of  $NO_2$ -soot experiments obtained by Ammann et al. [68, 116] and Gerecke et al. [71]. Input parameters are based on these experiments, which were conducted with a

Knudsen cell apparatus [71], an aerosol flow reactor [68], and by filter deposition [116]. The reaction rate coefficient for surface reaction (4),  $k_{SLR4}$ , was adjusted by Ammann and Pöschl [117] to fit the experimental data. Model System Solid 2 was changed for this study to include the desorption of HONO with a reaction rate adapted from Ammann et al. [68].

The co-adsorption with water vapor was modeled to be without additional surface reactions. This was suggested by an ozonolysis experiment conducted by Pöschl et al. [60] on the basis that fresh soot is known to be hydrophobic and that the detected  $O_3$  loss displayed a behavior compatible with competitive Langmuir adsorption of O<sub>3</sub> and H<sub>2</sub>O. The H<sub>2</sub>O specific parameters  $\alpha_{\rm s,0,H_2O}$  and  $\tau_{\rm d,H_2O}$  are adapted from carbon hydration experiments by Rogaski et al. [67] and from experiments investigating the interaction of O<sub>3</sub> and  $H_2O$  on soot by Pöschl et al. [60];  $\sigma_{H_2O}$  is adapted from results of  $H_2O$ adsorption experiments by Nishino [130].

#### Surface Kinetics

The rate equations for the loss of adsorbents through surface reactions are described by equation (2.49) in section 2.4.3. Adapted for the surface reactions considered here, these rate equations are:

$$L_{\text{s,ss,O}_3} = k_{\text{SLR1}}[O_3]_{\text{s}}[\text{BaP}]_{\text{ss}} + k_{\text{SLR2}}[O_3]_{\text{s}}[\text{Y2}]_{\text{ss}} + k_{\text{SLR3}}[O_3]_{\text{s}}[\text{Y3}]_{\text{ss}},$$
 (3.4)

$$L_{\text{s,ss,NO}_2} = k_{\text{SLR4}}[\text{NO}_2]_{\text{s}}[\text{Y2}]_{\text{ss}} + k_{\text{SLR5}}[\text{NO}_2]_{\text{s}}[\text{Y3}]_{\text{ss}}.$$
 (3.5)

The concentrations of the surface components BaP, Y2 to Y5 are determined by their reactions with the following rate equations, according to equation (2.47):

$$\frac{d[\text{BaP}]_{\text{ss}}}{dt} = -k_{\text{SLR1}}[O_3]_{\text{s}}[\text{BaP}]_{\text{ss}}, \qquad (3.6)$$

$$\frac{d[\text{BaP}]_{\text{ss}}}{dt} = -k_{\text{SLR1}}[O_3]_{\text{s}}[\text{BaP}]_{\text{ss}},$$

$$\frac{d[Y2]_{\text{ss}}}{dt} = k_{\text{SLR1}}[O_3]_{\text{s}}[\text{BaP}]_{\text{ss}} - k_{\text{SLR2}}[O_3]_{\text{s}}[Y2]_{\text{ss}}$$

$$- k_{\text{SLR4}}[NO_2]_{\text{s}}[Y2]_{\text{ss}},$$
(3.6)

$$\frac{d[Y3]_{ss}}{dt} = k_{SLR1}[O_3]_s[Y2]_{ss} - k_{SLR2}[O_3]_s[Y3]_{ss} - k_{SLR5}[NO_2]_s[Y3]_{ss},$$
(3.8)

$$\frac{d[Y4]_{ss}}{dt} = k_{SLR3}[O_3]_s[Y3]_{ss}, \qquad (3.9)$$

$$\frac{d[Y4]_{ss}}{dt} = k_{SLR3}[O_3]_s[Y3]_{ss},$$

$$\frac{d[Y5]_{ss}}{dt} = k_{SLR4}[NO_2]_s[Y2]_{ss}.$$
(3.9)

The overall loss of adsorbents on the surface is given by equation (2.49), which contains, in addition to the loss through surface reactions, the loss through desorption from the surface and the production through adsorption onto the surface. The respective fluxes are determined by equation (2.41) and (2.45) with  $X_i$  replaced by  $O_3$  and  $NO_2$ , respectively. The adsorption flux depends on the sorption layer surface coverage, which for the co-adsorption of  $O_3$ ,  $NO_2$ , and water vapor is given by

$$\theta_{\rm s} = \sigma_{\rm s,O_3}[{\rm O_3}]_{\rm s} + \sigma_{\rm s,NO_2}[{\rm NO_2}]_{\rm s} + \sigma_{\rm s,H_2O}[{\rm H_2O}]_{\rm s},$$
(3.11)

where the fractional sorption layer coverages are given by the product of the respective effective molecular cross section on the surface,  $\sigma_{\rm s}$ , and the concentration of the adsorbent in the sorption layer.

Together with the expression for the collision flux, (2.27), the uptake coefficient can be determined according to equation (2.36). With that, the net gas-phase loss of the specific adsorbent can be calculated according to equation (2.28).

The PRA framework outlined in this chapter is coupled to the chemical gas-phase solver RADM2. This is done by integrating the described reaction kinetics into the chemical solver routine of the RADM2 mechanism. An excerpt of the integrated FORTRAN-code is given in appendix E.2.

#### 3.2.2Soot Concentration

As the surface kinetics above show, soot does not take part directly in the surface reactions. The way soot is incorporated in the model is by means of its particle surface concentration in air, which is an input parameter for equation (2.28). The surface concentration can be calculated from the mass concentration and the surface area per mass. The mass concentration for soot in polluted environments is about 10  $\mu g$  m<sup>-3</sup> in air [16]. The soot surface area per mass can vary, depending on the type of soot, from  $6 \text{ m}^2 \text{ g}^{-1}$  for aircraft engine combustor soot [37] to approximately  $500 \text{ m}^2 \text{ g}^{-1}$  for the posttreated black carbon Degussa FW2 [38]. This study adapts a surface area of 500 m<sup>2</sup> g<sup>-1</sup>. This corresponds to values that have been used in laboratory studies [e.g., 63, 134, 135]. However, since this value is at the upper end, it may result in an upper limit for the concentration of surface reaction sites. Multiplying the surface per mass value by the soot mass concentration yields a total soot surface concentration of  $[PS]_g = 5 \times 10^{-5} \text{ cm}^2 \text{ cm}^{-3}$ . It should be noted that this value does not necessarily represent an upper limit, since soot mass concentrations which are double the amount used for this calculation have been measured in polluted urban environments [16].

The overall soot production and loss in the box model are assumed to be in equilibrium and therefore the soot surface concentration [PS]<sub>g</sub> is kept constant. The surface composition is changing with time due to the described surface reactions, which is referred to as chemical "aging" and assessed by the reactions scenarios A, B, and C.

Since the surface coverage of soot particles increases with the adsorption of gas-phase species, "aged" particles are less reactive than freshly emitted ones. However, in polluted environments, fresh soot is emitted continuously by a variety of sources, such as car traffic and coal-fired power plants [2, 136]. Two additional soot emission scenarios, which are described in the next subsection, are adapted as a sensitivity study to estimate the effect of soot emissions on the gas phase.

#### 3.2.3 Soot Emission Scenarios

The emission of fresh soot in this box model study is approximated by resetting the soot surface to its initial condition with a BaP surface concentration of  $[BaP] = 1 \times 10^{14} \text{ cm}^{-2}$  and no secondary surface components. At this point in the simulation, the existing population of soot with the residual BaP concentration and higher order surface components is discarded. While the number of reactive surface sites changes to account for the effect of soot emissions on chemical reactions, the soot surface concentration  $[PS]_g$  is kept constant and physical processes, such as coagulation, deposition, and dilution of the soot particles are neglected.

The BaP surface concentration on soot is replenished according to two scenarios. In a low emission scenario, the reactive surface is replenished every six hours, and in a high emission scenario, it is replenished every hour. The error from neglecting gas-phase uptake on discarded populations for these replenishing times will be assessed in the next chapter.

Although the soot surface concentration is kept constant, the replenishing times can be expressed as hourly emission rates,  $R_{\text{emission}}$ , by

$$R_{\text{emission}} = \frac{[PS]_{g} \cdot h_{\text{box}}}{BET \cdot t_{r}},$$
 (3.12)

where  $[PS]_g = 5 \times 10^{-5} \text{ cm}^2 \text{ cm}^{-3}$  is the particle surface concentration, BET =

500 m<sup>2</sup> g<sup>-1</sup>,  $t_r$  is the replenishing time, and  $h_{\rm box}$  is the box height chosen as 1 km corresponding to the depth of the planetary boundary layer. This yields hourly emission rates of 1.67 kg km<sup>-2</sup> h<sup>-1</sup> for the low emission scenario with  $t_r = 6$  h, and 10 kg km<sup>-2</sup> h<sup>-1</sup> for the high emission scenario with  $t_r = 1$  h. These emission rates are comparable in magnitude to the soot emission rates of 0.72 kg km<sup>-2</sup> h<sup>-1</sup> and 7.2 kg km<sup>-2</sup> h<sup>-1</sup> chosen in a previous study by Aklilu and Michelangeli [115] to model typical atmospheric background conditions with the lower rate and locations close to urban combustion sources with the higher rate.

This completes this study's set of model scenarios consisting of the coadsorption scenarios A, B, and C, and the two classes of emission scenarios which are labeled by the number of replenishments per day as  $A_{4x}$ ,  $B_{4x}$ ,  $C_{4x}$ , and  $A_{24x}$ ,  $B_{24x}$ ,  $C_{24x}$ . For matters of comparison, additional scenarios are defined at various places in this study. These scenarios are briefly discussed when defined, since they involve only minor changes of the scenarios described above.

As noted before, each model scenario takes into account the gas-phase and surface chemistry defined by the respective surface reaction scenarios and the implemented urban pollution gas-phase chemistry.

The next chapter presents and discusses the results of this modeling study.

# Chapter 4

# Results and Discussion

The following section presents this study's results in the form of the academic paper:

M. Springmann, D. A. Knopf, and N. Riemer. Detailed Heterogeneous Chemistry in an Urban Plume Box Model: Reversible Co-Adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on Soot coated with Benzo[a]pyrene. Atmospheric Chemistry and Physics Discussions, 9(2):1005510099, 2009. ISSN 1680-7367. URL http://www.atmos-chem-phys-discuss.net/9/10055/2009/. [1]

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# 4.1 Detailed Heterogeneous Chemistry in an Urban Plume Box Model: Reversible Co-Adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on Soot coated with Benzo[a]pyrene

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This study assesses in detail the effects of heterogeneous chemistry on the particle surface and gas-phase composition by modeling the reversible co-adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on soot coated with benzo[a]pyrene (BaP) for an urban plume scenario over a period of five days. By coupling the Pöschl-Rudich-Ammann (PRA) kinetic framework for aerosols (Pöschl et al., 2007) to a box model version of the gas-phase mechanism RADM2, we are able to track individual concentrations of gas-phase and surface species over the course of several days. The flux-based PRA formulation takes into account changes in the uptake kinetics due to changes in the chemical gas-phase and particle surface compositions. This dynamic uptake coefficient approach is employed for the first time in a broader atmospheric context of an urban plume scenario. Our model scenarios include one to three adsorbents and three to five coupled surface reactions. The results show a variation of the O<sub>3</sub> and NO<sub>2</sub> uptake coefficients of more than five orders of magnitude over the course of the simulation time and a decrease in the uptake coefficients in the various scenarios by more than three orders of magnitude within the first six hours. Thereafter, periodic peaks of the uptake coefficients follow the diurnal cycle of gas-phase  $O_3$ -N $O_x$  reactions. Physisorption of water vapor reduces the halflife of the coating substance BaP by up to a factor of seven by permanently occupying  $\sim 75\%$  of the soot surface. Soot emissions modeled by replenishing reactive surface sites lead to maximum gas-phase O<sub>3</sub> depletions of 41 ppbv and 7.8 ppbv for an hourly and six-hourly replenishment cycle, respectively.

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This conceptual study highlights the interdependence of co-adsorbing species and their non-linear gas-phase feedback. It yields further insight into the atmospheric importance of the chemical oxidation of particles and emphasizes the necessity to implement detailed heterogeneous kinetics in future modeling studies.

#### 4.1.1 Introduction

Heterogeneous chemistry describes reactions between gas-phase species and condensed matter. In the atmosphere, these heterogeneous reactions can significantly change the composition of aerosol particles and the atmospheric environment. The most prominent example is heterogeneous reactions on polar stratospheric clouds, which are the key processes for the observed strong ozone depletion during polar spring in the Antartic [8, 10, 11].

In the troposphere, changes in aerosol composition due to heterogeneous reactions can affect the particle's hygroscopicity with subsequent consequences for its radiative properties and its interactions with clouds [e.g., 61, 90, 137]. Furthermore, heterogeneous reactions can have significant impact on the chemistry of the troposphere by changing gas-phase concentrations of air pollutants and oxidizing agents [e.g., 120, 138–145]. Human health can be affected by heterogeneous reactions leading to changes in the aerosol particle's toxicity and allergic potential [2, 133, 146–149].

A ubiquitous aerosol particle type, particularly in urban environments, is soot. Soot particles originate from the incomplete combustion of hydrocarbons, e.g., in combustion engines and coal-fired power plants [2, 136], and may be coated with polycyclic aromatic hydrocarbons (PAHs) formed by the same processes [2].

Laboratory studies indicated that gas-phase species such as ozone (O<sub>3</sub>) and nitrogen dioxide (NO<sub>2</sub>) can react with soot surfaces [29, 34, 61]. Ozonolysis experiments on soot showed rapid initial gas uptake followed by a slower uptake regime during which surface reactions occurred [60, 62, 63]. These surface reactions can produce carboxyl groups that stay on the particle surface and/or volatile species such as CO<sub>2</sub> and H<sub>2</sub>O that desorb to the gas phase [66]. The same two-step kinetic process, fast initial uptake followed by a slower uptake regime, was found in experiments probing the adsorption and reactivity of NO<sub>2</sub> on soot [21, 68, 69]. Studies also indicated the subsequent formation and desorption of nitrous acid (HONO), which is important for initiating daytime photochemistry by providing a source for the hydroxyl radical (OH) [33, 68, 72, 114, 150]. Water vapor significantly affects the ozonolysis of soot, as it lowers the O<sub>3</sub> surface concentration, which delays the loss of surface species [60].

The efficiency of heterogeneous reactions is often expressed employing an

uptake coefficient  $(\gamma)$  which represents the ratio between the net flux of a gasphase species to the condensed phase and the gas kinetic flux of the gas-phase species colliding with the surface [12, 80–82]. Modeling studies often assume constant uptake coefficients to describe heterogeneous reactions [14, 15, 115], or employ empirical parameterizations of the uptake coefficient in dependence of relative humidity, temperature, and aerosol composition [120, 121]. However, the experimental studies on the adsorption of gas-phase species on soot mentioned above indicate that the heterogeneous kinetics depend also on particle surface composition and gas-phase concentrations, in addition to the environmental conditions described by temperature and relative humidity. Consequently, changes in the gas-phase composition due to reactions within the gas phase and uptake of gas-phase species by particles can significantly affect the efficiency of the uptake kinetics. For these reasons, the uptake coefficient cannot a priori be treated as a constant value. Instead, one expects that it generally varies over time due to changes in the particle surface composition and gas-phase concentrations.

Pöschl et al. [12] developed a kinetic model framework for aerosol surface chemistry and gas-particle interactions which includes flux-based mass balance and rate equations, and a clear separation of mass transport and chemical reactions. This treatment, also termed the Pöschl-Rudich-Ammann (PRA) framework [12, 117], allows to consider changes in the rate parameters such as the uptake coefficient as a result of changes in particle surface composition and gas-phase concentrations. For the remainder of this manuscript, we refer to this approach as dynamic uptake coefficient approach emphasizing the potential variability of  $\gamma$ . The PRA framework consists of a double-layer surface model which incorporates gas-surface, surface layer, and surface-bulk reactions and allows the addition of unlimited numbers of chemical species and physicochemical processes [12]. It provides an explicit mechanistic description of concentration and time dependencies of the reactive and non-reactive gas uptake and subsequent changes in particle composition. Using this framework, the two-step kinetic process observed in the ozonolysis and NO<sub>2</sub> adsorption experiments can be described by a Langmuir adsorption-desorption equilibrium followed by Langmuir-Hinshelwood type surface reactions [12, 60, 61, 90].

The focus of this paper is to determine how heterogeneous reactions change the aerosol surface composition and affect the gas-phase concentrations of adsorbing pollutants in an urban plume scenario. To investigate this question, the PRA dynamic uptake coefficient approach is coupled to the Second Generation Regional Acid Deposition Model (RADM2) which is a well-established, nonlinear chemical gas-phase mechanism for modeling atmospheric chemistry on a regional scale [13, 93] under consideration of diurnal changes in photolysis

frequencies and gas-phase emissions. With this coupled model framework, we are able to assess in yet not achieved detail the effects of heterogeneous reactions on particle and atmospheric gas-phase composition for arbitrary time scales. We apply data from several heterogeneous uptake experiments on soot to model the reversible co-adsorption of O<sub>3</sub>, NO<sub>2</sub>, and water vapor on soot particles coated with the polycyclic aromatic hydrocarbon benzo[a]pyrene (BaP) for an urban plume scenario. These model substances are of high relevance with respect to public health and the environment, as BaP is classified as a "probable human carcinogen" [118] and the trace gases  $O_3$  and  $NO_2$  are major criteria air pollutants [119]. With these gas-phase species, we define three model scenarios of increasing complexity which are comprised of adsorption and surface reactions of  $O_3$ , of  $O_3$  and  $NO_2$ , and of  $O_3$ ,  $NO_2$ , and water vapor. Since each scenario has a different number of co-adsorbing species, we are able to give a detailed account on the influence of co-adsorption on heterogeneous chemistry and the gas phase. Instead of applying a prescribed uptake coefficient, we explicitly resolve the fluxes that determine the uptake coefficient. Therefore, the uptake coefficient is a quantity that is diagnosed from our calculations and is dependent on adsorbent specific parameters, such as the molecular cross section, the accommodation coefficient, the desorption time, and the adsorbents' gas-phase and surface concentrations and reactions.

The new contributions of this study are the coupling of the PRA framework to gas-phase chemistry and the co-adsorption of multiple gas-phase species with coupled surface reactions. By including the competing effects of O<sub>3</sub>, NO<sub>2</sub>, and water vapor, the model complexity goes beyond current laboratory experiments, which consider two co-adsorbing gas-phase species at most [e.g., 60]. It also places the heterogeneous reactions into a more realistic atmospheric context with atmospheric humidity levels, gas-phase and soot emissions, and diurnal photolysis patterns.

The scope of this paper is conceptual and relies on some simplifications. For example, the maximum adsorbents' surface coverage is limited to one monolayer, which means that diffusion processes through multiple surface layers are not considered in our model framework. This allows us to treat the uptake of gas-phase species according to Langmuir adsorption kinetics. To reduce complexity, we neglect changes in particle composition due to coagulation, dilution, and condensation of semi-volatile gas-phase species. We also expect more trace gases than the three considered here to adsorb onto soot particles under atmospheric conditions. However, with limiting the number of adsorbents to three, we are able to specifically assess each adsorbent's influence on the heterogeneous chemistry. Other trace gases, such as OH and NO<sub>3</sub>, are also involved in important heterogeneous reactions [e.g., 78, 142, 151–

155], but have not been shown to adhere to Langmuir adsorption kinetics with subsequent surface reactions (Langmuir-Hinshelwood type reactions) and are therefore not the subject of this study. Although we attempt to use realistic values characteristic of an urban plume scenario as input parameters, our purpose is not to make exact atmospheric predictions.

The paper is organized as follows. Section 2 describes the employed model framework consisting of the PRA model framework coupled to the gas-phase solver RADM2. In section 3, we outline our model approach by describing the adapted gas-phase chemistry of an urban plume scenario, the representation of soot coated with BaP as a model substance, our model scenarios with the implemented surface reactions, and additional soot emission scenarios. Section 4 presents our results on the temporal evolution of surface composition, the BaP half-life, and the feedback on the gas-phase O<sub>3</sub> concentration for the dynamic uptake approach and, for comparison, for an approach with constant uptake coefficients. We conclude with summarizing the findings and their atmospheric implications.

#### 4.1.2 Coupled PRA Model Framework

The PRA framework [12] describes gas-phase uptake and surface chemistry by a double-layer surface model with sorption layer and quasi-static layer, and by flux-based rate equations. Figure 4.1 shows the scenario we adapt on this basis, supported by experiments on the adsorption and subsequent reaction of  $O_3$  on soot [60], and  $NO_2$  on soot [68]: Gas-phase species  $X_i(g)$  adsorbs onto the sorption layer, quantified by the adsorption flux  $J_{ads}$ . Adsorbed species  $X_i(s)$  can then either desorb, expressed by the desorption flux  $J_{des}$ , or react with non-volatile particle components  $Y_i(ss)$  from the quasi-static surface layer, which is indicated by a second-order rate coefficient  $k_{SLR}$ .

The coupling of the PRA model framework to the gas-phase mechanism RADM2 is achieved by implementing the PRA framework's net production and loss terms of gas-phase and surface species into the chemical integration routine of RADM2. Section 4.1.2 describes the gas-phase loss due to the reversible co-adsorption of gas-phase species using a dynamic uptake approach and section 4.1.2 gives an account of the chemical production and loss of adsorbed and surface species due to Langmuir-Hinshelwood type surface reactions. Throughout these sections, we follow closely the derivations given by Pöschl et al. [12].

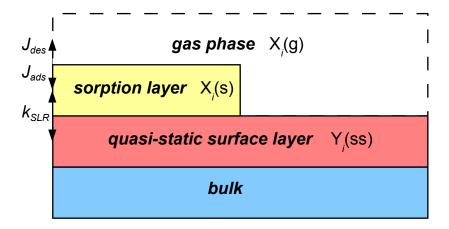


Figure 4.1: The applied surface layer model is shown as a schematic. The gas-particle interface is divided into a gas-phase (g) with gas-phase species  $X_i(g)$ , a sorption layer (s) with adsorbed gas-phase species  $X_i(s)$ , a quasi-static surface layer (ss) with non-volatile particle components  $Y_i(ss)$ , and a particle bulk. The adsorption and desorption fluxes are indicated as  $J_{ads}$  and  $J_{des}$  respectively, and the rate coefficient for surface layer reactions is denoted by  $k_{SLR}$ . Adapted from Pöschl et al. [12].

#### Dynamic Uptake Coefficient Approach

The uptake coefficient of gas species  $X_i$ ,  $\gamma_{X_i}$ , is defined, in terms of fluxes, as the ratio between the net flux of  $X_i$  to the condensed phase,  $J_{\text{net},X_i}$ , and the gas kinetic flux of  $X_i$  colliding with the surface,  $J_{\text{coll},X_i}$ :

$$\gamma_{\mathbf{X}_i} = \frac{J_{\text{net}, \mathbf{X}_i}}{J_{\text{coll}, \mathbf{X}_i}} \,. \tag{4.1}$$

It is important to note that this definition of  $\gamma_{X_i}$  does not explicitly include chemical reactions or gas-phase diffusion, as is often assumed when referring to the reactive uptake coefficient.

The collision flux is based on kinetic gas theory and can be expressed as

$$J_{\text{coll},X_i} = [X_i]_{gs} \frac{\omega_{X_i}}{4} , \qquad (4.2)$$

where  $[X_i]_{gs}$  is the gas-phase concentration close to the surface and  $\omega_{X_i}$  is the mean thermal velocity of molecule  $X_i$  given by  $\omega_{X_i} = \sqrt{8RT/(\pi M_{X_i})}$ , where R is the universal gas constant, T is the absolute temperature, and  $M_{X_i}$  is the molar mass of species  $X_i$ .

Significant net uptake of the gas-phase species can lead to its local depletion close to the surface. A gas-phase diffusion correction factor  $C_{g,X_i}$  can be applied to relate the gas-phase concentration close to the surface  $[X_i]_{gs}$  to the average gas-phase concentration  $[X_i]_g$ , such that  $C_{g,X_i} = \frac{[X_i]_{gs}}{[X_i]_g}$ . For  $\gamma_{X_i}$ -values smaller than one, which is justified for the scenarios considered here,  $C_{g,X_i}$  can be determined as [83]

$$C_{g,X_i} = \frac{1}{1 + \gamma_{X_i} \frac{0.75 + 0.28 \text{Kn}_{X_i}}{\text{Kn}_{X_i} (1 + \text{Kn}_{X_i})}},$$
(4.3)

where  $Kn_{X_i}$  is the Knudsen number given by

$$\operatorname{Kn}_{\mathbf{X}_i} = \frac{6D_{\mathbf{g}, \mathbf{X}_i}}{\omega_{\mathbf{X}_i} d_{\mathbf{p}}} \,. \tag{4.4}$$

 $D_{\mathrm{g,X_i}}$  is the gas-phase diffusion coefficient of species  $\mathrm{X_i}$  and  $d_{\mathrm{p}}$  is the particle diameter. Using the diffusion coefficients for  $\mathrm{O_3}$ ,  $\mathrm{NO_2}$ , and water vapor given by Massman [156] and a particle diameter of 119 nm for soot [60] results in Knudsen numbers of about 2.17 for  $\mathrm{NO_2}$  and  $\mathrm{H_2O}$  and 2.35 for  $\mathrm{O_3}$ . Sensitivity runs with and without gas-phase diffusion corrections showed no difference for the case of  $\mathrm{O_3}$  and  $\mathrm{H_2O}$  uptake, and only a difference within the first 10 s of maximum 2% for  $\mathrm{NO_2}$  uptake. For this reason, the gas-phase diffusion correction for the cases considered here can be neglected and the gas-phase concentration close to the surface equals the average gas-phase concentration,  $[\mathrm{X}_i]_{\mathrm{gs}} \approx [\mathrm{X}_i]_{\mathrm{g}}$ .

The net flux to the condensed phase is the difference of the adsorption flux and desorption flux,

$$J_{\text{net},X_i} = J_{\text{ads},X_i} - J_{\text{des},X_i} . \tag{4.5}$$

The adsorption flux of a gas molecule  $X_i$  is related to the collision flux via the accommodation coefficient  $\alpha_{s,X_i}$  representing the molecule's probability of adsorption on the surface:

$$\alpha_{s,X_i} = \frac{J_{ads,X_i}}{J_{coll,X_i}} . \tag{4.6}$$

Thus,  $J_{\text{ads},X_i}$  can be expressed as

$$J_{\text{ads},X_i} = \alpha_{s,X_i} J_{\text{coll},X_i} = \alpha_{s,X_i} \frac{\omega_{X_i}}{4} [X_i]_{gs} . \tag{4.7}$$

In case of competitive co-adsorption of several gas-phase species, the accommodation coefficient of the individual species,  $\alpha_{s,X_i}$ , can be derived using a Langmuir adsorption model in which all adsorbate species compete for a single

sorption site on the quasi-static surface, such that

$$\alpha_{s,X_i} = \alpha_{s,0,X_i} (1 - \theta_s) , \qquad (4.8)$$

where  $\alpha_{s,0,X_i}$  is the surface accommodation coefficient on an adsorbate-free surface.  $\theta_s$  is the sorption layer surface coverage which is given by the sum of fractional surface coverages of all competing adsorbate species,  $\theta_{s,X_p}$ , i.e.,  $\theta_s = \sum_p \theta_{s,X_p}$ . The fractional surface coverage depends on the surface concentration of the adsorbate species  $X_p$ ,  $[X_p]_s$ , and its effective molecular cross section,  $\sigma_{s,X_p}$ , which corresponds to the inverse of the species' maximum surface concentration in the sorption layer,  $[X_p]_{s,\max}$ :

$$\theta_{s,X_n} = [X_p]_s / [X_p]_{s,max} = \sigma_{s,X_n} [X_p]_s .$$
 (4.9)

The desorption flux of species  $X_i$  can be quantified by the ratio between this species' surface concentration  $[X_i]_s$  and its desorption lifetime  $\tau_{d,X_i}$  which is the mean residence time of the species on the surface:

$$J_{\text{des},X_i} = [X_i]_s / \tau_{d,X_i} . \tag{4.10}$$

By combining Eqs. (4.1)–(4.10) and assuming  $[X_i]_{gs} = [X_i]_g$ , the uptake coefficient of species  $X_i$  can be derived as

$$\gamma_{X_{i}} = \frac{J_{\text{ads},X_{i}} - J_{\text{des},X_{i}}}{J_{\text{coll},X_{i}}} \\
= \frac{\frac{1}{4}\alpha_{s,0,X_{i}}\omega_{X_{i}}[X_{i}]_{g}(1 - \sum_{p}\sigma_{s,X_{p}}[X_{p}]_{s}) - \frac{[X_{i}]_{s}}{\tau_{d,X_{i}}}}{\frac{1}{4}\omega_{X_{i}}[X_{i}]_{g}}.$$
(4.11)

Equation (4.11) shows that the uptake coefficient derived according to Pöschl et al. [12] depends on the parameters of the adsorbing species such as  $\alpha_{s,0,X_i}$ ,  $\sigma_{s,X_p}$ ,  $\omega_{X_i}$ ,  $\tau_{d,X_i}$ , but also on its surface and gas-phase concentrations  $[X_i]_s$  and  $[X_i]_g$ , which can be affected by transport and chemical reactions. Therefore, Eq. (4.11) expresses  $\gamma_{X_i}$  as a dynamic uptake coefficient when changes in  $[X_i]_s$  and  $[X_i]_g$  are taken into account. The numerator of Eq. (4.11) describes the net flux of  $X_i(g)$  to the particle and hence the generation of  $X_i(s)$  in the sorption layer under consideration of the surface coverage of all adsorbing species. Surface reactions need to be taken into account to obtain the total net production of sorption layer species, which we outline in section 4.1.2. It should be noted that the initial adsorbent surface concentration in the sorption layer is zero, thus, the uptake coefficient's initial value is given by the accommodation coefficient,  $\gamma_{X_i, \text{ini}} = \alpha_{s,0,X_i}$ .

The net gas-phase loss of adsorbent  $X_i$ , i. e. loss L due to uptake onto the particle in the condensed phase minus production P due to desorption back to the gas phase, is calculated by multiplying the adsorbent's collision flux by the corresponding dynamic uptake coefficient and by the particle surface area in air,  $[PS]_g$ :

$$\left[\frac{\mathrm{d}}{\mathrm{dt}}[X_{i}]_{\mathrm{g}}\right]_{\mathrm{uptake}} = L_{\mathrm{g,p,X}_{i}} - P_{\mathrm{g,p,X}_{i}}$$

$$= J_{\mathrm{coll,X}_{i}} \gamma_{\mathrm{X}_{i}}[\mathrm{PS}]_{\mathrm{g}} = \gamma_{\mathrm{X}_{i}}[\mathrm{PS}]_{\mathrm{g}}[X_{i}]_{\mathrm{g}} \frac{\omega_{\mathrm{X}_{i}}}{4} . \tag{4.12}$$

The next section describes the reaction kinetics between the adsorbed species and surface components according to a Langmuir-Hinshelwood reaction mechanism.

#### Langmuir-Hinshelwood Mechanism For Surface Reactions

The Langmuir-Hinshelwood mechanism describes reactions in which adsorbed gas-phase species react on the surface [12]. For the cases considered here, we focus on surface reactions between the sorption layer (s) and the quasi-static surface layer (ss) following the derivations by Pöschl et al. [12] and Ammann and Pöschl [117]. Chemical reactions that proceed between the gas phase and the particle surface, exclusively within the sorption or the quasi-static surface layer, as well as photo-chemical processes on the surface are neglected. We assume the product of the surface reactions to be a surface component residing within the quasi-static surface layer. Applying these assumptions, the net chemical production of quasi-static surface species  $Y_i(ss)$  from reaction between adsorbed species in the sorption layer,  $X_p(s)$ , and surface components in the quasi-static surface layer,  $Y_q(ss)$ , is determined by

$$\frac{\mathrm{d}}{\mathrm{dt}}[Y_i]_{ss} = P_{s,ss,Y_i} - L_{s,ss,Y_i}$$

$$= \sum_{v} \sum_{p} \sum_{q} c_{SLRv,s,Y_i} k_{SLRv,X_p,Y_i} [X_p]_s [Y_q]_{ss} , \qquad (4.13)$$

where v numbers the rate equation, p and q number the reactants,  $c_{\rm SLR}$  are negative or positive stoichiometric coefficients, and  $k_{\rm SLR}$  are second-order rate coefficients.

In contrast to quasi-static surface layer components which are produced and lost through surface reactions, adsorbed sorption layer species are only depleted by surface reactions, since we assume the surface reactions considered here to be irreversible. The loss of sorption layer species  $X_i$  due to the surface

reactions is a subset of Eq. (4.13) with the reactant summation index variable p fixed to species  $X_i$ :

$$L_{\text{s,ss,X}_i} = \sum_{v} \sum_{q} c_{\text{SLR}v,\text{s,X}_i} k_{\text{SLR}v,\text{X}_i,\text{Y}_i} [X_i]_{\text{s}} [Y_q]_{\text{ss}} . \tag{4.14}$$

The total net chemical production of sorption layer species  $X_i(s)$  is composed of the loss due to surface reactions and the production and loss due to adsorption and desorption:

$$\frac{d}{dt}[X_i]_s = J_{ads,X_i} - J_{des,X_i} - L_{s,ss,X_i}, \qquad (4.15)$$

where the fluxes of adsorption and desorption,  $J_{\text{ads},X_i}$  and  $J_{\text{des},X_i}$ , are described in section 4.1.2.

The differential Eqs. (4.12), (4.13), and (4.15) represent the heterogeneous kinetics adapted in our model framework. We implement these differential equations into the chemical integration routine of RADM2 [13, 93] to obtain solutions and to account for the temporal evolution of the gas phase.

In the next section, we specify the gas-phase chemistry of RADM2 and give a detailed account of our model approach and implemented heterogeneous chemistry scenarios.

## 4.1.3 Model Approach

We model the reversible co-adsorption and subsequent surface reactions of O<sub>3</sub>, NO<sub>2</sub>, and water vapor on soot coated with BaP in an urban plume scenario. Section 4.1.3 describes the gas-phase chemistry of this urban plume scenario as implemented into the chemical gas-phase solver RADM2. Section 4.1.3 gives a representation of soot coated with BaP as a model substance, which is followed by an overview of the implemented surface reactions and corresponding model scenarios in section 4.1.3. Section 4.1.3 describes two soot emission scenarios to assess the gas-phase feedback from heterogeneous reactions under polluted conditions.

#### Gas-Phase Chemistry

The chemical gas-phase solver RADM2 includes 62 chemical species, 21 photolysis reactions, and 140 thermal reactions [13]. A detailed account on the gas-phase reactions of RADM2 is given elsewhere [13, 93]. RADM2 is widely used in atmospheric models to predict concentrations of oxidants and other air pollutants [e.g., 122, 123]. The main feature that RADM2 provides to this

study is the  $NO_x$ - $O_3$  chemistry with its diurnal pattern. This results in continuous changes in the  $O_3$  and  $NO_2$  gas-phase concentrations throughout the simulation period. These variations in gas-phase concentrations subsequently affect the magnitude of the individual and combined uptake of  $O_3$  and  $NO_2$  by the soot particles. This investigation of the gas phase-particle surface interrelationship under atmospherically relevant conditions is one of the main foci and and novelty of this study.

We use RADM2 in a tropospheric urban plume scenario (PLUME1) according to Kuhn et al. [100]. This case was designed to represent the chemistry in the polluted boundary layer, which is consistent with an urban plume scenario where emissions of soot occur. This plume scenario includes constant emissions for a variety of trace gases representative for continental European air [128], such as 0.54 pptv min<sup>-1</sup> of SO<sub>2</sub>, 2.68 pptv min<sup>-1</sup> of NO, and 5.85 pptv min<sup>-1</sup> of CO. Volatile organic compounds (VOCs) are aggregated into 15 classes of reactive organic species with emissions of 0.14 pptv min<sup>-1</sup> for formaldehyde (HCHO), 0.037 pptv min<sup>-1</sup> for acetaldehyde and higher aldehydes, and 0.46 pptv min<sup>-1</sup> for acetone, methyl ethyl ketone and higher ketones. Initial concentrations are set to 50 ppbv for O<sub>3</sub>, 0.5 ppbv for NO<sub>2</sub>, 0.2 ppbv for NO, and 20,000 ppmv for H<sub>2</sub>O which corresponds to 64% relative humidity (RH). Time-dependent photolysis rates are calculated as described in Kuhn et al. [100]. Physical processes such as deposition and dilution of trace gases and soot particles, and particle coagulation are not considered. As suggested by Vogel et al. [114], the reaction rate for the production of HONO from NO and OH was revised according to Atkinson et al. [127].

RADM2 is run in a box model version for a modeling period of five days, under atmospheric conditions of 1013.25 hPa pressure and a temperature of 298 K. The simulation starts at 12 noon. The gas-phase chemistry in RADM2 is solved with a variable chemical time step [93] ranging from 0.096 s to 3 s. To resolve the rapid adsorption of water vapor in scenario C, a shorter time step is used for the first day of the simulation period, ranging from 0.003 s to 0.006 s. The gas-phase solver RADM2 and the heterogeneous chemistry part outlined in the last section run in a coupled fashion for all model scenarios considered in this paper.

#### Representation of Soot

The chemical surface reactions occur on a population of soot particles coated with BaP. We adopted an initial soot concentration of 10  $\mu g \, m^{-3}$  in air corresponding to concentrations in heavily polluted air [16]. Since the soot surface is of fractal-like geometry [35], we use the surface area obtained from Brunauer-Emmett-Teller (BET) isotherms as reactive surface area and implement a BET

value of 500 m<sup>2</sup> g<sup>-1</sup>. This corresponds to values that have been used in laboratory heterogeneous chemistry studies [e.g., 63, 134, 135]. Multiplying the BET value by the soot concentration yields a total surface concentration of  $[PS]_g = 5 \times 10^{-5}$  cm<sup>2</sup> cm<sup>-3</sup>. However, BET values for soot can range from 6 m<sup>2</sup> g<sup>-1</sup> for aircraft engine combustor soot [37] to approximately 500 m<sup>2</sup> g<sup>-1</sup> for the post-treated black carbon Degussa FW2 [38], which can lead to large differences in  $[PS]_g$ . Thus, the implemented BET-value of 500 m<sup>2</sup> g<sup>-1</sup> may result in an upper limit for the concentration of surface reaction sites.

While the surface concentration remains constant in this model, the passivation of the surface is introduced by the consumption of the BaP coating, which has initially a surface concentration of  $1\times10^{14}$  cm<sup>-2</sup> corresponding to a full monolayer coverage. BaP readily adsorbs onto soot particles and can be regarded as a proxy for the wider class of polycyclic aromatic compounds, but also for soot due to its structural similarities of the surface [60]. Soot can be pictured as agglomerate of graphene layers, while BaP (C<sub>20</sub>H<sub>12</sub>) represents a single graphene layer consisting of five six-membered aromatic rings [60, 157]. Here, BaP provides consumable reactive sites for adsorption processes and surface reactions.

#### Surface reactions

We define three model scenarios with an increasing level of complexity. These scenarios represent the adsorption and surface reactions of  $O_3$  in scenario A, of  $O_3$  and  $NO_2$  in scenario B, and of  $O_3$ ,  $NO_2$ , and water vapor in scenario C. As starting point for the dynamic uptake coefficient approach described in section 4.1.2, we implemented experimentally determined initial uptake coefficients as accommodation coefficients in accord to Ammann and Pöschl [117]. This approach is justified, since initially  $\gamma_{Xi} = \alpha_{s,0,Xi}$ , as can be seen from equation (4.11). In the following, we discuss each scenario with regards to the implemented surface reactions and adapted input parameters with reference to Table 4.1.

Scenario A represents the adsorption of O<sub>3</sub> and subsequent surface reactions of O<sub>3</sub> with BaP and its derivatives. After O<sub>3</sub> is adsorbed to the sorption layer (s), it participates in three surface reactions with the quasi-static surface layer (ss), (1) to (3), as given in Table 4.1. These reactions convert BaP into chemical derivatives Y2, Y3, and Y4, whose chemical form is not exactly known, but can be pictured as BaP derivatives with an increasing number of oxygenated functional groups and decreasing reactivity towards photo-oxidants such as BaP-quinones, hydroxy-ketones, acid anhydrides, lactones, etc. [59, 131–133]. This scenario corresponds to Model System Solid 1 [117], except that it includes the atmospheric context by the coupling to RADM2.

Table 4.1: Adsorbents, surface reactions, reaction rates, and parameters (accommodation coefficient  $(\alpha)$ , effective molecular cross section  $(\sigma)$ , desorption time  $(\tau)$ ) applied in model scenarios A, B, C.

Scenario	Adsorbents	Surface reactions
A	${ m O}_3$	$ \begin{array}{l} (1) \ {\rm O}_{3}({\rm s}) \ + \ {\rm BaP}({\rm ss}) \ \rightarrow \ {\rm Y2}({\rm ss}) \\ (2) \ {\rm O}_{3}({\rm s}) \ + \ {\rm Y2}({\rm ss}) \ \rightarrow \ {\rm Y3}({\rm ss}) \\ (3) \ {\rm O}_{3}({\rm s}) \ + \ {\rm Y3}({\rm ss}) \ \rightarrow \ {\rm Y4}({\rm ss}) \\ \end{array} $
В	${ m O_3},$ ${ m NO_2}$	(1) to (3) (4) $NO_2(s) + Y2(ss) \rightarrow Y5(ss)$ (5) $NO_2(s) + Y3(ss) \rightarrow HONO(g)$
С	$egin{aligned} \mathrm{O_3}, \\ \mathrm{NO_2}, \\ \mathrm{H_2O} \end{aligned}$	(1) to (5)
Scenario	Reaction rates $[cm^2 s^{-1}]$	Parameters
A	$k_{\rm SLR1} = 2.1 \times 10^{-17} [60, 117]$ $k_{\rm SLR2} = 2.1 \times 10^{-19} [117]$ $k_{\rm SLR3} = 2.1 \times 10^{-21} [117]$	$\begin{array}{l} \alpha_{\rm s,0,O_3} = 1 \times 10^{-3} \ [60,  65,  117] \\ \sigma_{\rm O_3} = 1.8 \times 10^{-15} \ {\rm cm^2} \ [60,  117] \\ \tau_{\rm d,O_3} = 18 \ {\rm s} \ [60,  117] \end{array}$
В	$k_{\rm SLR1}$ to $k_{\rm SLR3}$ $k_{\rm SLR4} = 7 \times 10^{-18} [117]$ $k_{\rm SLR5} = 7.5 \times 10^{-21} [116, 117]$	$\alpha_{\rm s,0,NO_2} = 0.14 \ [71, 117]$ $\sigma_{\rm NO_2} = 3 \times 10^{-15} \ {\rm cm^2} \ [116, 117]$ $\tau_{\rm d,NO_2} = 18 \ {\rm s} \ [116, 117]$
С	$k_{ m SLR1}$ to $k_{ m SLR5}$	$\alpha_{\rm s,0,H_2O} = 0.4 \times 10^{-3} [60, 67]$ $\sigma_{\rm H_2O} = 1.08 \times 10^{-15} \text{ cm}^2 [130]$ $\tau_{\rm d,H_2O} = 3 \times 10^{-3} \text{ s} [60]$

The reaction rate  $k_{\rm SLR1}$ , and the O<sub>3</sub>-specific parameters  $\alpha_{\rm s,0,O_3}$ ,  $\sigma_{\rm O_3}$ ,  $\tau_{\rm d,O_3}$  are adapted from results of aerosol flow tube experiments at ambient temperature and pressure [60]. The reaction rate coefficients for reactions (2) and (3),  $k_{\rm SLR2}$  and  $k_{\rm SLR3}$ , were chosen according to Ammann and Pöschl [117] to account for the decreasing reactivity of the BaP derivatives.

Scenario B represents the co-adsorption and subsequent surface reactions of O<sub>3</sub> and NO<sub>2</sub>. In addition to surface reactions (1) to (3), adsorbed NO<sub>2</sub> also reacts with BaP derivatives according to surface reactions (4) and (5) as listed in Table 4.1. Based on Model System Solid 2 [117], the products consist of another surface component (with increased oxygenated functional group) and a volatile component which desorbs to the gas-phase. Input parameters

are based on experimental data for the reaction and adsorption of NO<sub>2</sub> at the surface of soot particles in Knudsen cell experiments [71], aerosol flow reactor experiments [68], and filter deposition experiments [116]. The reaction rate coefficient for surface reaction (4),  $k_{\rm SLR4}$ , was adjusted by Ammann and Pöschl [117] to fit the experimental data. In surface reaction (5), we identify the volatile component with nitrous acid (HONO) and apply a reaction rate of  $3.7 \times 10^{-3} \, \rm s^{-1}$  according to Ammann et al. [68], which yields  $7.5 \times 10^{-21} \, \rm cm^2 \, s^{-1}$ .

Scenario C involves the co-adsorption of  $O_3$ ,  $NO_2$ , and water vapor, with subsequent surface reactions of  $O_3$  and  $NO_2$  according to surface reactions (1) to (5) as listed in Table 4.1. For this scenario, we assume that water vapor adsorbs to the surface without being involved in subsequent surface reactions. This is supported by Pöschl et al. [60], who observed a slower decay of BaP and smaller gas-phase  $O_3$  loss under humid conditions indicating the inhibition of  $O_3$  adsorption by competitive adsorption of water vapor at the aerosol surface. Since freshly emitted discharge soot particles are known to be hydrophobic, physisorption of water vapor on soot was suggested as the mechanism for adsorption, supported by the water vapor's small desorption lifetime [60]. The  $H_2O$  specific parameters  $\alpha_{s,0,H_2O}$  and  $\tau_{d,H_2O}$  are adapted from Rogaski et al. [67] and Pöschl et al. [60], and  $\sigma_{H_2O}$  is taken from Nishino [130].

In our first set of simulations we start with an initial soot concentration and do not include any emissions of fresh soot over the course of the simulation. While the surface concentration  $[PS]_g$  remains constant, the uptake coefficient  $\gamma_{X_i}$  does change with time, according to the available surface reaction sites and gas concentrations, which is explicitly predicted from Eq. (4.11). In the second set of simulations, we also keep the surface concentration constant, but include the effect of soot emissions by replenishing the reactive surface sites, which we describe in the next section.

#### **Soot Emissions**

In polluted areas, soot is emitted continuously by a variety of sources, such as car traffic and coal-fired power plants [2, 136]. Once emitted, the surface of soot particles is expected to become passivated as surface sites are taken up by adsorbents. Therefore, at any given point in time, soot particles of different ages with different surface reactivities co-exist, ranging from freshly emitted particles with large numbers of reactive surface sites to aged particles where most of the surface sites are depleted. In such an environment, the continuous emission of fresh soot particles could be important when estimating the gas-phase feedback from heterogeneous reactions on soot. In our box model framework, individual soot particles that are introduced at different times due to continuous emissions cannot be tracked. Nevertheless, to estimate the effect

of freshly emitted soot particles on the gas phase in our box-model framework, we adopt the following approach as a sensitivity study.

The emission of fresh soot in our box model is approximated by resetting the soot surface to its initial condition with a BaP surface concentration of  $[BaP] = 1 \times 10^{14} \ cm^{-2}$  and no secondary surface components. At this point in the simulation, the existing population of soot with the residual BaP concentration and higher order surface components is discarded. While the number of reactive surface sites changes to account for the effect of soot emissions on chemical reactions, we assume the overall soot production and loss to be in equilibrium and therefore keep the soot surface concentration  $[PS]_g$  constant. We neglect physical processes, such as coagulation, deposition, and dilution of the soot particles. The BaP surface concentration on soot is replenished according to the two following scenarios. In the low emission scenario, the reactive surface is replenished every six hours, and in the high emission scenario, it is replenished every hour. The error from neglecting gas-phase uptake on discarded populations for these replenishing times will be assessed in the next section.

The replenishing times are related to hourly emission rates,  $R_{\text{emission}}$ , by

$$R_{\text{emission}} = \frac{[PS]_{g} \cdot h_{\text{box}}}{BET \cdot t_{r}}, \qquad (4.16)$$

where  $[PS]_g = 5 \times 10^{-5}$  cm<sup>2</sup> cm<sup>-3</sup> is the particle surface concentration, BET = 500 m<sup>2</sup> g<sup>-1</sup>,  $t_r$  is the replenishing time, and  $h_{box}$  is the box height which we choose as 1 km corresponding to the depth of the tropospheric boundary layer. This yields hourly emission rates of 1.67 kg km<sup>-2</sup> h<sup>-1</sup> for the low emission scenario with  $t_r = 6$  h, and 10 kg km<sup>-2</sup> h<sup>-1</sup> for the high emission scenario with  $t_r = 1$  h. These emission rates are comparable in magnitude to the soot emission rates of 0.72 kg km<sup>-2</sup> h<sup>-1</sup> and 7.2 kg km<sup>-2</sup> h<sup>-1</sup> chosen in a previous study by Aklilu and Michelangeli [115] to model typical atmospheric background conditions with the lower rate and locations close to urban combustion sources with the higher rate.

This completes our set of model scenarios consisting of the co-adsorption scenarios A, B, and C, and the two classes of emission scenarios which are labeled by the number of replenishments per day as  $A_{4x}$ ,  $B_{4x}$ ,  $C_{4x}$ , and  $A_{24x}$ ,  $B_{24x}$ ,  $C_{24x}$ . For matters of comparison, we define additional scenarios at various places in this paper. We briefly discuss these scenarios when defined, since they involve only minor changes of the scenarios described above.

### 4.1.4 Results and Discussion

In this section, we present and discuss the results of the different model scenarios. Section 4.1.4 focuses on the soot surface chemistry and composition, followed by an account of the characteristic lifetime of the coating substance BaP in section 4.1.4. In section 4.1.4, we assess the influence of heterogeneous reactions on the gas-phase  $O_3$  concentration for emission and non-emission scenarios. These results are then compared with the gas-phase  $O_3$  feedback obtained by applying constant uptake coefficients in section 4.1.4.

#### **Surface Composition**

The surface chemistry of scenario A is shown in Fig. 4.2A. During the first 0.1 min,  $O_3$  adsorbs onto an essentially adsorbate free surface with  $\theta_s \approx 0$ . This causes the initial plateau of the  $O_3$  uptake coefficient  $\gamma_{O_3}$  where its value is dominated by the adsorption flux, so that  $\gamma_{O_3} \approx 0.001 = \alpha_{s,0,O_3}$ . When reaching a  $O_3$  surface concentration of  $[O_3]_s \approx 1.5 \times 10^{14} \text{ cm}^{-2}$ , the surface becomes saturated leading to the first decrease in  $\gamma_{O_3}$  until around 1 min. The subsequent plateau in  $\gamma_{O_3}$  is due to the chemical reaction of  $O_3$  in the sorption layer with BaP in the quasi-static surface layer. As a result, the BaP surface concentration decreases, the reactions product concentration [Y2]<sub>ss</sub> increases, and O<sub>3</sub> uptake remains constant to sustain the reaction. Further depletion of BaP causes the second decrease of  $\gamma_{O_3}$  at 10 min, followed again by a plateau due to the reaction of  $O_3$  with Y2 that increases the surface concentration of Y3. The same temporal pattern applies for the production of Y4 from the reaction of  $O_3$  with Y3. After the first day, the gas-phase  $O_3$  concentration increases due to the photochemical production from the reactions of the O<sub>3</sub> precursors  $NO_x$  and VOCs. This is shown in Fig. 4.2G, which presents the gas-phase concentrations and diurnal cycles of O<sub>3</sub>, NO<sub>2</sub>, NO, and HONO corresponding to scenario A. Since the effect of the surface chemistry on the gas phase is negligible in scenario A, B, and C, the temporal evolution of the gas-phase concentrations in scenarios A, B, and C are identical. An increase in the gas-phase  $O_3$  concentration results in a larger  $O_3$  uptake according to Eq. (4.11). However, with  $\gamma_{O_3} < 1 \times 10^{-7}$ , its magnitude stays below first-day values due to increased surface saturation and the consumption of reactive primary surface components. Within the first day, BaP is decreased by almost 100%, essentially turning off surface reaction (1) which subsequently slows down or inhibits reactions (2) to (5) due to the decrease of educt production. During nighttime, the  $O_3$  adsorption flux decreases due to  $O_3$ -depleting reactions and the absence of gas-phase O<sub>3</sub> sources. On the other hand, high surface saturation leads to an increase in the desorption flux of surface  $O_3$ ,

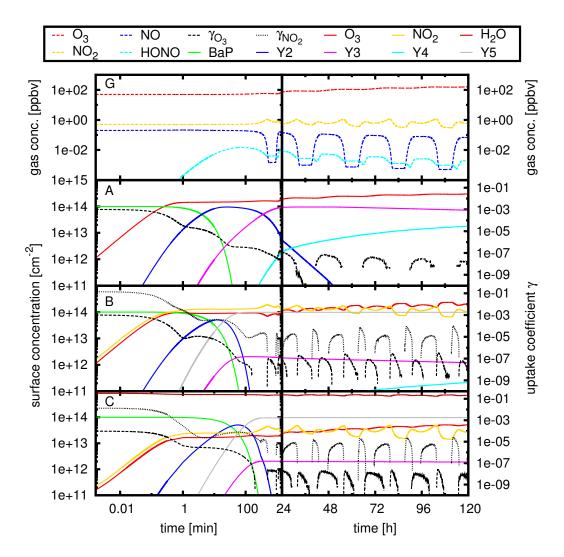


Figure 4.2: The temporal evolution of gas-phase component concentrations (G), surface component concentrations, and uptake coefficients for the adsorption and surface reaction of  $O_3$  (A), the co-adsorption of  $O_3$  and  $NO_2$  (B), and the co-adsorption of  $O_3$ ,  $NO_2$  and  $H_2O$  (C) following the surface reactions defined in Table 4.1 is plotted on a logarithmic timescale for day one (left panels) and on a linear timescale for the four following days (right panels). The temporal evolution of gas-phase components (G) is identical for scenarios A, B, and C, since the effect of the heterogeneous reactions on the gas phase is negligible in these scenarios.

so that the desorption flux can temporarily exceed the adsorption flux. This results in negative  $\gamma_{O_3}$ -values which represent the direct response to the diurnal cycle of gas-phase  $O_3$  as depicted in Fig. 4.2G and are indicated by the discontinuations along the abscissa in Fig. 4.2A. The value of  $\gamma_{O_3}$  decreases by more than five orders of magnitude and then becomes negative.

The surface chemistry of scenario B is shown in Fig. 4.2B. In this scenario, two gas-phase species,  $O_3$  and  $NO_2$ , adsorb onto the soot surface. Initial adsorption of gas-phase species, chemical consumption of BaP and surface components, and chemical production of surface components proceed similar to scenario A. Although NO<sub>2</sub> gas-phase concentrations are lower, the initial  $NO_2$  uptake exceeds that of  $O_3$  due to a larger accommodation coefficient for  $NO_2$  of  $\alpha_{s,0,NO_2} = 0.14$  compared to  $\alpha_{s,0,O_3} = 0.001$  for  $O_3$ . In comparison to scenario A, the O<sub>3</sub> surface concentration is reduced in scenario B due to the co-adsorption of  $NO_2$ . Also concentrations of the other surface components are different compared to the ones in scenario A. The lifetime of Y2 decreases by almost two days due the added Y5-producing reaction with NO<sub>2</sub>. The Y3 concentration is reduced by almost half in comparison to scenario A, since not all Y2 are converted into Y3 anymore. As given in Table 4.1, the reaction rates for the reactions of NO<sub>2</sub> with Y2 and Y3 are faster than the reaction rates for the reactions of  $O_3$  with Y2 and Y3. Therefore,  $NO_2$  converts Y2 into Y5 and Y3 into HONO faster than  $O_3$  converts Y2 to Y3 and further to Y4. This delays Y4 production by over two days. The proportionality between adsorption flux and gas-phase concentration in Eq. (4.7) relates the adsorbents' uptake coefficients and surface concentrations to their gas-phase concentrations. This becomes evident after the initial surface saturation at around one minute of simulation time and, more pronounced, after six hours. Since the NO<sub>2</sub> adsorption flux initially exceeds that of  $O_3$ , more  $NO_2$  molecules than  $O_3$  molecules are occupying surface sites. Therefore the NO<sub>2</sub> surface concentration exhibits the same temporal evolution as the NO<sub>2</sub> gas-phase concentration, which can be seen by comparing Fig. 4.2B to Fig. 4.2G. The  $O_3$  surface concentration increases when surface sites become available from a decrease in the NO<sub>2</sub> surface concentration due to a decrease in the NO<sub>2</sub> gas-phase concentration. The resulting alternating evolution of O<sub>3</sub> and NO<sub>2</sub> surface concentrations also induces an alternating evolution of  $O_3$  and  $NO_2$  uptake coefficients, with maxima ranging from  $1\times10^{-5}$  to  $1\times10^{-4}$  for  $\gamma_{NO_2}$ , and from  $5\times10^{-8}$  to  $3\times10^{-7}$  for  $\gamma_{\rm O_3}$  on days two to five. However, these values are three orders of magnitude smaller than the initial uptake coefficients. This indicates that 99.9% of the uptake occurs within the first six hours.

The surface chemistry of scenario C is shown in Fig. 4.2C. In this scenario, also water vapor, in addition to NO<sub>2</sub> and O<sub>3</sub>, co-adsorbs onto the soot

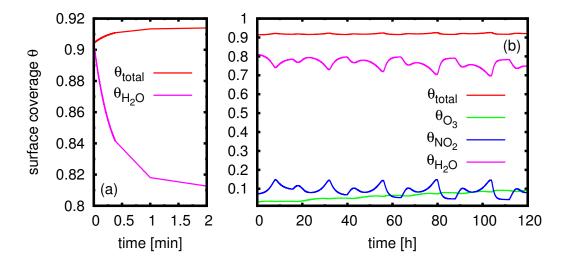


Figure 4.3: The temporal evolution of the soot surface coverage  $\theta$  in scenario C is shown for the first 2 min (a), and for the entire simulation period of five days (b). The total surface coverage is indicated by  $\theta_{\text{total}}$ , and the fractional surface coverages of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O by  $\theta_{\text{O}_3}$ ,  $\theta_{\text{NO}_2}$ , and  $\theta_{\text{H}_2\text{O}}$ , respectively. Input parameters and surface reactions for scenario C are given in Table 4.1.

surface, but it does not take part in chemical surface reactions. H<sub>2</sub>O has a gas-phase concentration of about 20,000 ppmv. This corresponds to 64% RH and is six and eight orders of magnitude larger than the gas-phase concentrations of O<sub>3</sub> and NO<sub>2</sub>, respectively. Due to its high partial pressure, H<sub>2</sub>O adsorbs rapidly onto the soot surface. Figure 4.3(a) shows that the H<sub>2</sub>O surface coverage is initially over 90%, but decreases to below 82% within the first 2 min of simulation time. Figure 4.3(b) indicates that  $H_2O$  constantly occupies about 75% of the total surface for the course of the simulation. This reduces the adsorption fluxes of  $O_3$  and  $NO_2$  and results in a decrease in the surface concentrations and uptake coefficients of  $O_3$  and  $NO_2$  by almost one order of magnitude and half an order of magnitude, respectively, as can be seen by comparison with Fig. 4.2B. Since  $O_3$  and  $NO_2$  adsorption have little influence on the total surface coverage, their gas-phase uptakes and surface concentrations are not as interdependent as in scenario B. Consequently, both the O<sub>3</sub> and the NO<sub>2</sub> surface concentrations mimic closely their respective gas-phase concentrations, which are depicted in Fig. 4.2G. Lower surface concentrations of  $O_3$  and  $NO_2$  also result in slower surface reactions, thereby delaying the production of higher order surface components by over half an order of magnitude. For this reason, the uptake coefficients exhibit only two plateaus on the first day, one due to the initial uptake and one governed by the reactions of  $O_3$  with BaP and NO<sub>2</sub> with Y2. On days two to five, the evolution of O<sub>3</sub> and NO<sub>2</sub> uptake coefficients is similar to the one in scenario B, but in comparison to scenario B, maximum  $\gamma$ -values are reduced by up to one order of magnitude.

We investigated the effect of high NO-emissions on the gas phase and particle surface composition of scenario B by increasing the NO emission 10-fold, from 2.68 pptv min<sup>-1</sup> to 26.8 pptv min<sup>-1</sup>. The simulation results are shown in Fig. 4.4. Figure 4.4G shows the temporal evolution of the gas-phase concentrations of O<sub>3</sub>, NO<sub>2</sub>, NO, and HONO for this model scenario. While the NO gas-phase concentration increases from 0.2 ppbv to 97 ppbv during the five days simulation period, more NO can react with  $O_3$  to produce  $NO_2$  and  $O_2$  leading to a strong nighttime titration of  $O_3$  from the second to the fifth day. Figure 4.4B\* presents the soot particle's surface concentrations. As can be seen in Fig. 4.4B\*, the  $O_3$  gas-phase depletion is accompanied by a decrease in the  $O_3$  surface concentration. During periods of  $O_3$  depletion,  $\gamma_{O_3}$  becomes negative, since surface desorption exceeds the reduced adsorption from the gas phase. The overall decrease in the  $O_3$  surface concentration delays the production of the surface component Y4 until the fifth day of the simulation period. The decrease in the  $O_3$  surface coverage yields increases in the  $NO_2$ surface concentration resulting in NO<sub>2</sub> saturation with a maximum surface concentration of  $1/\sigma_{NO2} = 3 \times 10^{14} \text{ cm}^{-2}$ . Although only the NO emission rate was changed compared to scenario B, the particle surface compositions of this scenario and of scenario B are significantly different. While in scenario B (see Fig. 4.2B) the  $O_3$  surface concentration is almost double the amount of the NO<sub>2</sub> surface concentration at the end of the five day simulation period, the sorption layer surface in the high NO emission scenario is almost entirely filled with NO<sub>2</sub> molecules (see Fig. 4.4B\*). This clearly demonstrates that temporal changes in the gas-phase composition can lead to large differences in the particle surface composition.

The variations in the adsorbents' surface concentrations and uptake coefficients after the first day of the simulation period exemplify the differences to uptake scenarios that do not account for a dynamic gas-phase chemistry, such as the Models Systems Solid 1 and 2 discussed in Ammann and Pöschl [117]. However, as shown in Fig. 4.2, variations in the adsorbents' gas-phase concentrations have a direct effect on the adsorbents' surface concentrations and, consequently, on their uptake coefficients. By accounting for variable gas-phase concentrations in our coupled PRA framework, we can resolve variations in the uptake coefficient over atmospherically relevant time scales and study the effect of different gas-phase scenarios on the particle surface chemistry.

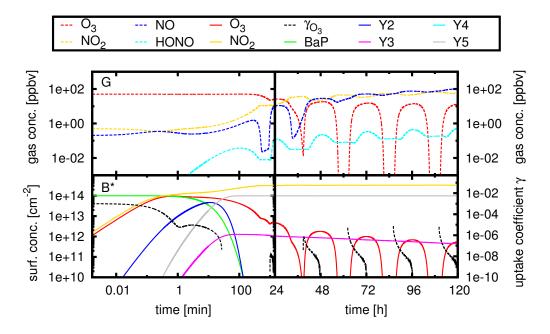


Figure 4.4: The temporal evolution of gas-phase component concentrations (G), surface component concentrations, and uptake coefficients for scenario B\* are shown and plotted on a logarithmic timescale for day one (left panels) and on a linear timescale for the four following days (right panels). Scenario B\* corresponds to scenario B with a 10-fold increase of the NO emission rates.

#### BaP Lifetime

The consumption of the soot's BaP coating can be regarded as an initial surface oxidation process due to the reaction with O<sub>3</sub> and NO<sub>2</sub>. The efficiency of the heterogeneous kinetics of this oxidation processes can be quantified by the BaP half-life. Figure 4.5 shows the temporal evolution of the BaP concentration for scenarios A, B, C, and scenario C\* with the BaP half-lives highlighted by the intersection with the horizontal dotted black line. Scenario C\* represents the co-adsorption of O<sub>3</sub> and H<sub>2</sub>O following the surface reactions (1) to (3) as given in Table 4.1. It is meant to serve as an additional comparison to scenario A to identify the influence of the co-adsorption of H<sub>2</sub>O. Figure 4.5 indicates that the half-life of BaP surface molecules in scenario A is about 4 min. The addition of the co-adsorbing species NO<sub>2</sub> in scenario B extends the half-life by 54% to 6.2 min. Taking into account H<sub>2</sub>O physisorption and atmospheric relative humidity of 64% in scenario C increases the half-life of BaP to 32.5 min as indicated in Fig. 4.5. Without the NO<sub>2</sub> co-adsorption in scenario C\*, the BaP half-life is 30 min, 10% less than in scenario C. This indicates that the

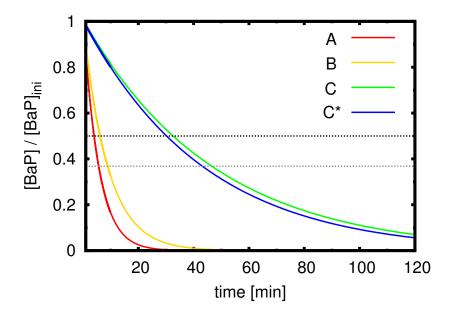


Figure 4.5: The temporal evolution of the ratio of actual BaP surface concentration to initial BaP concentration is shown for scenarios A, B, C, and C\*. Scenario A represents the adsorption of O<sub>3</sub>, scenario B the co-adsorption of O<sub>3</sub> and NO<sub>2</sub>, scenario C the co-adsorption of O<sub>3</sub>, NO<sub>2</sub> and H<sub>2</sub>O, and scenario C\* the co-adsorption of O<sub>3</sub> and H<sub>2</sub>O. Scenarios A, B, and C follow the surface reactions given in Table 4.1; scenario C\* follows the surface reactions (1) to (3) given in Table 4.1. The intersection with the horizontal dotted lines indicate BaP half-life (dotted black line) and BaP lifetime (dotted grey line).

 $\rm H_2O$  co-adsorption is responsible for over 90% of the increase in BaP half-life between scenarios A and C. The reason for this is the rapid adsorption of  $\rm H_2O$  on the surface where it occupies a large portion of the available surface sites resulting in a high fractional surface coverage of  $\rm H_2O$ , as illustrated in Fig. 4.3. The reactive surface sites occupied by  $\rm H_2O$  are not available anymore for the adsorption of  $\rm O_3$  and subsequent BaP consumption via surface reaction (1). Compared to scenarios A and B, the co-adsorption of water vapor leads to a more than five-fold increase in BaP half-life between scenarios C and B, and more than a seven-fold increase between scenarios  $\rm C^*$  and A.

The sensitivity of the BaP half-life on the adsorption of  $H_2O$  is influenced by the  $H_2O$  concentration and therefore by RH. Figure 4.6 shows that the BaP half-life increases linearly with RH. An increase from 0% RH to 25% RH results in an almost threefold increase in the BaP half-life from 6 min to 17 min for scenario C. Also shown in Fig. 4.6 are the half-lives of scenarios P and P\*. Scenario P\* represents BaP half-lives as a function of RH derived

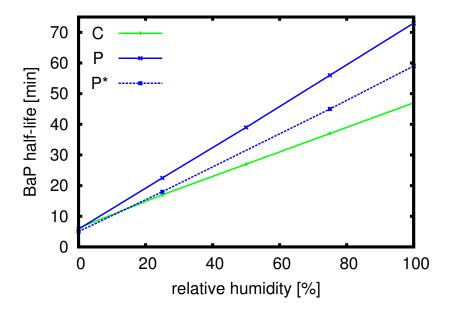


Figure 4.6: The BaP half-life is plotted as a function of relative humidity for scenarios C, P, and P\*. Scenario C represents the co-adsorption of  $O_3$ ,  $O_2$  and  $O_3$  and  $O_4$  following the surface reactions given in Table 4.1 with an initial gasphase  $O_3$  concentration of 50 ppbv, an initial BaP surface concentration of  $O_4$  and a temperature of 298.15 K. Scenario P represents the co-adsorption of  $O_4$  and  $O_4$  following surface reactions (1) to (3) from Table 4.1 with a constant gas-phase  $O_4$  concentration of 30 ppbv, an initial BaP surface concentration of  $O_4$  and a temperature of 296 K corresponding to the boundary conditions of an aerosol flow tube experiment conducted by Pöschl et al. [60]. Scenario P\* represents the experimentally derived BaP half-lives from the aerosol flow tube experiment [60] with the same boundary conditions as used in scenario P.

from experimental data from the ozonolysis of soot coated with BaP [60]. Scenario P represents our modeling results using the experimental conditions of Pöschl et al. [60] as input parameters. These are a 30 ppbv constant gas-phase  $O_3$  concentration, an initial BaP surface concentration of  $1.8 \times 10^{13}$  cm<sup>-2</sup>, a temperature of 296 K, and  $O_3$  and  $H_2O$  as adsorbing species. Other input parameters are identical to the ones listed in Table 4.1. Figure 4.6 shows that the simulated BaP half-lives in scenario P are slightly longer than the ones inferred from laboratory measurements [60] given by scenario P\*. The simulated BaP half-lives in scenario P are 5.8 min for 0% RH, 22.5 min for 25% RH, and 56 min for 75% RH. The BaP half-lives in scenario P\* as derived by Pöschl et al. [60] are 5 min for 0% RH, 18 min for 25%, and 45 min for

75% RH. Reasons for the longer simulated lifetimes in scenario P could be parameter sensitivity or physio-chemical processes that were not accounted for in our model approach. Regarding the former, a 20% reduction in the value for the effective molecular cross section of  $H_2O$  would result in half-lives in agreement with the measurements of Pöschl et al. [60]. Regarding the latter, physiochemical processes that are not captured in our model framework are, e.g., the diffusion of adsorbents through surface  $H_2O$  which could lead to surface oxidation and a reduction in the BaP half-life even though most reactive sites are occupied by  $H_2O$ . Also, changes in the soot particle's hydrophilicity could result in changes of the residence time of surface  $H_2O$ , subsequently affecting particle oxidation. A variation of the  $H_2O$  desorption time,  $\tau_{H_2O}$ , of about  $\pm 10\%$  due to possible changes in particle hydrophilicity changes the BaP lifetime by about  $\pm 5$  min.

#### O<sub>3</sub> Gas-Phase Feedback

In this section, we assess the gas-phase  $O_3$  feedback from scenarios A, B, C, and from the emission scenarios  $A_{4x}$ ,  $B_{4x}$ ,  $C_{4x}$ , and  $A_{24x}$ ,  $B_{24x}$ ,  $C_{24x}$ . Figure 4.7 shows the temporal evolution of the gas-phase  $O_3$  concentrations in these scenarios and a base scenario which does not include any heterogeneous reactions on soot. The diurnal cycle of gas-phase  $O_3$  and the potential decreases in the gas-phase  $O_3$  concentration due to the heterogeneous reactions implemented in our model scenarios can be clearly identified.

The gas-phase uptake in scenarios A, B, and C cause no significant decrease in the gas-phase  $O_3$  concentration with respect to the base scenario. Detail 1 in Fig. 4.7 resolves the differences among scenarios A, B, C. The strongest  $O_3$  depletion among these scenarios is 0.33 ppb in scenario B, which is less than 2% in comparison to the base scenario after the five days modeling period. The difference to the weakest  $O_3$  depleting scenario C is less than 1%. This insignificance of the gas-phase  $O_3$  feedback from the non-emission scenarios is in agreement with previous studies [34, 63, 158] which considered  $O_3$  depletion on soot surfaces as probably negligible under conditions relevant to the upper troposphere and lower stratosphere.

Figure 4.7 shows a larger gas-phase  $O_3$  reduction for the low emission scenarios  $A_{4x}$ ,  $B_{4x}$ , and  $C_{4x}$  in comparison to the non-emission scenarios A, B, C and the base scenario. Detail 2 in Fig. 4.7 indicates the strongest  $O_3$  reduction for scenario  $B_{4x}$ , in which the  $O_3$  concentration is 7.88 ppbv or 4.8% less than in the base scenario after the five days modeling period. The difference in  $O_3$  concentration between scenarios  $B_{4x}$  and  $A_{4x}$  is less than 1%. In scenario  $C_{4x}$ , the  $O_3$  concentration is decreased by 2.6% with respect to the base scenario, which is almost half the decrease of scenario  $B_{4x}$ .

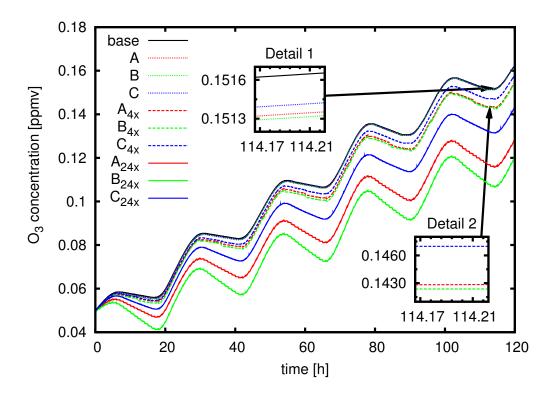


Figure 4.7: The temporal evolution of the gas-phase  $O_3$  concentration is shown for a base scenario with no heterogeneous chemistry, for the model scenarios A, B, C, and the corresponding low and high emission scenarios  $A_{4x}$ ,  $B_{4x}$ ,  $C_{4x}$  and  $A_{24x}$ ,  $B_{24x}$ ,  $C_{24x}$ , respectively. Detail 1 shows an enlarged view of the results of the base scenario and model scenarios A, B, and C. Detail 2 shows an enlarged view of the results for the low emissions scenarios  $A_{4x}$ ,  $B_{4x}$ ,  $C_{4x}$ . Input parameters for the A, B, and C-scenarios are given in Table 4.1.

The high emission scenarios  $A_{24x}$ ,  $B_{24x}$ , and  $C_{24x}$  exhibit the largest  $O_3$  reductions. Figure 4.7 shows the lowest  $O_3$  concentration for scenario  $B_{24x}$ , which is 41.6 ppbv or 25.6% less than the  $O_3$  concentration for the base scenario after the five days modeling period. The scenarios  $A_{24x}$  and  $C_{24x}$  exhibit a decrease in  $O_3$  concentration with respect to the base scenario of 33.8 ppbv and 19.2 ppbv, respectively. These reductions in the tropospheric  $O_3$  concentration indicate that these heterogeneous reactions may have an impact on urban  $O_3$  concentrations.

Figure 4.7 also shows clear differences in  $O_3$  concentrations between the specific co-adsorption scenarios. The scenarios C,  $C_{4x}$ , and  $C_{24x}$  are associated with the least  $O_3$  depletion in comparison to the A and B-scenarios. This is due to the co-adsorption of water vapor which hinders direct  $O_3$  uptake by

constantly occupying over 75% of the total reactive surface as discussed in section 4.1.4. In terms of gas-phase  $O_3$  reduction, the A-scenarios, in which only  $O_3$  is taken up, do not deplete gas-phase  $O_3$  concentrations as much as the B-scenarios, in which less  $O_3$  is taken up by the soot surface directly. However, the additional  $NO_2$  uptake in the B-scenarios affects the gas-phase  $NO_x$ - $O_3$  production cycle leading to a lower  $O_3$  concentration than in cases with no  $NO_2$  uptake. Consequently, no clear relationship between the number of adsorbing species and the resulting  $O_3$  depletion can be established. As can also be seen from Fig. 4.7, the relative difference in gas-phase  $O_3$  concentrations between the A, B, and C-scenarios increases disproportionately from the non-emission and low emission scenarios to the high emission scenarios. This highlights the non-linear behavior of the gas-phase  $O_3$  depletion due to the co-adsorption of the interdependent species  $O_3$  and  $NO_2$ , and the rapid co-adsorption of  $H_2O$ .

Since there is a wide range of measured uptake coefficients for NO<sub>2</sub> on soot, ranging from smaller than  $4\times10^{-8}$  to 0.12 [72], we simulated the B-scenarios with accommodation coefficients of  $\alpha_{\rm NO_2}=10^{-6}$  [69] and  $\alpha_{\rm NO_2}=10^{-3}$  [70]. These lower initial uptake coefficients yield, within 1 ppbv, the same gasphase feedback as the A-scenarios, which have no NO<sub>2</sub> co-adsorption implemented. Thus, the gas-phase feedback from the co-adsorption of NO<sub>2</sub> obeying an accommodation coefficient smaller than  $10^{-3}$  is negligible.

It should be noted that the feedback on the gas-phase O<sub>3</sub> concentration from the desorption of HONO in surface reaction (5) given in Table 4.1 was found to be negligible for all model scenarios due to the small production rate in relation to gas-phase production. The HONO production rate from desorption is 0.22 ppmv<sup>-1</sup> min<sup>-1</sup> which is five orders of magnitude smaller than the gas-phase production rate of NO with OH. In the mornings, the gas-phase HONO production temporarily decreases due to low OH concentrations, but surface desorption is still one order of magnitude smaller than the gas-phase production and thus does not exert a significant influence.

The error in both emission scenarios from neglecting gas-phase uptake on disregarded surface sites can be estimated with reference to section 4.1.4 and Fig. 4.2. Figure 4.2 shows that, for each adsorption scenario, the uptake coefficients decrease by more than three orders of magnitude or 99.9% within the first six hours of simulation time. Therefore, the error in the low emission scenarios from neglecting gas-phase uptake on soot surfaces that are older than six hours is not significant. After one hour of simulation time, the uptake coefficients fall by one to two orders of magnitude, depending on the scenario. Thus, up to 10% of gas-phase uptake is neglected in the high emission scenarios due to an hourly replenishing time, which results in a small underestimate of the total gas-phase uptake.

In the next section, we compare the gas-phase feedback from heterogeneous chemistry obtained in this section for the dynamic uptake coefficient approach with the gas-phase feedback obtained from the use of constant uptake coefficients.

## Constant Uptake Parameterizations of O<sub>3</sub> Gas-Phase Feedback

To study the gas-phase feedback from a heterogeneous modeling approach employing constant uptake coefficients, we implement the experimentally determined constant uptake coefficients of  $\gamma_{\rm O_3} = 1 \times 10^{-3}$  for O<sub>3</sub> uptake [60],  $\gamma_{\rm NO_2} = 0.14$  for NO<sub>2</sub> uptake [71], and  $\gamma_{\rm H_2O} = 0.4 \times 10^{-3}$  for the uptake of water vapor [67] into the non-emission scenarios A, B, and C. These  $\gamma_{\rm Xi}$ -values represent initial uptake coefficients that were previously implemented in our model as accommodation coefficients. The gas-phase loss is computed according to Eq. (4.12) with the same input parameters, particle surface concentration and gas-phase concentrations that were previously used in scenarios A, B, and C. We denote these constant uptake coefficient scenarios by  $A_{\rm const}$ ,  $B_{\rm const}$ ,  $C_{\rm const}$ . Application of constant uptake coefficients reduced the computation time by a factor of about 18 compared to a dynamic uptake approach and needed roughly the same time as a simulation without any heterogeneous reactions implemented.

Figure 4.8 shows the temporal evolution of the gas-phase  $O_3$  concentration for scenarios A<sub>const</sub>, B<sub>const</sub>, C<sub>const</sub> and for a base scenario without heterogeneous chemistry. After two hours of modeling time, the gas-phase O<sub>3</sub> concentration in the constant uptake coefficient scenarios A<sub>const</sub>, B<sub>const</sub>, and C<sub>const</sub> falls by more than one order of magnitude and is approaching zero. This fast depletion of O<sub>3</sub> is due to the fact that the gas-phase uptake is not limited by surface saturation, which otherwise would decrease by reducing the uptake coefficients according to Eq. (4.11). The uptake is now solely determined by the adsorbents' constant uptake coefficients, their molecular velocities, and their gas-phase concentrations via Eq. (4.12). This results also in a different ordering of scenarios in terms of their  $O_3$  depletion efficiency when compared to the dynamic uptake scenarios. Scenario  $A_{const}$  shows the least gas-phase  $O_3$ depletion, since it employs the lowest uptake coefficient. Scenarios B<sub>const</sub> and  $C_{const}$  show the same  $O_3$  depletion, since in this constant uptake coefficient approach, the co-adsorption of water vapor in scenario C<sub>const</sub> does not reduce the gas-phase uptake of  $O_3$  and  $NO_2$  which therefore is the same as in scenario  $B_{const}$ .

Clearly, these constant uptake coefficient scenarios do not represent the underlying physical and chemical processes and result in an unrealistically high gas-phase O<sub>3</sub> depletion which may not describe typical urban plume conditions.

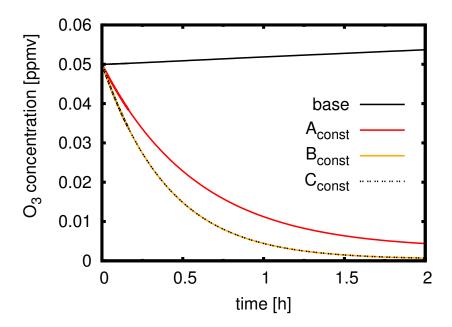


Figure 4.8: The temporal evolution of the gas-phase  $O_3$  concentration is shown for a base scenario with no heterogeneous chemistry and for the constant uptake scenarios  $A_{\text{const}}$ ,  $B_{\text{const}}$ ,  $C_{\text{const}}$ . Scenarios  $A_{\text{const}}$ ,  $B_{\text{const}}$ ,  $C_{\text{const}}$  use constant uptake coefficients of  $\gamma_{O_3} = 1 \times 10^{-3}$  [60, 65],  $\gamma_{\text{NO}_2} = 0.14$  [71, 117], and  $\gamma_{\text{H}_2\text{O}} = 0.4 \times 10^{-3}$  [60, 67] but are otherwise equivalent to scenarios A, B, and C as defined in Table 4.1.

In addition to using the initial uptake coefficients as constant uptake values, we also attempted to parameterize the uptake process by several time-specific uptake values modeled after the temporal evolution of the uptake coefficients as shown in Fig. 4.2. Neither a 3-step uptake parametrization, nor a 2-step one using an initial uptake value from laboratory measurements and subsequent values from our model was successful in capturing the O<sub>3</sub> depleting effect. Application of a single constant uptake value for each adsorbent yielded acceptable agreement with the gas-phase  $O_3$  concentration of the respective model scenarios, if an uptake value was chosen significantly different from the laboratory measurements and model results. However, this approach is only successful for a certain time frame and certain boundary conditions, such as initial gas-phase concentrations. Furthermore, the adsorbent-specific uptake values determined in such a way, could not be used to capture the combined effect on the  $O_3$  concentration of two or more adsorbents. Thus, the uptake values obtained in this manner are heavily scenario dependent and therefore of little use in general atmospheric models. These difficulties in obtaining a simplified and thus computationally more efficient description of the uptake process clearly indicate the underlying complexity of the involved physical-chemical mechanisms, emphasizing the need for a detailed modeling framework to accurately resolve the uptake process.

### 4.1.5 Conclusions

The PRA framework [12], which allows a dynamic uptake coefficient treatment, was coupled to a box model version of the gas-phase solver RADM2 [13] to model heterogeneous reactions of O<sub>3</sub>, NO<sub>2</sub>, and water vapor on soot coated with benzo[a]pyrene for a period of five days. Gas-phase reactions and emissions were based on an urban plume scenario [100]. This allowed us to study, in detail, the heterogeneous kinetics and its dependency on diurnal changes in gas-phase composition due to photochemical processes.

A detailed analysis of surface chemistry showed that the O<sub>3</sub> and NO<sub>2</sub> uptake coefficients vary by more than five orders of magnitude due to competition for reactive surface sites and changes in gas-phase composition. Within the first six hours of simulation time, the uptake coefficients decrease by more than three orders of magnitude or 99.9%. From day two to five, periodic peaks of the uptake coefficient follow the diurnal cycle of the adsorbents' gas-phase concentrations.

The half-life of BaP was found to increase with the number of co-adsorbing species. Physisorption of water vapor increased the BaP half-life by a factor of five to seven by permanently occupying about 75% of the surface and thereby delaying the surface reactions of O<sub>3</sub> and NO<sub>2</sub>. The BaP half-life increases linearly with RH and the linearity is preserved under changes in O<sub>3</sub> and BaP concentrations, temperature, and number of adsorbing species. Our results show that even at low RH, the adsorption of water vapor can play a major role in soot surface chemistry. An increase from 0% RH to 25% RH increases the BaP half-life by a factor of three.

This study indicates the importance of the co-adsorption of water vapor for heterogeneous chemistry also for aerosol particles other than soot coated with BaP. Since BaP belongs to the group of polycyclic aromatic hydrocarbons which are known to be hydrophobic [159], an even greater impact of water vapor co-adsorption on surface chemistry can be expected for more hydrophilic surfaces. While our model does not capture changes in hydrophilicity, it assesses surface oxidation which is thought to influence the activation of tropospheric aerosols as cloud condensation nuclei (CCN) [61, 90, 137, 160, 161]. Our modeling approach is able to estimate surface oxidation times, such as the BaP half-life, in an atmospheric context and may therefore be used in part to derive CCN activation times.

We also assessed the feedback of heterogeneous uptake on the gas-phase  $O_3$  concentration. The different co-adsorption scenarios with no soot emissions implemented showed no significant feedback on the gas-phase composition. However, two emission scenarios in which reactive surface sites are replenished every six hours and every hour induced significant changes in the gas-phase  $O_3$  concentration. The largest  $O_3$  depletions were observed for the hourly high emission scenario with a reduction in  $O_3$  concentration of up to 41.6 ppbv or 25% for the co-adsorption of  $O_3$  and  $O_2$ . It also resulted in a reduction of 33.8 ppbv or 21% for the scenario with the adsorption of  $O_3$ , and of 19.2 ppbv or 11.8% for the scenario with the co-adsorption of  $O_3$ ,  $O_2$  and water vapor. In comparison, the low emission scenarios replenishing reactive sites every six hours showed a decrease in gas-phase  $O_3$  concentration of maximum 7.8 ppbv or 5%. Hence, our conceptual study employing soot particles in an urban environment indicates that heterogeneous chemistry has the potential to significantly alter the gas-phase composition.

Our model results indicate that the uptake is sensitive to the co-adsorbing species and their interactions with each other. The scenario with the most  $O_3$  uptake from the exclusive adsorption of  $O_3$  is surpassed in overall gas-phase  $O_3$  reduction by the scenario in which  $O_3$  and  $NO_2$  co-adsorb. This is due to the additional  $O_3$  reduction induced by the gas-phase reactions between  $O_3$  and  $NO_2$ . This exemplifies the non-linear feedbacks obtained from a co-adsorption scheme.

Although heterogeneous reactions can be an important source for nitrous acid (HONO) [162], the desorption of HONO from surface reactions of  $NO_2$  on soot particles was found to be negligible for the gas-phase  $O_3$  concentration.

We compared the dynamic uptake coefficient approach with a constant uptake coefficients approach. The use of experimentally determined reactive uptake coefficients which were kept constant in these model scenarios led to an almost complete gas-phase  $O_3$  depletion after two hours of modeling time which is highly unrealistic for an urban plume scenario. Since surface conditions and reactions were not related to the uptake dynamics in these constant uptake coefficient scenarios, the co-adsorption of water vapor had no impact on the efficiency of gas-phase  $O_3$  depletion which ignores the underlying physical and chemical picture and is in contrast to the results from the dynamic uptake coefficient approach.

It should be noted that modeling studies used constant uptake coefficients, but employed consumable reactive sites on the soot surface to account for surface passivation [115]. This approach yields a more physical picture of the gas-phase uptake, but it still lacks the accurate description of the heterogeneous kinetics involving the interdependence of gas-phase and adsorbed surface

species. Our study showed that the superposition of fixed reactive uptake coefficients, despite being experimentally determined, can result in erroneous results for the overall uptake efficiency and thus gas-phase composition.

Other modeling studies used empirical parameterizations of the uptake coefficient as a function of relative humidity, temperature, and aerosol type [120, 121]. As such, the parametrization of N<sub>2</sub>O<sub>5</sub> hydrolysis yields gas-phase concentrations in good agreement with climatological observations [120]. This may be due to the fact that the  $N_2O_5$  hydrolysis in aqueous aerosol particles follows an absorption type reaction mechanism [143, 144, 163], i.e., the uptake can be dominated by dissolution of the gas-phase species into the particle governed by Henry's law constant and by subsequent reaction in the bulk [2]. In such heterogeneous processes, gas-phase species do not compete for reactive surface sites, which therefore have no effect on the subsequent uptake. In contrast, heterogeneous reactions following adsorption kinetics may predominantly occur at the surface of solid or crystalline particles [90] and aqueous surfaces coated by an organic surfactant [164]. This limits the available number of reactive surface sites in comparison to the bulk liquid. Therefore, higher order reactive uptake processes such as Langmuir-Hinshelwood surface reactions will not be correctly represented by the application of constant reactive uptake coefficients.

This study clearly emphasizes the need for laboratory data of physical and chemical parameters for atmospherically relevant adsorbents and aerosols to predict, in detail, the effects of heterogeneous chemistry on the gas-phase and aerosol composition.

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Here ends the reprint of the academic paper:

M. Springmann, D. A. Knopf, and N. Riemer. Detailed Heterogeneous Chemistry in an Urban Plume Box Model: Reversible Co-Adsorption of O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O on Soot coated with Benzo[a]pyrene. Atmospheric Chemistry and Physics Discussions, 9(2):1005510099, 2009. ISSN 1680-7367. URL http://www.atmos-chem-phys-discuss.net/9/10055/2009/. [1]

In the following, further model results of this study will be presented and discussed.

## 4.2 Gas-Phase Feedback: $NO_x$ and HONO

In this section, the gas-phase feedback on the NO,  $NO_2$ , and HONO concentrations is assessed for the high soot emission scenarios  $A_{24x}$ ,  $B_{24x}$ , and  $C_{24x}$ . Since the gas-phase feedback is expected to be greatest for the high emission scenarios, the obtained results represent upper values for the conditions of this modeling study.

## 4.2.1 $NO_x$ Gas-Phase Feedback

Figure 4.9 shows the temporal evolution of the NO and  $NO_2$  gas concentrations for the high soot emission scenarios and a base scenario with no heterogeneous reactions on soot for the five day simulation period. Both concentrations follow a diurnal cycle, which was described in section 2.1. The heterogeneous reactions and surface replenishments in scenarios  $A_{24x}$ ,  $B_{24x}$ , and  $C_{24x}$  lead to increases in the NO and  $NO_2$  gas-phase concentrations. The increases are greatest for scenario  $B_{24x}$ , although the most  $NO_2$  is taken up by the soot surface in this scenario.

The  $NO_2$  concentrations in the high-emission scenarios start to differ from the base scenario concentration in the first night of the simulation period. The increase in the  $NO_2$  concentration with respect to the one in the base scenario amounts then to 0.3 ppbv or 43% in scenario  $B_{24x}$ , 0.09 ppbv or 13.0% in scenario  $A_{24x}$ , and 0.11 ppbv or 16% in scenario  $C_{24x}$ , while the difference during daytime is within 10%. This nighttime  $NO_2$  increase is due to the nighttime reaction (2.7) between  $NO_2$  and  $O_3$ . Since the gas-phase  $O_3$  concentration is decreased in the high-emission scenarios, as shown in Figure 4.7, the reaction rate of reaction (2.7) is lowered. Thus, less  $NO_2$  is lost by this reaction, which results in relative increases in the  $NO_2$  concentrations in the high-emission scenarios. These increases are nearly compensated during daytime, since the  $NO_2$  chemistry is then dominated by the  $NO_2$  photolysis (2.1), whose rate does not directly depend on the  $O_3$  concentration.

The NO concentration in the high emission scenarios is increased on both the day and the night. On the last day of the simulation period, the increase is 28 pptv or 40.1% in scenario  $B_{24x}$ , 21 pptv or 30% in scenario  $A_{24x}$ , and 11 pptv or 16% in scenario  $C_{24x}$  with respect to the base scenario. During the last night, the increase is 0.3 pptv or 68% in scenario  $B_{24x}$ , 0.1 pptv or 28% in scenario  $A_{24x}$ , and 0.3 pptv or 58% in scenario  $C_{24x}$ . These increases can again be explained by a lowered reaction rate with  $O_3$ , in this case of reaction (2.3). In contrast to the  $NO_2$  increases, the NO concentration in the high-emission scenarios is also increased at daytime, since reaction (2.3) can also occur then with a non-negligible rate. An additional NO source, in particular

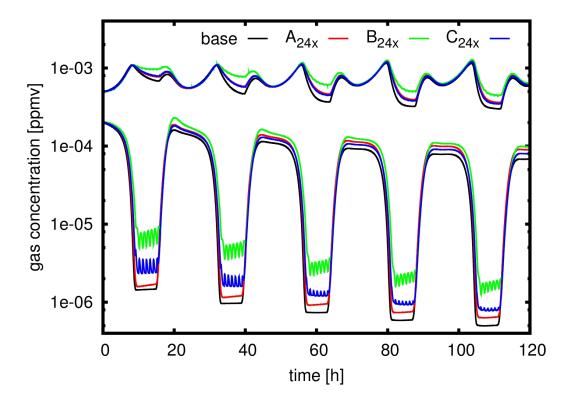


Figure 4.9: Temporal evolution of NO (lower graphs) and NO<sub>2</sub> gas-phase concentrations (upper graphs) for the high soot emission scenarios  $A_{24x}$ ,  $B_{24x}$ ,  $C_{24x}$ , and a base scenario with no heterogeneous reactions on soot implemented. Input parameters for the heterogeneous reactions are the same as for scenarios A, B, and C as given in Table 4.1. Exponential smoothing with a smoothing factor of 0.9 was applied to smooth the noise generated from hourly replenishment peaks. The displayed graphs can therefore be regarded as averages.

in the mornings, is the photolysis of  $NO_2$ . Since the  $NO_2$  concentration is increased in the high emission scenarios, also the photolysis rate is increased, which leads to higher morning NO concentrations, which can be identified in Figure 4.9, especially for the first three mornings.

NO can also be produced by the photolysis of HONO, which also occurs in the mornings. The temporal evolution of the HONO gas-phase concentration for the high emission scenarios is analyzed next.

## 4.2.2 HONO Gas-Phase Feedback

In addition to the gas-phase HONO production from the reaction of NO with OH, the heterogeneous reaction scenarios include the surface production and

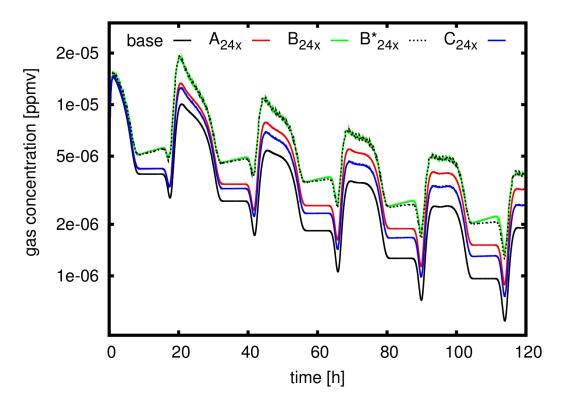


Figure 4.10: Temporal evolution of the HONO gas-phase concentration for the high soot emission scenarios  $A_{24x}$ ,  $B_{24x}$ ,  $B^*_{24x}$ ,  $C_{24x}$ , and a base scenario with no heterogeneous reactions on soot implemented. Scenario  $B^*_{24x}$  is identical to scenario  $B_{24x}$ , but with the HONO desorption from surface reaction SLR5 disabled. Input parameters for the heterogeneous reactios are the same as for scenarios A, B, and C as given in Table 4.1.

subsequent desorption of HONO in surface layer reaction SLR5, as given in Table 4.1. Figure 4.10 shows the temporal evolution of the HONO gas-phase concentration for the high soot emission scenarios  $A_{24x}$ ,  $B_{24x}$ ,  $B^*_{24x}$ ,  $C_{24x}$ , and a base scenario with no heterogeneous reactions on soot implemented. Scenario  $B^*_{24x}$  is identical to scenario  $B_{24x}$ , but with the HONO desorption from surface reaction SLR5 disabled. As can be seen by comparing scenarios  $B^*_{24x}$  and  $B_{24x}$ , the heterogeneous HONO production does not influence the HONO gas-phase concentration significantly. The maximum difference between the HONO concentrations of both scenarios is 0.15 pptv in the night of the fourth day, which corresponds to an increase in the HONO gas-phase concentration in scenario  $B_{24x}$  by 10.6% with respect to scenario  $B^*_{24x}$ . During daytime and at the end of the five day simulation period, the difference in HONO

concentrations between scenarios  $B_{24x}$  and  $B^*_{24x}$  is less than 1%. The heterogeneous HONO production is not significant on the day, because the gas-phase HONO production from NO and OH then exceeds the heterogeneous HONO production by five orders of magnitude, as described in section 4.1.4.

Although not directly, the gas-phase uptake of  $O_3$  and  $NO_2$  influence the HONO gas-phase concentration, as can be seen by comparing scenarios  $A_{24x}$ ,  $B_{24x}$ , and  $C_{24x}$  with the base scenario. At the end of the five day modeling period, the HONO gas-phase concentration in scenario  $B_{24x}$  shows an increase of 1.9 pptv or 99% with respect to the base scenario, an increase of 1.3 pptv or 67% in scenario  $A_{24x}$ , and an increase of 0.7 pptv or 35% in scenario  $C_{24x}$ . The reason for these increases are the aforementioned increases in the NO gas-phase concentrations in these scenarios, which result in a faster HONO formation rate from the reaction of NO with OH in the gas phase. The higher HONO concentrations feed also back to higher NO concentrations when HONO photodissociates.

This concludes the evaluation of the gas-phase feedback on the  $NO_x$  and HONO concentrations. As shown in this section, the NO,  $NO_2$ , and HONO concentrations are significantly increased due to the heterogeneous reactions and surface replenishments in the soot emission scenarios. The next section discusses the potential effects of the chemical aging of soot on the soot surface composition.

## 4.3 Soot Aging

Soot particles emitted in the urban environment are assumed to be hydrophobic but change their hydrophobic quality due to condensation of ambient gases, coagulation with soluble aerosols, and heterogeneous reactions [61, 165, 166]. The result of the exposure to an oxidizing atmosphere is the transformation of the hydrophobic to a hydrophilic surface, which enables growth by water accretion and the formation of cloud condensation nuclei, thereby influencing the atmospheric radiative budget [167]. In this study, the chemical aging of soot particles due to heterogeneous reactions was studied with respect to changes in the particle surface composition, but changes in the hydrophilicity were not quantified.

The following figures present a sensitivity study on the possible effects of changes in the hydrophilicity, which is modeled by changing the desorption lifetime of the adsorbed water vapor,  $\tau_{\rm d,H_2O}$ . Changes in  $\tau_{\rm d,H_2O}$  can be expected to occur with increasing surface oxidation, which was assessed in section 4.1.4 by the BaP half-life. Depending on the scenario, the BaP half-lives ranged from 4 to 32.5 min. For this section's sensitivity study, an arbitrary timescale

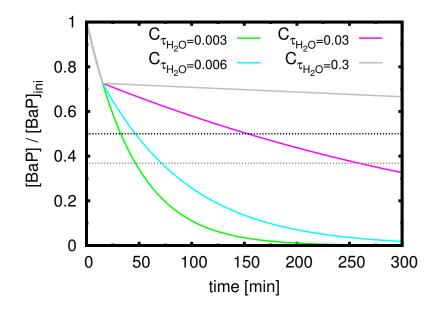


Figure 4.11: Temporal evolution of the ratio of actual BaP surface concentration to initial BaP concentration for scenario C with differing  $H_2O$  desorption lifetimes of  $\tau_{H_2O} = 0.003$ ,  $\tau_{H_2O} = 0.006$ ,  $\tau_{H_2O} = 0.03$ , and  $\tau_{H_2O} = 0.3$ . Other input parameters for scenario C are given in Table 4.1. The intersection with the horizontal dotted lines indicate the BaP half-life (dotted black line) and the BaP (e-fold) lifetime (dotted grey line).

of 15 min is chosen, after which  $\tau_{\rm d,H_2O}$  increases 2-fold, 10-fold, or 100-fold. These increases can also be pictured to happen in succession with increasing surface oxidation. Then the BaP half-life can be seen as quantifying the generic oxidation of surface components.

Figure 4.11 shows the temporal evolution of the BaP concentration for scenario C with differing  $\tau_{\rm d,H_2O}$  after 15 min. The BaP half-lives are highlighted by the intersection with the horizontal dotted black line. Scenario C with an unchanged H<sub>2</sub>O desorption time of  $\tau_{\rm d,H_2O}=0.003$  s has a BaP half-life of 32.5 min. When the H<sub>2</sub>O desorption lifetime doubles to  $\tau_{\rm d,H_2O}=0.006$  s after 15 min, the BaP half-life increases by 42%; a 10-fold increase to  $\tau_{\rm d,H_2O}=0.03$  s increases the BaP half-life by a factor of 3.7 or 2 h; and a 100-fold increase to  $\tau_{\rm d,H_2O}=0.3$  s increases the BaP half-life by a factor of 37 or 20 h. These increases in the BaP half-life suggest that the surface oxidation is significantly suppressed if the H<sub>2</sub>O desorption time increases. A 100-fold increase in  $\tau_{\rm d,H_2O}$  extends the BaP half-life to timescales that are comparable with timescales of physical aging processes, such as coagulation, which is of the order of 10 to 40 h [166]. When the increase in  $\tau_{\rm d,H_2O}$  stays below this factor, chemical aging

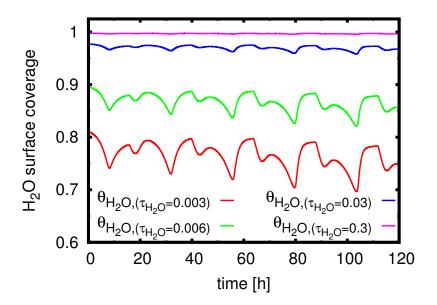


Figure 4.12: Temporal evolution of the  $H_2O$  surface coverage  $\theta_{H_2O}$  in scenario C for differing  $H_2O$  desorption lifetimes of  $\tau_{H_2O} = 0.003$ ,  $\tau_{H_2O} = 0.006$ ,  $\tau_{H_2O} = 0.03$ , and  $\tau_{H_2O} = 0.3$ . Other input parameters for scenario C are given in Table 4.1.

by heterogeneous reactions occurs on shorter timescales than physical aging processes.

Figure 4.12 shows the temporal evolution of the H<sub>2</sub>O surface coverage for scenario C with differing H<sub>2</sub>O desorption lifetimes. Increasing the H<sub>2</sub>O desorption lifetimes from 0.003 s to 0.006 s, 0.03 s, and 0.3 s, increases the H<sub>2</sub>O surface coverage by 14% to 86%, by 29% to 97%, and by 33% to 99.7% respectively. This suggests that when the soot surface becomes more hyrdophilic with a higher H<sub>2</sub>O desorption lifetime, the soot particle is almost entirely coated with a layer of water. When adsorption on this water layer is taken into account, it is likely that the sorption layer coverage exceeds one monolayer. Such an increase in sorption layer coverage above one monolayer is characteristic for the transition of hydrophobic to hydrophilic soot [37]. Under these circumstances, even a higher suppression of surface oxidation processes as discussed above can be expected. Then, the influence of the soot particle on the gasphase depends on the timescale of the aging process and on the efficiency of adsorption on multiple layers of water. Assessing these processes in detail are beyond the scope of this thesis, but should be addressed in future studies.

## Chapter 5

## Conclusion

The co-adsorption and subsequent surface reactions of  $O_3$ ,  $NO_2$ , and water vapor on soot coated with BaP were modeled for an idealized urban pollution scenario.

The heterogeneous reactions and soot emissions caused significant gasphase feedbacks. The O<sub>3</sub> gas-phase concentration decreased due to the heterogeneous reactions of O<sub>3</sub>, NO<sub>2</sub>, water vapor, and high soot emissions by 19 ppbv or 12%, the NO<sub>2</sub> gas-phase concentration increased during nighttime by 0.1 ppbv or 16%, and the NO concentration increased by 11 pptv or 16% during daytime and 0.3 pptv or 58% during nighttime. Especially the absolute magnitude of the O<sub>3</sub> gas-phase feedback suggests that the incorporation of the modeled heterogeneous reactions into air quality models should be considered. Although NO<sub>2</sub> is taken up by soot surfaces during the heterogeneous reactions, the NO<sub>2</sub> gas-phase concentration increased due the gas-phase interdependence of NO<sub>2</sub> and O<sub>3</sub>. This shows that the gas-phase feedback is nonlinear for the co-adsorption of interdependent adsorbents. The magnitude of the gas-phase feedbacks was found to be doubled for the co-adsorption of only O<sub>3</sub> and NO<sub>2</sub>, which demonstrates the great influence of the co-adsorption of water vapor.

The effect of multiple co-adsorption scenarios on the soot surface chemistry was evaluated. It was shown that the adsorbents' uptake coefficients decrease by 99.9% within the first six hours of simulation time due to surface saturation. Water vapor adsorbs especially rapidly and constantly occupies about 75% of the entire soot surface. This delays surface oxidation, assessed in terms of the half-life of the coating substance BaP, by a factor of up to seven. A linear relationship was found between the BaP half-life and relative humidity (RH). Even increases at low RH levels, from 0% RH to 25% RH, resulted in an increase of the BaP half-life by a factor of three. Higher increases can result from changes in the hydrophilicity of the soot particle, which is expected to occur with increasing surface oxidation. These results suggest that the soot

particle is "deactivated" mainly by the adsorption of water vapor, which can be enhanced by surface oxidation by  $O_3$  and  $NO_2$ .

This study coupled for the first time the detailed uptake parametrization of the PRA framework for aerosol chemistry and gas-particle interactions [12] to a dynamic gas-phase model which simulates diurnal changes in the gas-phase composition. The gas-phase coupling of the PRA framework made possible the observation of the nonlinear gas-phase feedbacks, while the implementation of the detailed PRA framework — as opposed to a parametrization of heterogeneous reactions with constant uptake coefficients — into the gas-phase model made possible the observation of the soot deactivation by dynamic decreases in the uptake coefficients. Thus, the detailed parametrization of the uptake process in atmospheric models is necessary to realistically assess the influence of heterogeneous reactions on the gas-phase and surface composition.

Future modeling studies are suggested to investigate the difference between chemical aging by heterogeneous reactions and physical aging by, e.g., coagulation. Laboratory studies on the temporal evolution of the residence time of H<sub>2</sub>O on the soot surface would provide the necessary data to model the potential cloud formation of soot particles. More laboratory studies which measure the physiochemical parameters needed for a detailed modeling of the uptake processes are encouraged. These physiochemical parameters are the time-specific accommodation coefficient, the effective molecular cross-section, and the desorption lifetime of each adsorbent. With that information, more modeling studies can be carried out to elucidate the magnitude of gas-phase uptake of other adsorbents and to determine a minimum set of adsorbents that are needed to represent the main effects that heterogeneous reactions have on the gas-phase and surface composition.

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## Appendix A

## Units

In gas-phase reactions, concentrations are usually expressed in  $\frac{(\text{molecules})}{\text{cm}^3}$  or, in the context of gaseous pollutants, in parts per million by volume (ppmv). Both units can be converted into each other by using the ideal gas law  $pV = Nk_BT$ , where p is the pressure (in hPa; 1 hPa = 100 Pa), V the volume,  $k_B = 1.381 \times 10^{23} \text{ J K}^{-1}$  the Boltzmann constant, N the number of molecules, and T the temperature. With this, the conversion from ppmv to cm<sup>-3</sup> is: 1 ppmv =  $10^{-6} \frac{N}{V} = 10^{-6} \frac{p}{Tk_B} = 2.46 \times 10^{13} \text{ cm}^{-3}$ .

## Appendix B

# Resistor Model Formulation of Surface Reactions

Under steady-state conditions, Langmuir-Hinshelwood surface reactions can be expressed in resistor-model form. Without the loss of generality, it is assumed that the Langmuir-Hinshelwood surface reaction occurs between a surface component Y and a gas-phase molecule species X after adsorption onto the surface (without accounting for gas-phase diffusion processes, although they could be easily included). The elementary processes governing the uptake are adsorption, desorption, and surface reactions.

Under steady-state conditions, the rate of adsorption equals the rate of desorption. The resulting Langmuir equilibrium can be described by an Langmuir equilibrium constant K, which relates the filled surface sites, here expressed by the surface coverage with the molecules X,  $\theta_X$ , to the empty surface sites, expressed by the complement,  $1 - \theta_X$ , and the particle concentration in the gas phase,  $[X]_g$ :

$$K = \frac{\theta_{\mathcal{X}}}{(1 - \theta_{\mathcal{X}})[\mathcal{X}]_{\sigma}}.$$
 (B.1)

Rearranging this leads to the Langmuir equation:

$$\theta_{\mathbf{X}} = \frac{K[\mathbf{X}]_{\mathbf{g}}}{1 + K[\mathbf{X}]_{\mathbf{g}}}.$$
 (B.2)

To express the Langmuir equilibrium constant, as it is commonplace, in terms of rate constants, the adsorption and desorption fluxes (2.41) and (2.45) can be divided by the included concentrations to obtain the respective rate constants:

$$k_{\rm a} = \frac{1}{4} \alpha_0 \omega_{\rm X} \,, \tag{B.3}$$

$$k_{\rm d} = \frac{1}{\tau_{\rm X}}. \tag{B.4}$$

Applying the steady-state condition, i. e. equating the rate of adsorption with the rate of desorption, yields:

$$k_{\rm a}[X]_{\rm g}(1 - \theta_{\rm X}) = k_{\rm d}[X]_{\rm s}$$
 (B.5)

Using  $\theta_X = [X]_s \sigma_X \Leftrightarrow [X]_s = \theta_X/\sigma_X$ , (B.5) can be cast into the form of (B.1) with an Langmuir equilibrium constant of:

$$K = \frac{\sigma_{\rm X} k_{\rm a}}{k_{\rm d}} \,. \tag{B.6}$$

A bimolecular surface reaction with a rate of:

$$r_{\rm s} = k_{\rm s}[Y]_{\rm s}[X]_{\rm s} = k_{\rm s}^{1}[X]_{\rm s},$$
 (B.7)

where  $k_s^1$  the apparent first-order rate constant for that reaction, shifts the adsorption-desorption equilibrium to:

$$k_{\rm a}[X]_{\rm g}(1 - \theta_{\rm X}) = (k_{\rm d} + k_{\rm s}^1) \frac{\theta_{\rm X}}{\sigma_{\rm X}}$$
 (B.8)

with

$$K' = \frac{\sigma_{\rm X} k_{\rm a}}{k_{\rm d} + k_{\rm s}^1} \,. \tag{B.9}$$

The uptake coefficient can be obtained by dividing the net loss on the surface, which in the adsorption-desorption equilibrium is only due to the surface reaction, by the collision flux of molecules X with the surface:

$$\gamma_{\rm X} = \frac{k_{\rm s}^1[\rm X]_{\rm s}}{\frac{1}{4}\omega_{\rm X}[\rm X]_{\rm g}}.$$
 (B.10)

Using  $[X]_s = \theta_X/\sigma_X$ , inserting the K'-replaced version of (B.2), and using (B.9) and (B.3) yields:

$$\gamma_{\rm X} = \frac{\alpha_0 k_{\rm s}^1}{(k_{\rm d} + k_{\rm s}^1) + \frac{1}{4} \alpha_0 \sigma_{\rm X} \omega_{\rm X}[{\rm X}]_{\rm g}}.$$
 (B.11)

This can be inverted and rearranged to:

$$\frac{1}{\gamma_{\rm X}} = \frac{1}{\alpha_0} + \frac{1}{k_{\rm s}^1} \left[ \frac{k_{\rm d}}{\alpha_0} + \frac{\sigma_{\rm X} \omega_{\rm X}[{\rm X}]_{\rm g}}{4} \right] , \qquad (B.12)$$

which is now in the resistance model form of:

$$\frac{1}{\gamma_{\rm X}} = \frac{1}{\alpha_0} + \frac{1}{\Gamma_{\rm s}} \tag{B.13}$$

with

$$\frac{1}{\Gamma_{\rm s}} = \frac{1}{k_{\rm s}^1} \left[ \frac{k_{\rm d}}{\alpha_0} + \frac{\sigma_{\rm X} \omega_{\rm X}[{\rm X}]_{\rm g}}{4} \right] = \frac{\omega_{\rm X} \sigma_{\rm X} (1 + K[{\rm X}]_{\rm g})}{4k_{\rm s}[{\rm Y}]_{\rm s} K} \,. \tag{B.14}$$

This completes the derivation for a Langmuir-Hinshelwood surface reaction term for the resistor model. Competitive co-adsorption of multiple species and multiple surface reactions can be inserted into this derivation in a straightforward manner.

However, expression (B.14) is only valid for an adsorption-desorption equilibrium, which may not be realized for all steps of the uptake process and the subsequent surface reactions.

# Appendix C

# Chemical Species and Reactions in RADM2

The following lists of chemical species and reactions included in the RADM2 mechanism have been adapted from Stockwell et al. [13].

TABLE 1. RADM2 Chemical Species List

	Species	Definition	Carbon Number*	Molecular Weight
		Stable Inorganic Compounds		
	Nitrogen			
1.	NO <sub>2</sub>	Nitrogen dioxide		46
2.	NO	Nitric oxide		30
3.	HONO	Nitrous acid		47
4.	NO <sub>3</sub>	Nitrogen trioxide		62
5.	$N_2\bar{O}_5$	Nitrogen pentoxide		108
6.	HNO <sub>4</sub>	Pernitric acid		79
7.	HNO3	Nitric acid		63
	Oxidants			
8.	$o_3$	Ozone		48
9.	$H_2^{-}O_2$	Hydrogen peroxide		34
	Sulfur			
10.	so <sub>2</sub>	Sulfur dioxide		64
11.	SULF	Sulfuric acid		98
	Carbon oxides			
12.	CO	Carbon monoxide		28
13.	$co_2$	Carbon dioxide (product only)		44
14.	H <sub>2</sub>	Hydrogen (product only)		2
		Inorganic Short-Lived Intermediates		
	Atomic species			
15.	$O^{3}P$	Ground state oxygen atom		16
16.	O <sup>1</sup> D	Excited state oxygen atom		16
	Odd hydrogen			
17.	НО	Hydroxy radical		17
18.	но <sub>2</sub>	Hydroperoxy radical		33
		Abundant Stable Species		
19.	O <sub>2</sub> N <sub>2</sub>	Oxygen		32
20.	$N_2$	Nitrogen		28
21.	н <mark>2</mark> 0	Water		18
		Stable Organic Compounds		
	Alkanes			
22.	CH <sub>4</sub>	Methane	1.0	16
23.	ETH	Ethane	2.0	30
24.	HC3	Alkanes with HO rate constant (298, 1 atm) between 2.7x10 <sup>-13</sup> and 3.4x10 <sup>-12</sup>	2.9	44
25.	HC5	Alkanes with HO rate constant (298, 1 atm) between 3.4x10 <sup>-12</sup> and 6.8x10 <sup>-12</sup>	4.8	72
26.	HC8	Alkanes with HO rate constant (298, 1 atm)	7.9	114
***	1100	greater than 6.8x10 <sup>-12</sup>	1.5	114

TABLE 1. (continued)

	Species	Definition	Carbon Number*	Molecular Weight *
	Alkenes			
27.	OL2	Ethene	2.0	28
28.	OLT	Terminal alkenes	3.8	42
29.	OLI	Internal alkenes	4.8	56
30.	ISO	Isoprene	5.0	68
	Aromatic			
31.	TOL	Toluene and less reactive aromatics	7.1	92
32.	CSL	Cresol and other hydroxy substituted aromatics	6.6	108
33.	XYL	Xylene and more reactive aromatics	8.9	106
	Codomile	•		
24	Carbonyls	77 171 1		••
34.	HCHO	Formaldehyde	1.0	30
35.	ALD	Acetaldehyde and higher aldehydes	2.4	44
36.	KET	Ketones	3.9	72
37.	GLY	Glyoxal	2.0	58
38.	MGLY	Methylglyoxal	3.0	72
39.	DCB	Unsaturated Dicarbonyl	4.2	87
	Organic nitrogen			
40.	PAN	Peroxyacetyl nitrate and higher PANs	2.0	121
41.	TPAN	H(CO)CH=CHCO3NO2	4.0	147
42.	ONIT	Organic nitrate	4.0	119
				**/
40	Organic peroxides	Mahahadaaaaa		
13.	OP1	Methyl hydrogen peroxide	1.0	48
14.	OP2	Higher organic peroxides	2.0	62
<b>1</b> 5.	PAA	Peroxyacetic acid	2.0	76
	Organic acids			
16.	ORA1	Formic acid	1.0	46
17.	ORA2	Acetic acid and higher acids	2.0	60
*/.	OKAZ		2.0	00
		Organic Short-Lived Intermediates		
	Peroxy radicals			
	from alkanes		4.0	
48.	MO2	Methyl peroxy radical	1.0	47
<del>19</del> .	ETHP	Peroxy radical formed from alkane, ETH	2.0	61
50.	HC3P	Peroxy radical formed from alkane, HC3	2.9	75
51.	HC5P	Peroxy radical formed from alkane, HC5	4.8	103
52.	HC8P	Peroxy radical formed from alkane, HC8	7.9	145
	Peroxy radicals			
	from alkenes			
53.	OL2P	Peroxy radical formed from alkene, OL2	2.0	77
54.	OLTP	Peroxy radical formed from alkene, OLT	3.8	91
55.	OLIP	Peroxy radical formed from alkene, OLIP	4.8	105
	, <del></del>	yy	-110	105
	Peroxy radicals			
	from aromatics	D 11.10 10 1	<b>-</b> .	
56.	TOLP	Peroxy radical formed from aromatic, TOL	7.1	141
57.	XYLP	Peroxy radical formed from aromatic, XYL	8.9	155
	Peroxy radicals			
	with carbonyl groups	I		
58.	ACO <sub>3</sub>	Acetylperoxy radical	2.0	75
59.	KETP	Peroxy radical formed from ketone, KET	3.9	103
60.	TCO <sub>3</sub>	H(CO)CH=CHCO <sub>3</sub>	4.0	115
	Peroxy radicals			
	involving nitrogen			
61.	OLN	NO3-alkene adduct	3.0	136
			J.U	130
62.	XNO <sub>2</sub>	Accounts for additional organic nitrate formation affected by the lumped organic species		
63.	YO.			
w.	$xo_2$	Accounts for additional NO to NO2 conversions affected by the lumped organic species	_	<del></del>
		SUPPLIED BY THE HIMPER OFFSHIP SPECIES		

<sup>\*</sup>Carbon number and molecular weight are representative averages when more than one emitted chemical is included in RADM class.

TABLE 2a. The RADM2 Chemical Mechanism: Photolysis Reactions

		Rate Constant*	Cross Section	Quantum Yield
1.	$NO_2 + hv \rightarrow O^3P + NO$	7.72 x 10 <sup>-3</sup>	Bass et al. [1976]; Davenport [1978]	Gardner et al. [1987]
2.	$O_3 + hv > O^1D + O_2$	1.70 x 10 <sup>-5</sup>	<i>DeMore</i> et al. [1988]	Moortgat and Kudzus [1978]; scaled by 0.9
3.	$O_3 + hv -> O^3P + O_2$	4.22 x 10 <sup>-4</sup>	Same reference as reaction 2	Total yield for $O^1D$ and $O^3P$ assumed unity
4.	HONO + hv -> HO + NO	1.39 x 10 <sup>-3</sup>	Stockwell and Calvert [1978]	Assumed = 1 over UV absorption range
5.	HNO <sub>3</sub> + hv -> HO + NO <sub>2</sub>	2.75 x 10 <sup>-7</sup>	Molina and Molina [1981]	Assumed = 1 over UV absorption range
6.	HNO <sub>4</sub> + hv -> HO <sub>2</sub> + NO <sub>2</sub>	4.58 x 10 <sup>-6</sup>	Molina and Molina [1981]	Assumed = 1 over UV absorption range
7.	NO <sub>3</sub> + hv -> NO + O <sub>2</sub>	2.02 x 10 <sup>-2</sup>	λ < 570 Graham and Johnston [1978]; λ > 570 average of Graham and Johnston [1978] and Ravishankara and Wine [1983]	Magnotia and Johnston [1980]; Graham and Johnston [1978]; scaled to a total yield of unity
8.	$NO_3 + hv \rightarrow NO_2 + O^3P$	1.64 x 10 <sup>-1</sup>	Same references as reaction 7	Same references as reaction 7
9.	H <sub>2</sub> O <sub>2</sub> + hv -> 2 HO	5.67 x 10 <sup>-6</sup>	Average of Lin et al. [1978] and Molina and Molina [1981]	Assumed = 1 over UV absorption range
10.	HCHO + hv -> H <sub>2</sub> + CO	3.32 x 10 <sup>-5</sup>	Average of <i>Moortgat et al.</i> [1980,1983] and <i>Bass et al.</i> [1980]	Moortgat et al. [1983]; Horowitz and Calvert [1978]; Pressure dependent
11.	HCHO + hv -> 2 HO <sub>2</sub> + CO	2.18 x 10 <sup>-5</sup>	Same references as reaction 10	Same references as reaction 10
12.	$ALD + hv \rightarrow MO_2 + HO_2 + CO$	3.55 x 10 <sup>-6</sup>	Average of Calvert and Pitts [1966] and Meyrahn [1984]	Meyrahn et al. [1981]; Pressure dependent <sup>†</sup>
13.	OP1 + hv -> HCHO + HO <sub>2</sub> + HO	5.57 x 10 <sup>-6</sup>	Molina and Arguello [1979]	Assumed = 1 over UV absorption range
14.	$OP2 + hv \rightarrow ALD + HO_2 + HO$	5.57 x 10 <sup>-6</sup>	Same reference as reaction 13	Same reference as reaction 13

TABLE 2a. (continued)

		Rate Constant*	Cross Section	Quantum Yield
15.	PAA + <i>lw</i> -> MO <sub>2</sub> + CO <sub>2</sub> + HO	1.59 x 10 <sup>-6</sup>	H <sub>2</sub> O <sub>2</sub> cross section scaled by 0.28; Giguere and Olmos [1956]	Assumed = 1 over UV absorption range
16.	KET + hv -> ACO <sub>3</sub> + ETHP	6.07 x 10 <sup>-7</sup>	Methyl ethyl ketone; Calvert and Pitts [1966]	Gardner et al. [1984]
17.	GLY + hv -> 0.13 HCHO + 1.87 CO	4.98 x 10 <sup>-5</sup>	Plum et al. [1983]	Plum et al. [1983]
18.	GLY + hv -> 0.45 HCHO + 1.55 CO + 0.80 HO <sub>2</sub>	5.17 x 10 <sup>-5</sup>	Same reference as reaction 17	Langford and Moore [1984]
19.	MGLY + hv -> ACO <sub>3</sub> + HO <sub>2</sub> + CO	1.44 x 10 <sup>-4</sup>	Plum et al. [1983]	Plum et al. [1983]; Langford and Moore [1984]
20.	DCB + hv -> 0.98 HO <sub>2</sub> + TCO <sub>3</sub> + 0.02 ACO <sub>3</sub>	4.30 x 10 <sup>-4</sup>	See text	See text
21.	ONIT + hv -> 0.20 ALD + 0.80 KET + HO <sub>2</sub> + NO <sub>2</sub>	7.95 x 10 <sup>-7</sup>	Calvert and Pitts [1966]	Assumed = 1 over UV absorption range

<sup>\*</sup>Photolysis rate constants (sec  $^{-1}$ ) are given for solar zenith angle 40°, June 21, summer surface 40° north latitude. †Pressure dependence given by  $\phi_{\lambda p} = \phi_{\lambda \cdot 1 atm}/\{\phi_{\lambda \cdot 1 atm} + [1-\phi_{\lambda \cdot 760}]P/P_{1 atm}\}$ 

TABLE 2b. The RADM2 Chemical Mechanism: Thermal Reactions;  $k = A \exp \left[-E/(RT)\right]$ 

		A*	E/R	Note
1.	O <sup>3</sup> P + NO <sub>2</sub> -> NO + O <sub>2</sub>	6.5 x 10 <sup>-12</sup>	-120.	a
2.	$O^1D + N_2 \rightarrow O^3P + N_2$	1.8 x 10 <sup>-11</sup>	-110.	a
3.	$O^1D + O_2 \rightarrow O^3P + O_2$	3.2 x 10 <sup>-11</sup>	-70.	a
4.	$O^1D + H_2O -> 2 HO$	$2.2 \times 10^{-10}$	0.	a
5.	$O_3 + NO -> NO_2 + O_2$	$2.0 \times 10^{-12}$	1400.	a
6.	O <sub>3</sub> + HO-> HO <sub>2</sub> + O <sub>2</sub>	$1.6 \times 10^{-12}$	940.	2
7.	$O_3 + HO_2 \implies HO + 2O_2$	1.1 x 10 <sup>-14</sup>	500.	a
8.	HO <sub>2</sub> + NO -> NO <sub>2</sub> + HO	3.7 x 10 <sup>-12</sup>	-240.	a
9.	H <sub>2</sub> O <sub>2</sub> + HO -> HO <sub>2</sub> + H <sub>2</sub> O	$3.3 \times 10^{-12}$	200.	a
10.	$NO + NO + O_2 \rightarrow NO_2 + NO_2$	3.3 x 10 <sup>-39</sup>	-530.	ъ
11.	O <sub>3</sub> + NO <sub>2</sub> -> NO <sub>3</sub>	1.4 x 10 <sup>-13</sup>	2500.	a
12.	NO <sub>3</sub> + NO -> NO <sub>2</sub> + NO <sub>2</sub>	1.7 x 10 <sup>-11</sup>	-150.	. а
13.	$NO_3 + NO_2 > NO + NO_2 + O_2$	$2.5 \times 10^{-14}$	1230.	ь
14.	NO <sub>3</sub> + HO <sub>2</sub> -> HNO <sub>3</sub> + O <sub>2</sub>	2.5 x 10 <sup>-12</sup>		c
15.	N <sub>2</sub> O <sub>5</sub> + H <sub>2</sub> O -> 2 HNO <sub>3</sub>	2.0 x 10 <sup>-21</sup>		2
16.	$HO + HNO_4 -> NO_2 + H_2O + O_2$	1.3 x 10 <sup>-12</sup>	-380.	a, d
17.	HO + HO <sub>2</sub> -> H <sub>2</sub> O + O <sub>2</sub>	4.6 x 10 <sup>-11</sup>	-230.	a
18.	CH <sub>4</sub> + HO -> MO <sub>2</sub> + H <sub>2</sub> O	6.95 x 10 <sup>-18</sup>	1280.	e, f
19.	ETH + HO -> ETHP + H2O	1.37 x 10 <sup>-17</sup>	444.	e, f
20.	HC3 + HO -> 0.83 HC3P + 0.17 HO2	1.59 x 10 <sup>-11</sup>	540.	e, g
	+ 0.009 HCHO + 0.075 ALD			
	+ 0.025 KET + H <sub>2</sub> O			
21.	HC5 + HO -> HC5P + 0.25 XO2 + H2O	1.73 x 10 <sup>-11</sup>	380.	e, h
22.	HC8 + HO -> HC8P + 0.75 XO <sub>2</sub> + H <sub>2</sub> O	3.64 x 10 <sup>-11</sup>	380.	e, i
23.	OL2 + HO -> OL2P	2.15 x 10 <sup>-12</sup>	-411.	e

TABLE 2b. (continued)

		A*	E/R	Note
24.	OLT + HO -> OLTP	5.32 x 10 <sup>-12</sup>	-504.	е
25.	OLI + HO -> OLIP	1.07 x 10 <sup>-11</sup>	-549.	e
26.	TOL + HO -> 0.75 TOLP + 0.25 CSL + 0.25 HO <sub>2</sub>	2.1 x 10 <sup>-12</sup>	-322.	
20. 27.	XYL + HO -> 0.83 XYLP + 0.17 CSL + 0.17 HO <sub>2</sub>	1.89 x 10 <sup>-11</sup>	-322. -116.	e, j
		4.0 x 10 <sup>-11</sup>	-110.	j, k
28.	CSL + HO -> 0.1 HO <sub>2</sub> + 0.9 XO <sub>2</sub> + 0.9 TCO <sub>3</sub> - 0.9 HO	4.0 X 10		е
29.	HCHO + HO -> HO <sub>2</sub> + CO + H <sub>2</sub> O	9.0 x 10 <sup>-12</sup>		е
30.	ALD + HO -> ACO <sub>3</sub> + H <sub>2</sub> O	6.87 x 10 <sup>-12</sup>	-256.	е
31.	KET + HO -> KETP + H2O	1.2 x 10 <sup>-11</sup>	745.	1
32.	GLY + HO -> HO <sub>2</sub> + 2 CO + H <sub>2</sub> O	1.15 x 10 <sup>-11</sup>		ь
33.	MGLY + HO -> ACO <sub>3</sub> + CO + H <sub>2</sub> O	1.7 x 10 <sup>-11</sup>		ь
34.	DCB + HO -> TCO <sub>3</sub> + H <sub>2</sub> O	2.8 x 10 <sup>-11</sup>		1
35.	OP1 + HO -> 0.5 MO <sub>2</sub> + 0.5 HCHO + 0.5 HO	1.0 x 10 <sup>-11</sup>		m
36.	OP2 + HO -> 0.5 HC3P + 0.5 ALD + 0.5 HO	1.0 x 10 <sup>-11</sup>		m
37.	PAA + HO -> ACO <sub>3</sub> + H <sub>2</sub> O	1.0 x 10 <sup>-11</sup>		m
38.	PAN + HO -> HCHO + NO <sub>3</sub> + XO <sub>2</sub>	6.85 x 10 <sup>-18</sup>	444.	f, n
39.	ONIT + HO -> HC3P + NO2	1.55 x 10 <sup>-11</sup>	540.	
40.	ISO + HO -> OLTP	2.55 x 10 <sup>-11</sup>	-409.	e
41.	ACO <sub>3</sub> + NO <sub>2</sub> -> PAN	2.8 x 10 <sup>-12</sup>	-181.	1
42.	PAN -> ACO <sub>3</sub> + NO <sub>2</sub>	1.95 x 10 <sup>16</sup>	13543.	ь
43.	TCO <sub>3</sub> + NO <sub>2</sub> -> TPAN	$4.7 \times 10^{-12}$		j
44.	TPAN -> TCO <sub>3</sub> + NO <sub>2</sub>	1.95 x 10 <sup>16</sup>	13543.	j
45.	$MO_2 + NO \rightarrow HCHO + HO_2 + NO_2$	$4.2 \times 10^{-12}$	-180.	a
46.	HC3P + NO -> 0.75 ALD + 0.25 KET	$4.2 \times 10^{-12}$	-180.	a, b
	+ 0.09 HCHO + 0.036 ONIT + 0.964 NO <sub>2</sub> + 0.964 HO <sub>2</sub>			
47.	HC5P + NO -> 0.38 ALD + 0.69 KET	4.2 x 10 <sup>-12</sup>	-180.	a, b
	+ 0.08 ONIT + 0.92 NO <sub>2</sub> + 0.92 HO <sub>2</sub>			
48.	HC8P + NO -> 0.35 ALD + 1.06 KET + 0.04 HCHO + 0.24 ONIT + 0.76 NO <sub>2</sub> + 0.76 HO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	a,b
49.	OL2P + NO -> 1.6 HCHO + HO <sub>2</sub> + NO <sub>2</sub> + 0.2 ALD	4.2 x 10 <sup>-12</sup>	-180.	a, b, j , m
50.	OLTP + NO -> ALD + HCHO + HO <sub>2</sub> + NO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	a, b
51.	OLIP + NO -> HO <sub>2</sub> + 1.45 ALD + 0.28 HCHO	$4.2 \times 10^{-12}$	-180.	a, b
	$+ 0.10 \text{ KET} + \text{NO}_2$			
52.	ACO3 + NO -> MO <sub>2</sub> + NO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	a, b
53.	$TCO3 + NO -> NO_2 + 0.92 HO_2$	4.2 x 10 <sup>-12</sup>	-180.	a, b
	+ 0.89 GLY + 0.11 MGLY			
54.	$+ 0.05 \text{ ACO3} + 0.95 \text{ CO} + 2 \text{ XO}_2$ TOLP + NO -> NO <sub>2</sub> + HO <sub>2</sub> + 0.17 MGLY	4.2 x 10 <sup>-12</sup>	-180.	a, b, j
J-1.	+ 0.16 GLY + 0.70 DCB		100.	u, <i>U</i> , J
55.	XYLP + NO -> NO <sub>2</sub> + HO <sub>2</sub> + 0.45 MGLY + 0.806 DCB	4.2 x 10 <sup>-12</sup>	-180.	a, b, j
56.	ETHP + NO -> ALD + $HO_2$ + $NO_2$	4.2 x 10 <sup>-12</sup>	-180.	a, b
57.	KETP + NO -> MGLY + NO <sub>2</sub> + HO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	a, b
58.	OLN + NO -> HCHO + ALD + 2 NO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	<b>a,</b> b
59.	HCHO + NO <sub>3</sub> -> HO <sub>2</sub> + HNO <sub>3</sub> + CO	6.0 x 10 <sup>-13</sup>	2058.	c
60.	ALD + NO <sub>3</sub> -> ACO <sub>3</sub> + HNO <sub>3</sub>	1.4 x 10 <sup>-12</sup>	1900.	8
61.	GLY + NO <sub>3</sub> -> HNO <sub>3</sub> + HO <sub>2</sub> + 2 CO	6.0 x 10 <sup>-13</sup>	2058.	a, 1
62.	MGLY + NO <sub>3</sub> -> HNO <sub>3</sub> + ACO <sub>3</sub> + CO	$1.4 \times 10^{-12}$	1900.	a, 1
63.	DCB + NO <sub>3</sub> -> HNO <sub>3</sub> + TCO <sub>3</sub>	$1.4 \times 10^{-12}$	1900.	a, 1
64.	$CSL + NO_3 \rightarrow HNO_3 + XNO_2 + 0.5 CSL$	2.2 x 10 <sup>-11</sup>		1
65.	OL2 + NO <sub>3</sub> -> OLN	2.0 x 10 <sup>-12</sup>	2923.	. 1
66.	OLT + NO <sub>3</sub> -> OLN	1.0 x 10 <sup>-11</sup>	1895.	
	OLI + NO <sub>3</sub> -> OLN	3.23 x 10 <sup>-11</sup>	975.	
67.				
67. 68.	ISO + NO <sub>3</sub> -> OLN	5.81 x 10 <sup>-13</sup> 1.2 x 10 <sup>-14</sup>		

TABLE 2b. (continued)

		A*	E/R	Note
70.	OLT + O <sub>3</sub> -> 0.53 HCHO + 0.50 ALD + 0.33 CO + 0.20 ORA1 + 0.20 ORA2 + 0.23 HO <sub>2</sub> + 0.22 MO <sub>2</sub> + 0.10 HO + 0.06 CH <sub>4</sub>	1.32 x 10 <sup>-14</sup>	2105.	m, o
71.	OLI + O <sub>3</sub> -> 0.18 HCHO + 0.72 ALD + 0.10 KET + 0.23 CO + 0.06 ORA1 + 0.29 ORA2 + 0.09 CH <sub>4</sub> + 0.26 HO <sub>2</sub> + 0.14 HO + 0.31 MO <sub>2</sub>	7.29 x 10 <sup>-15</sup>	1136.	m, o
72.	ISO + O <sub>3</sub> -> 0.53 HCHO + 0.50 ALD + 0.33 CO + 0.20 ORA1 + 0.20 ORA2 + 0.23 HO <sub>2</sub> + 0.22 MO <sub>2</sub> + 0.10 HO	1.23 x 10 <sup>-14</sup>	2013.	m, o
73.	HO <sub>2</sub> + MO <sub>2</sub> -> OP1	7.7 x 10 <sup>-14</sup>	-1300.	a
74.	HO <sub>2</sub> + ETHP -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	а
75.	HO <sub>2</sub> + HC3P -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	а
76.	HO <sub>2</sub> + HC5P -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	а
77.	HO <sub>2</sub> + HC8P -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	a
78.	HO <sub>2</sub> + OL2P -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	a
79.	HO <sub>2</sub> + OLTP -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	a
80.	HO <sub>2</sub> + OLIP -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	а
81.	HO <sub>2</sub> + KETP -> OP2	$7.7 \times 10^{-14}$	-1300.	a
82.	HO <sub>2</sub> + ACO <sub>3</sub> -> PAA	7.7 x 10 <sup>-14</sup>	-1300.	а
83.	HO <sub>2</sub> + TOLP -> OP2	$7.7 \times 10^{-14}$	-1300.	а
84.	$HO_2 + XYLP \rightarrow OP2$	7.7 x 10 <sup>-14</sup>	-1300.	ai
85.	HO <sub>2</sub> + TCO <sub>3</sub> -> OP2	7.7 x 10 <sup>-14</sup>	-1300.	a
86.	HO <sub>2</sub> + OLN -> ONIT	7.7 x 10 <sup>-14</sup>	-1300.	а
87.	$MO_2 + MO_2 \rightarrow 1.5 \text{ HCHO} + HO_2$	1.9 x 10 <sup>-13</sup>	-220.	a, l
88.	MO <sub>2</sub> + ETHP -> 0.75 HCHO + HO <sub>2</sub> + 0.75 ALD	1.4 x 10 <sup>-13</sup>	-220.	a, b
89.	MO <sub>2</sub> + HC3P -> 0.84 HCHO + HO <sub>2</sub> + 0.77 ALD + 0.26 KET	4.2 x 10 <sup>-14</sup>	-220.	a, b
90.	MO <sub>2</sub> + HC5P -> 0.77 HCHO + HO <sub>2</sub> + 0.41 ALD + 0.75 KET	3.4 x 10 <sup>-14</sup>	-220.	a, b
91.	MO <sub>2</sub> + HC8P -> 0.80 HCHO + HO <sub>2</sub> + 0.46 ALD + 1.39 KET	2.9 x 10 <sup>-14</sup>	-220.	a, b
92.	MO <sub>2</sub> + OL2P -> 1.55 HCHO + HO <sub>2</sub> + 0.35 ALD	1.4 x 10 <sup>-13</sup>	-220.	a, b
93. 94.	MO <sub>2</sub> + OLTP -> 1.25 HCHO + HO <sub>2</sub> + 0.75 ALD MO <sub>2</sub> + OLTP -> 0.89 HCHO + HO <sub>2</sub>	1.4 x 10	-220. -220.	a, b
95.	+ 0.725 ALD + 0.55 KET MO <sub>2</sub> + KETP -> 0.75 HCHO + HO <sub>2</sub>	1.7 x 10 <sup>-14</sup>	-220.	a, b
96.	+ 0.75 MGLY MO <sub>2</sub> + ACO <sub>3</sub> -> HCHO + 0.5 HO <sub>2</sub>	9.6 x 10 <sup>-13</sup>	-220.	a, b
97.	$+ 0.5 \text{ MO}_2 + 0.5 \text{ ORA2}$ MO <sub>2</sub> + TOLP -> HCHO + 2 HO <sub>2</sub> + 0.17 MGLY	1.7 x 10 <sup>-14</sup>	-220.	a, b
98.	+ 0.16  GLY + 0.70  DCB $MO_2 + XYLP \rightarrow HCHO + 2 HO_2 + 0.45 \text{ MGLY}$	1.7 x 10 <sup>-14</sup>	-220.	a, b
99.	+ 0.806 DCB MO <sub>2</sub> + TCO <sub>3</sub> -> 0.50 HCHO + 0.50 ORA2 + 0.460 HO <sub>2</sub> + 0.445 GLY + 0.055 MGLY + 0.025 ACO <sub>3</sub> + 0.475 CO + XO <sub>2</sub>	9.6 x 10 <sup>-13</sup>	-220.	a, b
100.	MO <sub>2</sub> + OLN -> 1.75 HCHO + 0.5 HO <sub>2</sub> + ALD + NO <sub>2</sub>	1.7 x 10 <sup>-14</sup>	-220.	a, b
101.	ETHP + $ACO_3$ -> $ALD$ + $0.5 HO_2$ + $0.5 MO_2$ + $0.5 ORA2$	3.4 x 10 <sup>-13</sup>	-220.	a, b

Table 2b. (continued)

		A*	E/R	Note
102.	HC3P + ACO <sub>3</sub> -> 0.77 ALD + 0.26 KET + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	1.0 x 10 <sup>-13</sup>	-220.	a, b
103.	HC5P + ACO <sub>3</sub> -> 0.4' ALD + 0.75 KET + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	8.4 x 10 <sup>-14</sup>	-220.	a, b
104.	HC8P + ACO <sub>3</sub> -> 0.46 ALD + 1.39 KET + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	7.2 x 10 <sup>-14</sup>	-220.	a, b
105.	OL2P + ACO <sub>3</sub> -> 0.8 HCHO + 0.6 ALD + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	3.4 x 10 <sup>-13</sup>	-220.	a, b
106.	OLTP + ACO <sub>3</sub> -> ALD + 0.5 HCHO + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	3.4 x 10 <sup>-13</sup>	-220.	a, b
107.	OLIP + ACO <sub>3</sub> -> 0.725 ALD + 0.55 KET + 0.14 HCHO + 0.50 HO <sub>2</sub> + 0.50 MO <sub>2</sub> + 0.50 ORA2	4.2 x 10 <sup>-14</sup>	-220.	a, b
108.	KETP + ACO <sub>3</sub> -> MGLY + 0.5 HO <sub>2</sub> + 0.5 MO <sub>2</sub> + 0.5 ORA2	4.2 x 10 <sup>-14</sup>	-220.	a, b
109.	ACO3 + ACO3 -> 2 MO2	1.19 x 10 <sup>-12</sup>	-220.	a, b
110.	ACO <sub>3</sub> + TOLP -> MO <sub>2</sub> + 0.17 MGLY + 0.16 GLY + 0.7 DCB + HO <sub>2</sub>	4.2 x 10 <sup>-14</sup>	-220.	a, b
111.	ACO <sub>3</sub> + XYLP -> MO <sub>2</sub> + 0.45 MGLY + 0.806 DCB + HO <sub>2</sub>	4.2 x 10 <sup>-14</sup>	-220.	a,b
112.	ACO <sub>3</sub> + TCO <sub>3</sub> -> MO <sub>2</sub> + 0.92 HO <sub>2</sub> + 0.89 GLY + 0.11 MGLY + 0.05 ACO <sub>3</sub> + 0.95 CO + 2 XO <sub>2</sub>	1.19 x 10 <sup>-12</sup>	-220.	a,b
113.	ACO3 + OLN-> HCHO + ALD + 0.5 ORA2 + NO <sub>2</sub> + 0.5 MO <sub>2</sub>	4.2 x 10 <sup>-14</sup>	-220.	a, b
114.	OLN + OLN -> 2 HCHO + 2 ALD + 2 $NO_2$	$3.6 \times 10^{-16}$	-220.	
115.	$XO_2 + HO_2 \rightarrow OP2$	$7.7 \times 10^{-14}$	-1300.	a
116.	$XO_2 + MO_2 \rightarrow HCHO + HO_2$	1.7 x 10 <sup>-14</sup>	-220.	a, b
117.	$XO_2 + ACO_3 \rightarrow MO_2$	4.2 x 10 <sup>-14</sup>	-220.	a, b
118.	$XO_2 + XO_2 \rightarrow$	3.6 x 10 <sup>-16</sup>	-220.	<b>a,</b> b
119.	XO <sub>2</sub> + NO-> NO <sub>2</sub>	4.2 x 10 <sup>-12</sup>	-180.	a
120.	$XNO_2 + NO_2 \rightarrow ONIT$	4.2 x 10 <sup>-12</sup>	-180.	à
121.	$XNO_2 + HO_2 \rightarrow OP2$	7.7 x 10 <sup>-14</sup>	-1300.	a
122.	$XNO_2 + MO_2 \rightarrow HCHO + HO_2$	1.7 x 10 <sup>-14</sup>	-220.	a, b
123.	$XNO_2 + ACO_3 \rightarrow MO_2$	$4.2 \times 10^{-14}$	-220.	a, b
124.	XNO <sub>2</sub> + XNO <sub>2</sub> ->	3.6 x 10 <sup>-16</sup>	-220.	b

<sup>&</sup>lt;sup>a</sup> DeMore et al. [1988].

Figure C.1: Chemical species and reactions in RADM2. Adapted from Stockwell et al. [13].

b Atkinson and Lloyd [1984]. <sup>c</sup> Cantrell et al. [1985].

d Uselman et al. [1979].

e Atkinson [1986].

f Rate constant is of the form  $k = T^2 A \exp[-E/(RT)]$ .

g Rate constant taken as n-butane.

h Rate constant taken as U.S. average.

i Rate constant taken as n-decane.

<sup>&</sup>lt;sup>j</sup> Products taken from Lurmann et al. [1986].

k Rate constant taken as U.S. average.

<sup>1</sup> Carter et al. [1986]. The reaction of NO<sub>3</sub> with OL2 artifically forms extra carbon. The amount is likely to be so small that is is not considered to be significant.

<sup>&</sup>lt;sup>Th</sup> Kerr and Calvert [1985], Trainer et al. [1987].

<sup>&</sup>lt;sup>n</sup> Rate constant is one half ethane.

O Atkinson and Carter [1984].

## Appendix D

## Urban Pollution Scenario

The initial gas concentrations and emissions in RADM2 are adapted from the PLUME1 scenario by Kuhn et al. [100], which was used for model intercomparisons. This scenario was designed to represent the chemistry in the polluted boundary layer with emissions for a variety of trace gases representative for continental European air [100, 128]. The following figures are excerpts from the protocol used for the model intercomparisons. (The intercomparison was initiated by the Chemical Mechanism Working Group (CMWG) of the EUROTRAC-project (European Experiment on Transport and Transformation of Environmentally Relevant Trace Constituents in the Troposphere over Europe).)

#### APPENDIX: PROTOCOL FOR THE CMWG INTERCOMPARISON

The technical details of the intercomparison are given here in a slightly edited form. It contains all the information necessary to reproduce the calculations for the intercomparison.

#### CMWG INTERCOMPARISON OF GAS-PHASE CHEMICAL MODULES 1994

#### Introductory remarks:

This photochemical model comparison (CMWG-intercomp) provides a quantitative basis for evaluating the chemical schemes currently used in the regional modelling of chemistry and transport. This exercise should be useful for all the participants in validating and improving their photochemical simulations.

#### TASKS:

CALCULATE photochemistry for a "box", i.e., grid-point, as defined below. BEGIN at local NOON (SZA =  $22^{\circ}$ ) on July 1 (= 0) and integrate for 5 days (= 120 h). CLEAR sky, ground ALBEDO = 0.10, LATITUDE = 45 N, LON = 0, solar DECLINATION =  $+23^{\circ}$  (i.e. 1 JULY, 1985).

We will have 5 cases labeled: LAND, BIO, FREE, PLUME/1, PLUME/2

PLUME/1 to be done with prescribed photolysis rates as given below AND EMISSIONS as given below. PLUME/2 to be done with individual photolysis rates from your own model AND EMISSIONS as given below.

USE EMISSIONS ONLY FOR CASE PUME/1 AND PLUME/2 NO EMISSIONS FOR CASE LAND, BIO, FREE

#### INITIAL VALUES:

		LAND	BIO	FREE	PLUME/1/2
alt	(km)	0	0	8	0
T	(K)	288.15	288.15	236.21	288.15
p	(mbar)	1013.25	1013.25	356.50	1013.25
N	(# cm <sup>-3</sup> )	2.55E19	2.55E19	1.09E19	2.55E19
H <sub>2</sub> O	(%v/v)	1.0	1.0	0.05	1.0
O <sub>3</sub>	(ppb)	30	30	100	50
NO	(ppb)	0.1	0.1	0.05	0.2
NO <sub>2</sub>	(ppb)	0.1	0.1	0.05	0.5
HNO₃	(ppb)	0.1	0.1	0.1	0.1
co	(ppb)	100	100	100	200
CH₄	(ppb)	1700	1700	1700	1700
Isoprene	(ppb)	0	1	0	0
H <sub>2</sub>	(ppb)	500	500	500	500
H <sub>2</sub> O <sub>2</sub>	(ppb)	2	2	2	2
HCHO	(ppb)	1	1	0	1
NMHC	(all ppb)	0	0	0	0

EMISSION DATA (for Case PLUME/1 and PLUME/2)

The VOC emissions are based upon the Derwent and Jenkin inventory (Atmospheric Environment 25A(8) 1661-1678, 1991).

To avoid questions concerning the unit of the emisson rate:

dc/dt = P - D \* c + Emission

therefore "Emission" given in cm<sup>-3</sup> s<sup>-1</sup> (Emissions are given as a volume source).

	Species		Emission (cm <sup>-3</sup> s <sup>-1</sup> )
0	Nitrogen_oxide	NO	1.1E6
0	Nitrogen_dioxide	NO <sub>2</sub>	0.0
0	Sulphur_dioxide	SO <sub>2</sub>	2.2E5
0	Carbon_monoxide	CO	2.4E6
0	Methane	CH₄	0.0
			VOC = 3.0E6
1 2	Ethane	C <sub>2</sub> H <sub>6</sub>	VOC + 0.0340956
3	Propane n-Butane	$C_3H_8$ n- $C_4H_{10}$	VOC + 0.00554352
4	i-Butane	i-C <sub>4</sub> H <sub>10</sub>	VOC * 0.0800388 VOC * 0.0434109
5	n-Pentane	n-C <sub>5</sub> H <sub>12</sub>	VOC + 0.0240418
5 6	i-Pentane	i-C <sub>5</sub> H <sub>12</sub>	VOC + 0.0371555
7	n-Hexane	n-C <sub>6</sub> H <sub>14</sub>	VOC + 0.0137236
8	2-Methylpentane	CH <sub>3</sub> C <sub>5</sub> H <sub>11</sub>	VOC + 0.0155535
9	3-Methylpentane	CH <sub>3</sub> C <sub>5</sub> H <sub>11</sub>	VOC • 0.0109789
10	2,2-Dirnethylbutane	CH <sub>3</sub> CH <sub>3</sub> C <sub>4</sub> H <sub>8</sub>	VOC + 0.00155535
11 12	2,3-Dirnethylbutane	CH <sub>3</sub> CH <sub>3</sub> C <sub>4</sub> H <sub>8</sub>	VOC+0.00494051
13	n-Heptane 2-Methylhexane	n-C <sub>7</sub> H <sub>16</sub> CH <sub>3</sub> C <sub>6</sub> H <sub>13</sub>	VOC * 0.00621589 VOC * 0.00519302
14	3-Methylhexane	CH <sub>3</sub> C <sub>6</sub> H <sub>13</sub>	VOC • 0.00448488
15	n-Octane	n-C <sub>8</sub> H <sub>18</sub>	VOC * 0.00400313
16	Methylheptanes	CH <sub>3</sub> C <sub>7</sub> H <sub>15</sub>	VOC * 0.0138039
17	n-Nonane	n-C <sub>9</sub> H <sub>20</sub>	VOC * 0.00737645
18	Methyloctanes	H <sub>3</sub> C <sub>8</sub> H <sub>17</sub>	VOC * 0.00301205
19	n-Decane	n-C <sub>10</sub> H <sub>22</sub>	VOC * 0.005541
20 21	Methylnonanes n-Undecane	CH <sub>3</sub> C <sub>9</sub> H <sub>19</sub>	VOC + 0.00354624
22	n-Duodecane	n-C <sub>11</sub> H <sub>24</sub> n-C <sub>12</sub> H <sub>26</sub>	VOC * 0.00706122 VOC * 0.00647971
23	Ethylene	C <sub>2</sub> H <sub>4</sub>	VOC + 0.0646318
24	Propylene	C <sub>3</sub> H <sub>6</sub>	VOC + 0.0155491
25	But-1-ene	$C_4H_8$	VOC + 0.0073062
26	But-2-ene	$C_4H_8$	VOC * 0.0134884
27	Pent-2-ene	C <sub>5</sub> H <sub>10</sub>	VOC * 0.00809302
28 29	Pent-1-ene	C <sub>5</sub> H <sub>10</sub>	VOC + 0.00314729
30	2-Methylbut-1-ene 3-Methylbut-1-ene	CH₃C₄H <sub>7</sub> CH₃C₄H <sub>7</sub>	VOC * 0.00179845 VOC * 0.00213566
31	2-Methylbut-2-ene	CH <sub>3</sub> C <sub>4</sub> H <sub>7</sub>	VOC + 0.00213300
32	Butylene	C <sub>4</sub> H <sub>8</sub>	VOC + 0.00210756
33	Acetylene	$C_2H_2$	VOC + 0.0393411
34	Benzene	C <sub>6</sub> H <sub>6</sub>	VOC + 0.0171487
35	Toluene	CH₃C <sub>6</sub> H <sub>5</sub>	VOC * 0.0624326
36 37	o-Xylene	o-C <sub>6</sub> H <sub>4</sub> (CH <sub>3</sub> )CH <sub>3</sub>	VOC + 0.0126188
38	m-Xylene p-Xylene	p-C <sub>6</sub> H <sub>4</sub> (CH <sub>3</sub> )CH <sub>3</sub> m-C <sub>6</sub> H <sub>4</sub> (CH <sub>3</sub> )CH <sub>3</sub>	VOC * 0.0163303 VOC * 0.0163303
39	Ethylbenzene	$C_2H_5C_6H_5$	VOC + 0.0103303
40	n-Propylbenzene	n-C <sub>3</sub> H <sub>7</sub> C <sub>6</sub> H <sub>5</sub>	VOC + 0.00216376
41	i-Propylbenzene	i-C <sub>3</sub> H <sub>7</sub> C <sub>6</sub> H <sub>5</sub>	VOC + 0.00111466
42	1,2,3-Trimethylbenzene	CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> C <sub>6</sub> H <sub>3</sub>	VOC * 0.00255717
43	1,2,4-Trimethylbenzene	CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> C <sub>6</sub> H <sub>3</sub>	VOC + 0.00983527
44 45	1,3,5-Trimethylbenzene	CH <sub>3</sub> CH <sub>3</sub> C <sub>6</sub> H <sub>3</sub>	VOC + 0.00367183
46	o-Ethyltoluene m-Ethyltoluene	o-CH₃C6H₄C2H5 CH₃C6H4CH3	VOC * 0.00334399 VOC * 0.00467639
47	p-Ethyltoluene	p-CH <sub>3</sub> C <sub>6</sub> H <sub>4</sub> Cl <sub>3</sub>	VOC + 0.00467639 VOC + 0.00413081
48	Formaldehyde	HCHO	VOC * 0.0196705
49	Acetaldeyde	CH₃CHO	VOC * 0.00178823
50	Proprionaldehyde	C₂H <sub>5</sub> CHO	VOC + 0.00149225
51	Butyraldehyde	n-C₃H₁CHO	VOC + 0.00079775
52 53	i-Butyraldehyde Valeraldehyde	(CH <sub>3</sub> ) <sub>2</sub> CHCHO	VOC + 0.000710325
54	Benzaldehyde	n-C₄H₀CHO C₀H₅CHO	VOC * 3.65964E-05 VOC * 0.000296914
55	Acetone	CH <sub>3</sub> COCH <sub>3</sub>	VOC + 0.000296914 VOC + 0.023062
56	Methyl_ethyl_ketone	CH <sub>3</sub> COC <sub>2</sub> H <sub>5</sub>	VOC + 0.0316914
57	Methyl_isobutyl_ketone	CH <sub>3</sub> COC <sub>4</sub> H <sub>9</sub>	VOC * 0.00778954
58	Methanol	CH₃OH	VOC * 0.0209
59	Ethanol	C <sub>2</sub> H <sub>5</sub> OH	VOC + 0.174469
60	Methyl_acetate	CH₃CO₂CH₃	VOC + 0.00170124
61 62	EthyLacetate i-PropyLacetate	C <sub>2</sub> H <sub>3</sub> CO <sub>2</sub> CH <sub>3</sub> i-C <sub>3</sub> H <sub>7</sub> CH <sub>3</sub> CO <sub>2</sub>	VOC * 0.00554352 VOC * 0.0021599
63	n-ButyLacetate	n-C <sub>4</sub> H <sub>9</sub> CH <sub>3</sub> CO <sub>2</sub>	VOC + 0.0021399
64	i-Butyl_acetate	s-C <sub>4</sub> H <sub>9</sub> CH <sub>3</sub> CO <sub>2</sub>	VOC + 0.00305233
65	Methane_chloride	CH <sub>3</sub> Cl <sub>2</sub>	VOC + 0.0112403
66 67	Methyl_chloroform	CH₃CCl₃	VOC * 0.00943007
	Tetrachloroethylene	C <sub>2</sub> Cl <sub>4</sub>	VOC * 0.00671677

#### PHOTOLYSIS FREQUENCIES

Since the main goal of the intercomparison is the comparison of chemical schemes, we prescribe the photolysis rates. The data are based on the radiation transport model by E. P. Roeth (KFA-Juelich). The photolytic processes included are the from the RADM2. In case your mechanism contains additional photolytic processes scale their rates to a similar photolytic process.

Photolysis rates with products and educts as given from Roeth

```
P1 NO<sub>2</sub> \rightarrow O<sup>3</sup>P + NO
         J = PHUX (1.07E-02, 1.01319, 0.83330, CHI)
 P2 O_3 \rightarrow O^1D + O_2
         J = PHUX (3.22E-05, 4.45037, 0.78028, CHI)
P3 O_3 \rightarrow O^3P + O_2

J = PHUX (5.36E-04, 0.34764, 0.91030, CHI)
 P4 HONO → HO + NO
         J = PHUX (8.96E-04, 0.99438, 0.83295, CHI)
 P5 HNO<sub>3</sub> → HO + NO<sub>2</sub>
         J = PHUX (5.48E-07, 2.86922, 0.79561, CHI)
 P6 HNO<sub>4</sub> → HO<sub>2</sub> + NO<sub>2</sub>
         J = PHUX (3.90E-06, 2.37354, 0.79830, CHI)
 P7 NO_3 \rightarrow NO + O_2
         J = PHUX (2.74E-02, 0.26226, 0.92849, CHI)
 P8 NO_3 \rightarrow NO_2 + O_3P
         J = PHUX (2.73E-01, 0.29327, 0.92401, CHI)
 P9 H<sub>2</sub>O<sub>2</sub> → 2HO
         J = PHUX (7.78E-06, 1.91463, 0.79810, CHI)
P10 HCHO → H<sub>2</sub> + CO
         J = PHUX (4.92E-05, 1.60973, 0.80184, CHI)
P11 HCHO → H + HCO
         J = PHUX (4.05E-05, 2.06917, 0.80267, CHI)
P12 CH<sub>3</sub>CHO → CH<sub>3</sub> + CHO
         J = PHUX (5.40E-06, 2.52915, 0.79722, CHI)
P13 CH<sub>3</sub>OOH → CH<sub>3</sub>O + HO
         J = PHUX (6.37E-06, 1.76570, 0.80004, CHI)
P15 CH<sub>3</sub>CO<sub>3</sub>H → products
         J = PHUX (6.10E-09, 9.17009, 0.72585, CHI)
P16 CH<sub>3</sub>COCH<sub>3</sub> → products
         J = PHUX (1.32E-05, 2.46350, 0.79768, CHI)
P17 CHOCHO → products
         J = PHUX (3.11E-03, 0.55016, 0.88313, CHI)
P19 CH<sub>3</sub>COCHO → products
         J = PHUX (1.85E-03, 0.57967, 0.87921, CHI)
P20 DCB → products
         J = PHUX (6.39E-04, 1.53712, 0.80233, CHI)
P21 C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> → products
         J = PH\hat{U}X (7.20E-08, 9.11436, 0.72600, CHI)
P22 \quad N_2O_5 \quad \rightarrow \quad O_3P + 2NO_2
         J = PHUX (3.79E-05, 1.70537, 0.80153, CHI)
P23 MeCOEt → products
         J = PHUX (1.36E-05, 2.59915, 0.80212, CHI)
```

J = PHUX (1.53E-02, 0.55016, 0.88313, CHI)

COMMENT scaled according to EMEP Mechanism ratio J(CH3COCOCH3)/J(GLY)

P24 CH<sub>3</sub>COCOCH<sub>3</sub> → 2 CH<sub>3</sub>COO<sub>2</sub>

```
FUNCTION PHUX(X, Y, Z, CHI)
00000000
     CALCULATION OF PHOTOLYSIS RATES WITH ALGORITHM FROM ROETHS PROGRAM
     CHI = SOLAR ZENITH ANGLE (IN RADIAN)
     X, Y, Z FROM ROETH
X IS PHOTOLYSIS RATE IN 1/S FOR CHI = 0
     KUHN 07.09.93
     IMPLICIT NONE
     REAL*8 X, Y, Z, CHI, CHIZ, YCHIZ, MINYZ, EYCHIZ, EMINYZ, PHUX
     PARAMETER (MINYZ = -30, EMINYZ = 9.357623D-14) !EMINYZ = EXP(MINYZ)
     CHIZ = CHI * Z
     IF (CHIZ.LT.1.57079632679489D0) THEN
        YCHIZ = Y * (1.0 - (1.0/ COS(CHIZ)))
IF (YCHIZ.GT.MINYZ) THEN
           EYCHIZ = DEXP (YCHIZ)
        ELSE
           EYCHIZ = EMINYZ
        ENDIF
     ELSE
       EYCHIZ = EMINYZ
     ENDIF
     PHUX = X * EYCHIZ
     RETURN
     END
C....
CALCULATED VALUES FOR PHUX (EXAMPLE FOR COMPARISON)
      NO_2 \rightarrow O_3P + NO
         J = PHUX (1.07E-02, 1.01319, 0.83330, CHI)
         X = 1.07E-02
                        Y = 1.01319, Z = 0.83330
NCHI/GRAD
                 J(NO_2)/S - 1
                  0.1070E-01
10
                 0.1058E-01
20
                 0.1024E-01
30
40
50
60
70
80
                 0.9636E-02
                 0.8765E-02
                 0.7593E-02
                 0.6094E-02
                 0.4278E-02
                 0.2283E-02
                 0.5883E-03
90
```

Figure D.1: Initial gas concentrations, emissions, and photolysis parameters and calculations. Adapted from Kuhn et al. [100].

END OF CMWG INTERCOMPARISON PROTOCOL

## Appendix E

## RADM2 Code Structure

A version of the RADM2 code with the added heterogeneous chemistry extensions can be downloaded from:

http://atmos.msrc.sunysb.edu/marco/

An instruction describing how to compile and run the code can be found in the README file in the RADM directory.

### E.1 General Structure

A diagram outlining the general structure of the RADM2 code is shown in Figure E.1.

The files multi\_n and plumeNOx1 supply temperature, pressure parameters, as well as initial gas concentrations and emission rates to the main program of the RADM2 program code which is radm\_eff. radm\_eff initializes the simulation with the supplied values and then starts the integration loop consisting of the stepwise iteration of the subroutine chem. chem computes the rate coefficients of the represented reactions, e. g., via expression (2.16). The photolysis rates are calculated in dependence of the time of day and the solar zenith angle in the subroutine phot. After that, chem calls four subroutines in succession. The first is prate, which computes the reaction rates for each represented reaction, in analogy to expression (2.14). Then the subroutine produ is called, which derives the chemical differential equations from the reactions rates and sorts them with respect to production and loss terms. Next, setdtc sets the chemical time step for the iteration routine in radm\_eff, based on limiting the maximum percent change allowed in the species' concentrations. Finally, integ1 is called, which is the chemical integration routine. After the integration is completed,

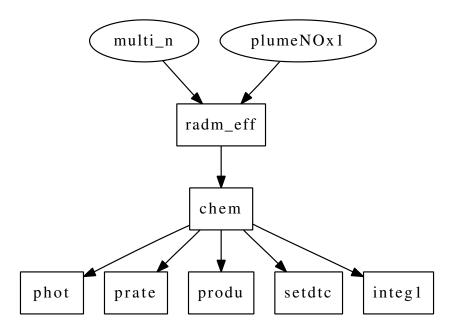


Figure E.1: Code structure of the RADM2 mechanism.

radm\_eff advances by one time step and chem is called again. The simulation is completed when the final time is reached.

The following section presents code excerpts that outline the implemented heterogeneous reactions.

## E.2 PRA Extension of RADM2

In the extended RADM2-PRA framework, the chem subroutine includes the computation of the pseudo-first order loss coefficient and the net uptake coefficient as shown in Listing E.1. The steps of this computation, exemplified for the gas-phase loss of  $O_3$  are:

- the calculation of the partial and total surface coverage in lines 67–70, in accord with (3.11);
- the calculation of the species mean molecular velocity in line 90 and converting its gas concentration and surface reaction rates in lines 94 and 96–98, respectively;
- the calculation of the uptake coefficient  $\gamma_{X-i}$ , which is composed of the calculations of the adsorption, desorption, and collision fluxes, from lines 101–111;

- gas-phase diffusion processes may be calculated in lines 115–121;
- finally, the reaction coefficient for the heterogeneous gas-phase loss is calculated in line 136.

The calculation of the reaction coefficient for the gas-phase loss of  $NO_2$  and  $H_2O$  is analogous. The implementation of soot emission scenarios by surface replenishment, which is described in section 3.2.3, is executed in lines 258–285. Comments in the code that are meant to guide the reader start either with a "c" at the beginning of a line, or with a "!" within the line.

The heterogeneous loss rate, i. e. the product of the rate coefficient with the species gas-phase concentration, is calculated in subroutine **prate** as shown in Listing E.2. In addition to the gas-phase loss rates calculated in lines 17, 20, and 22, the reaction rates for the adsorbents' surface reactions are calculated in the remaining lines of 14–22.

The subroutine produ, with an excerpt shown in Listing E.3, groups the calculated reaction rates to the species' overall loss and production rates, which are equivalent to their chemical differential equation as given in section 3.2.1. The differential equations are then solved, together with the differential equations for the gas-phase and photolysis reactions, as described earlier, in section E.1.

Listing E.1: Excerpt from chem.f

```
SUBROUTINE CHEM
2
        REAL C_O3, RM_O3, O3_CM3, ALPHA_O3
        REAL GAMMA_O3
        REAL SIGMA_O3, TAU_O3
        REAL J_ADS_O3, J_DES_O3, J_COLL_O3
6
        REAL KO3_1, KO3_2, KO3_3
         REAL PROD_O3S, LOSS_O3S1, LOSS_O3S2
        REAL C_NO2, RM_NO2, NO2_CM3, ALPHA_NO2
10
        REAL GAMMANO2
11
        REAL SIGMA_NO2, TAU_NO2
12
         REAL J_ADS_NO2, J_DES_NO2, J_COLL_NO2
13
         REAL KNO2_1, KNO2_2
14
         REAL PROD_NO2S, LOSS_NO2S1, LOSS_NO2S2
15
        REAL THETA_S, THETA_O3, THETA_NO2
16
        REAL RM_N2O5, C_N2O5, GAMMA_N2O5, SURF_N2O5
17
18
        REAL C_H2O, H2O_CM3, ALPHA_H2O
19
        REAL GAMMA.H2O
20
```

```
REAL TAU_H2O
21
        REAL J_ADS_H2O, J_DES_H2O, J_COLL_H2O
22
        REAL PROD_H2OS, LOSS_H2OS1, LOSS_H2OS2
23
        REAL THETA_H2O, SIGMA_H2O
24
25
        REAL Cg_O3, Cg_NO2, Cg_H2O, F_O3, F_NO2, F_H2O
26
        REAL Kn_O3, Kn_NO2, Kn_H2O
27
        REAL GAMMA_EFF_O3, GAMMA_EFF_NO2, GAMMA_EFF_H2O
        INTEGER GPDCF_O3, GPDCF_NO2, GPDCF_H2O
        INTEGER SR_O3, SR_NO2, SR_H2O
31
        INTEGER SOOT_EMIT
32
        INTEGER z
33
34
        PARAMETER (RMLO3 = 48.E-03)
35
        PARAMETER (R_GAS = 8.314472)
        PARAMETER (ALPHA_O3 = 0.001)
        PARAMETER (SIGMA_O3 = 1.8E-15)
38
        PARAMETER (TAUO3 = 18E0)
39
        PARAMETER (KO3_{-1} = 2.1E-17)
40
        PARAMETER (KO3.2 = 2.1E-19)
41
        PARAMETER (KO3<sub>-</sub>3 = 2.1E-21)
43
        PARAMETER (RM_NO2 = 46.E-03)
        PARAMETER (ALPHA_NO2 = 0.14)
45
        PARAMETER (SIGMA_NO2 = 3.E-15)
46
        PARAMETER (TAU_NO2 = 18E0)
47
        PARAMETER (KNO2_{-1} = 7.E-18)
48
        PARAMETER (KNO2_2 = 3.7E-3)
49
        PARAMETER (GAMMA_N2O5 = 0.02)
50
        PARAMETER (ALPHA_H2O = 0.0004)
        PARAMETER (SIGMA_H2O = 1.08E-15)
53
        PARAMETER (TAU-H2O = 0.003)
54
55
        PARAMETER (Kn_O3 = 2.3524)
56
        PARAMETER (Kn_NO2 = 2.1768)
57
        PARAMETER (Kn_H2O = 2.1728)
59
    ************************
61
  c SURFACE REACTIONS ON SOOT
    ***********************
```

```
64
   c IMPLEMENTATION OF ONE SOOT SURFACE
   c surface coverages:
66
           THETA_O3 = SIGMA_O3 * VC(1,1,LO3S)
67
           THETA_NO2 = SIGMA_NO2 * VC(1, 1, LNO2S)
68
           THETA_{H2O} = SIGMA_{H2O} * VC(1,1,LH2OS)
69
           THETA.S = THETA.O3 + THETA.NO2 + THETA.H2O
70
71
   c Adsorption of O3 and sequential surface layer reactions
   Y1(=BaP), Y2, Y3, Y4: non-volatile components
75
           SLR1: O3(s)+Y1(ss)-> Y2(ss)
76
           SLR2: O3(s)+Y2(ss)-> Y3(ss)
77
           SLR3: O3(s)+Y3(ss)-> Y4(ss)
           neglect surface-bulk transport, gas-surface,
79
           and surface-bulk reactions
   81
   \mathbf{c}
82
           Enable/disable:
   \mathbf{c}
83
           SR_{-}O3 = 1
84
           IF (SR<sub>-</sub>O<sub>3</sub> .eq. 1) THEN
85
86
   ^{\rm c}
           MEAN VELOCITY OF MOLECULES in cm/min;
           \operatorname{sqrt} \left[ J/(K*mol)*K/(kg/mol) \right] *cm/m*s/min \text{ with } J=kg*(m2/s2)
88
           \rightarrow | cm/ min|
89
             C_{-}O3 = sqrt(8.*R_{-}GAS*T(1,1)/(PI*RM_{-}O3))*100.*60.
90
91
           CONVERSION OF OZONE CONCENTRATION IN CM-3
92
           [\text{molecules/cm3}] = N*[\text{ppmv}] *1e-6 \text{ with } N=p/(Tk), 1/k=7.242E18
93
             O3_{-}CM3 = VC(1,1,LO3)*7.242E12*p(1,1)/T(1,1)
             RK(1,141) = KO3_1 * 60.
                                             ! [cm2/min]
96
             RK(1,142) = KO_{3-2} * 60.
97
             RK(1,143) = KO3_3 * 60.
98
99
           Production and loss terms are in [1/(cm2*min)]
100
             PROD\_O3S = 0.25 * ALPHA\_O3 * C\_O3 * O3\_CM3
101
102
             LOSS_O3S1 = 0.25 * ALPHA_O3 * C_O3 * O3_CM3
103
        &
                 * THETA_S
104
             LOSS_{-}O3S2 = 1.0*60./TAU_{-}O3 * VC(1,1,LO3S)
105
106
```

```
J_ADS_O3 = PROD_O3S - LOSS_O3S1
107
             J_DES_O3 = LOSS_O3S2
108
             J_{COLL_{O3}} = 0.25 * C_{O3} * O3_{CM3}
109
110
             GAMMA.O3 = (J_ADS_O3 - J_DES_O3)/J_COLL_O3
111
112
           CALCULATION OF GAS PHASE DIFFUSION CORRECTION FACTOR
113
   ^{\rm c}
           AND EFFECTIVE GAMMA
114
             GPDCF_O3 = 1 ! enable/disable
115
             IF (GPDCF_O3 .eq.1) THEN
116
                    F_{-}O3 = 0.75 + 0.28 * Kn_{-}O3 / (Kn_{-}O3 * (1. + Kn_{-}O3))
117
                    Cg_{-}O3 = 1./(1.+F_{-}O3*GAMMA_{-}O3)
118
                    GAMMA\_EFF\_O3 = Cg\_O3 * GAMMA\_O3
119
                    GAMMA_O3 = GAMMA_EFF_O3
120
             ENDIF
121
122
   \mathbf{c}
           ELSE
123
             GAMMA_O3 = 0.
124
             RK(1,141) = 0.
125
             RK(1,142) = 0.
126
             RK(1,143) = 0.
127
             PROD_O3S = 0.
128
             LOSS_O3S1 = 0.
129
             LOSS_O3S2 = 0.
130
             C_{-}O3 = \operatorname{sqrt}(8.*R_{-}GAS*T(1,1)/(PI*RM_{-}O3))*100.*60.
131
           ENDIF
132
   \mathbf{c}
133
           Reaction coefficient for gas-phase loss in min-1;
134
   C
           VC(1,1,LSOOT) = [SURF] in cm2/cm3
   C
135
           RK(1,144) = 0.25 * GAMMA.O3 * C.O3 * VC(1,1,LSOOT)
136
137
   \mathbf{c}
   139
   c Adsorption of NO2 and sequential surface layer reactions
140
   141
           Y2, Y3, Y5: non-volatile components
142
           SLR4: NO2(s)+Y2(ss)-> Y5(ss)
143
           SLR5: NO2(s)+Y3(ss)-> HONO(g)
   \mathbf{c}
144
            neglect surface-bulk transport, gas-surface,
           and surface-bulk reactions
146
   147
148
           Enable/disable:
149
   \mathbf{c}
```

```
SR_NO2 = 1
              IF (SR_NO2 .eq. 1) THEN
151
    \mathbf{c}
152
              MEAN VELOCITY OF MOLECULES in cm/min;
    \mathbf{c}
153
              \operatorname{sqrt} \left[ J/(K*\operatorname{mol})*K/(\operatorname{kg/mol}) \right] *\operatorname{cm/m}*s/\operatorname{min} \text{ with } J=\operatorname{kg}*(\operatorname{m2/s2})
154
              \rightarrow [cm/min]
155
                 C.NO2 = sqrt(8.*R.GAS*T(1,1)/(PI*RM.NO2))*100.*60.
156
157
   \mathbf{C}
              CONVERSION OF NO2 CONCENTRATION IN CM-3
158
               [\text{molecules/cm3}]=N*[\text{ppmv}]*1e-6 \text{ with } N=p/(Tk), 1/k=7.242E18
159
                 NO2\_CM3 = VC(1,1,LNO2)*7.242E12*p(1,1)/T(1,1)
160
    \mathbf{c}
161
                 RK(1,145) = KNO2_1 * 60.
162
                 RK(1,146) = KNO2_2*VC(1,1,LSOOT)
163
          &
                                 /(7.242 E12*p(1,1)/T(1,1)) * 60.
164
    \mathbf{c}
165
               Production and loss terms are in [1/(cm2*min)]
166
                 PROD_NO2S = 0.25 * ALPHA_NO2 * C_NO2 * NO2_CM3
167
    ^{\rm c}
168
                 LOSS\_NO2S1 = 0.25 * ALPHA\_NO2 * C\_NO2 * NO2\_CM3
169
          &
                     * THETA_S
170
                 LOSS_NO2S2 = 1.0*60./TAU_NO2 * VC(1,1,LNO2S)
171
172
    ^{\rm c}
                 J_ADS_NO2 = PROD_NO2S - LOSS_NO2S1
173
                 J_DES_NO2 = LOSS_NO2S2
174
                 J_{COLL_NO2} = 0.25 * C_{NO2} * NO2_{CM3}
175
176
                 GAMMANO2 = (J_ADS_NO2 - J_DES_NO2)/J_COLL_NO2
177
178
              CALCULATION OF GAS PHASE DIFFUSION CORRECTION FACTOR
179
              AND EFFECTIVE GAMMA
180
                 GPDCFNO2 = 1 !enable/disable
                     (GPDCF_NO2 .eq. 1) THEN
182
                         F_NO2 = 0.75 + 0.28 * Kn_NO2 / (Kn_NO2 * (1. + Kn_NO2))
183
                         Cg_NO2 = 1./(1.+F_NO2*GAMMA_NO2)
184
                         GAMMA\_EFF\_NO2 = Cg\_NO2 * GAMMA\_NO2
185
                         GAMMA.NO2 = GAMMA.EFF.NO2
186
                 ENDIF
188
              ELSE
189
                 GAMMA_NO2 = 0.
190
                 PROD_NO2S = 0.
191
                 LOSS_NO2S1 = 0.
192
```

```
LOSS_NO2S2 = 0.
193
              RK(1,145) = 0.
194
              RK(1,146) = 0.
195
              C.NO2 = sqrt(8.*R.GAS*T(1,1)/(PI*RM.NO2))*100.*60.
196
197
   \mathbf{c}
198
            Reaction coefficient for gas-phase loss in min-1;
199
   \mathbf{c}
            VC(1,1,LSOOT) = [SURF] in cm2/cm3
200
            RK(1, 147) = 0.25 * GAMMA_NO2 * C_NO2 * VC(1, 1, LSOOT)
201
202
   C
203
   204
   c COMPETITIVE ADSORPTION OF H2O ON SOOT
205
   c physiosorption; no surface reactions
206
   207
208
            Enable/disable:
   \mathbf{c}
209
            SR_H2O = 1
210
            IF (SR_H2O .eq. 1) THEN
211
   \mathbf{c}
212
            MEAN VELOCITY OF MOLECULES in cm/min;
213
            sqrt[J/(K*mol)*K/(kg/mol)]*cm/m*s/min with J=kg*(m2/s2)
214
            \rightarrow [cm/min]
215
              C_{H2O} = sqrt(8.*R_{GAS*T(1,1)}/(PI*18.E-3))*100.*60.
217
            CONVERSION OF H2O CONCENTRATION IN CM-3
218
            [\text{molecules/cm}] = N*[\text{ppmv}]*1e-6 \text{ with } N=p/(Tk), 1/k=7.242E18
219
              H2O_{-}CM3 = VC(1,1,LH2O)*7.242E12*p(1,1)/T(1,1)
220
221
            Production and loss terms are in [1/(cm2*min)]
222
              PROD_{H2OS} = 0.25 * ALPHA_{H2O} * C_{H2O} * H2O_{CM3}
223
   \mathbf{c}
224
              LOSS_{H2}OS1 = 0.25 * ALPHA_{H2}O * C_{H2}O * H2O_{CM3}
225
        &
                  * THETA_S
226
              LOSS_{H2}OS2 = 1.0*60./TAU_{H2}O * VC(1,1,LH2OS)
227
228
   ^{\rm c}
              J_ADS_H2O = PROD_H2OS - LOSS_H2OS1
229
              J_DES_H2O = LOSS_H2OS2
230
              J_COLL_H2O = 0.25 * C_H2O * H2O_CM3
232
              GAMMA.H2O = (J_ADS_H2O - J_DES_H2O)/J_COLL_H2O
233
   \mathbf{c}
234
            CALCULATION OF GAS PHASE DIFFUSION CORRECTION FACTOR
235
   \mathbf{c}
```

```
AND EFFECTIVE GAMMA
236
              GPDCF_{H2O} = 1 !enable/disable
237
              IF (GPDCF_H2O .eq. 1) THEN
238
                    F_{H2O} = 0.75 + 0.28 * Kn_{H2O} / (Kn_{H2O} * (1. + Kn_{H2O}))
239
                    Cg_{H2O} = 1./(1.+F_{H2O}*GAMMA_{H2O})
240
                    GAMMA\_EFF\_H2O = Cg\_H2O * GAMMA\_H2O
241
                    GAMMA.H2O = GAMMA.EFF.H2O
242
              ENDIF
           ELSE
^{245}
             GAMMA_H2O = 0.
246
              PROD_{H2OS} = 0.
247
              LOSS_H2OS1 = 0.
248
              LOSS_H2OS2 = 0.
249
              C_{H2O} = sqrt(8.*R_{GAS*T(1,1)}/(PI*18.E-3))*100.*60.
250
           ENDIF
251
252
            Reaction coefficient for gas-phase loss in min-1;
253
           VC(1,1,LSOOT) = [SURF] in cm2/cm3
254
           RK(1,149) = 0.25 * GAMMAH2O * C.H2O * VC(1,1,LSOOT)
255
256
   c
   C
257
   258
   c EMISSION OF NEW SOOT
   c modeled as emission of surface species Y1
260
   c EMISSION SCENARIOS: 4x a day; 24x a day
261
   262
   \mathbf{c}
263
           SOOT\_EMIT = 0
264
            IF (SOOT_EMIT .eq. 1) THEN
^{265}
266
   c EMISSIONS 4x a day:
           DO z = 1,20,1
268
             IF (TIMNOW. ge. (720+360*z). and .TIMNOW. lt. (720.0016+360*z)) THEN
   \mathbf{c}
269
270
   c EMISSIONS 24x a day:
271
           DO z=1,120,1
272
             IF (TIMNOW. ge. (720+60*z)). and .TIMNOW. lt. (720.0016+60*z)) THEN
   \mathbf{c}
                    VC(1,1,LH2OS) = 0.
^{275}
                    VC(1,1,LNO2S) = 0.
276
                    VC(1,1,LO3S) = 0.
277
                    VC(1,1,LY5) = 0.
278
```

```
VC(1,1,LY4) = 0.
279
               VC(1,1,LY3) = 0.
280
               VC(1,1,LY2) = 0.
281
               VC(1,1,LY1) = 1.0E14
282
         ENDIF
283
         END DO
284
         ENDIF
285
286
  c END REACTION AT SOOT SURFACE
  289
                Listing E.2: Excerpt from prate.f
  SUBROUTINE PRATE (K, I)
  C**
        DESCRIPTION:
         SUBROUTINE PRATE COMPUTES THE REACTION RATES FOR EACH
  C**
  C**
         INDIVIDUAL REACTION IN THE CHEMICAL SOLVER.
  C**
         FORMULA FOR THE COMPUTATION RATE OF A SPECIFIC CHEMICAL
  C**
         REACTION IS GIVEN AS SUCH:
  C**
          RATE REACTION K = RATE K * REACTANT1 * REACTANT2 ...
  C**
  11
12
  CN --- ADDITIONAL REACTIONS ON SOOT SURFACE
13
       CRK(J, 141) = RK(J, 141) * VC(J,K, LO3S) * VC(J,K,LY1)
14
       CRK(J, 142) = RK(J, 142) * VC(J,K, LO3S) * VC(J,K,LY2)
       CRK(J, 143) = RK(J, 143) * VC(J,K, LO3S) * VC(J,K,LY3)
       CRK(J, 144) = RK(J, 144) * VC(J, K, LO3)
17
       CRK(J, 145) = RK(J, 145) * VC(J,K, LNO2S) * VC(J,K,LY2)
18
       CRK(J, 146) = RK(J, 146) * VC(J,K, LNO2S) * VC(J,K,LY3)
19
       CRK(J, 147) = RK(J, 147) * VC(J, K, LNO2)
20
  C
       CRK(J, 148) = RK(J, 148) * VC(J,K, LSOOT)
21
       CRK(J, 149) = RK(J, 149) * VC(J, K, LH2O)
22
  12
         CONTINUE
23
  \mathbf{C}
       RETURN
25
       END
26
                Listing E.3: Excerpt from produ.f
```

```
SUBROUTINE PRODU
  C**
        DESCRIPTION:
  C**
        SUBROUTINE PRODU DERIVES THE DIFFERENTIAL EQUATIONS FROM THE
  C**
        REACTION RATES COMPUTED IN THE PRATE SUBROUTINE.
  REAL PROD_O3S, LOSS_O3S1, LOSS_O3S2,
      & PROD_NO2S, LOSS_NO2S1, LOSS_NO2S2,
10
      & PROD_H2OS, LOSS_H2OS1, LOSS_H2OS2
11
12
  13
        O3. NO2. H2O SURFACE REACTION TERMS:
14
  15
  c PRODUCTION AND LOSS TERMS OF O3 SURFACE REACTION
  \mathbf{c}
       DO J = JCS, JCE
19
         LOSS(J,LO3S) = LOSS_O3S2 + LOSS_O3S1
20
      1
              + CRK(J,141) + CRK(J,142) + CRK(J,143)
21
         LOSS(J,LY1) = CRK(J,141)
22
         LOSS(J,LY2) = CRK(J,142) + CRK(J,145)
23
         LOSS(J,LY3) = CRK(J,143) + CRK(J,146)
24
         LOSS(J, LSOOT) = 0.
       ENDDO
26
27
       DO J = JCS, JCE
28
         PROD(J,LO3S) = PROD_O3S
29
         PROD(J,LY2) = CRK(J,141)
30
         PROD(J,LY3) = CRK(J,142)
31
         PROD(J,LY4) = CRK(J,143)
32
       ENDDO
33
  c PRODUCTION AND LOSS TERMS OF NO2 SURFACE REACTION
35
  \mathbf{c}
36
       DO J = JCS, JCE
37
         LOSS(J,LNO2S) = LOSS_NO2S2 + LOSS_NO2S1
38
      1
              + CRK(J, 145) + CRK(J, 146)
39
         LOSS(J, LY5) = 0.
         LOSS(J, LY6) = 0.
       ENDDO
42
43
       DO J = JCS, JCE
44
```

```
PROD(J,LNO2S) = PROD_NO2S
45
          PROD(J, LY5) = CRK(J, 145)
46
       ENDDO
47
48
  c PRODUCTION AND LOSS TERMS OF H2O SURFACE ADSORPTION
49
  \mathbf{c}
50
       DO J = JCS, JCE
51
          PROD(J,LH2OS)= PROD_H2OS
52
          LOSS(J, LH2OS) = LOSS_H2OS2 + LOSS_H2OS1
53
          LOSS(J, LH2O) = CRK(J, 149)
       ENDDO
55
56
  57
         END OF O3, NO2, H2O SURFACE TERMS
  60
  GAS-PHASE PROD AND LOSS TERMS INFLUENCED BY HET.CHEM.:
62
  63
  \mathbf{C}
64
  CDIR$ SHORTLOOP
65
       DO 30 \text{ J} = \text{JCS}, \text{JCE}
66
          LOSS(J,LO3) = CRK(J,2) + CRK(J,5) + CRK(J,6) + CRK(J,7)
67
                        CRK(J,8) + CRK(J,17) + CRK(J,84) + CRK(J,85) +
       1
68
       2
                        CRK(J,86) + CRK(J,87)
69
       3
                        + CRK(J, 144)
70
                         !gas phase loss due uptake
71
  C
    30
       CONTINUE
72
  C
73
  CDIR$ SHORTLOOP
       DO 42 \text{ J} = \text{JCS}, \text{JCE}
75
          LOSS(J,LNO2) = CRJ(J,1) + CRK(J,2) + CRK(J,10) + CRK(J,17) +
76
                        CRK(J,19) + CRK(J,21) + CRK(J,24) + CRK(J,53) +
       1
77
       2
                        CRK(J,55) + CRK(J,132) + CRK(J,147)
78
                         !gas phase loss due to uptake
  \mathbf{c}
79
   42
       CONTINUE
80
  \mathbf{C}
81
  CDIR$ SHORTLOOP
       DO 220 \text{ J} = \text{JCS}, \text{JCE}
83
          PROD(J,LHONO) = CRK(J,15)
84
       \&
                       + (CRK(J, 146)*VC(1, 1, LSOOT)/(7.242E12*p(1, 1))
85
                                                /T(1,1))
       &
86
```