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#### Surface Remodeling of Lentiviral and Adeno-Associated Viral Vectors via Metabolically

#### **Introduced Bioorthogonal Functionalities**

A Dissertation Presented

by

#### Yanjie Chu

to

The Graduate School

in Partial Fulfillment of the

Requirements

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#### **Doctor of Philosophy**

in

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#### Abstract of the Dissertation

#### Surface Remodeling of Lentiviral and Adeno-Associated Viral Vectors via Metabolically

#### **Introduced Bioorthogonal Functionalities**

by

#### Yanjie Chu

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in

#### Chemistry

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#### 2015

As the most effective means to transfer genetic material into cells and tissues, viral vectors have been widely used for both therapeutic and basic biological applications. Extensive effort has been invested in the modification of viral surfaces to generate vectors with enhanced properties such as restricted cell tropism, easier purification and reduced immunogenicity. In particular, targeted gene transduction of specific cells has been a highly desirable goal and attempted by various strategies. Bioorthogonal chemical reactions such as Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC), strain-promoted azide-alkyne cycloaddition (SPAAC) and Staudinger ligation have significantly facilitated the study of biomolecules in their native environment due to the fast rates and superior selectivity under physiological conditions. We modified the surface of two frequently used viral gene delivery vehicles, lentiviral and adeno-associated viral (AAV) vectors, by a two-step labeling method. The viral particles were first metabolically labeled during production with unnatural substrates carrying the bioorthogonal azide group and subsequently modified via click reactions, allowing the attachment of a variety of ligands. This strategy exhibited minimal perturbation on virus physiology and demonstrated remarkable flexibility.

For lentiviral vectors, the unnatural sugar *N*-azidoacetyl sialic acid (SiaNAz) was metabolically incorporated into viral envelope glycoproteins simply by addition of the precursor peracetylated *N*- $\alpha$ -azidoacetylmannosamine (Ac<sub>4</sub>ManNAz) into the cell culture medium during virus production. Ligands targeting high-profile cancer associated receptors were functionalized with the strained alkyne bicyclo-[6.1.0]-nonyne (BCN) and introduced onto the viral surface through SPAAC, leading to enhanced transduction toward targeted cells *in vitro*. Furthermore, this general, versatile labeling technique is expected to be easily extended to the surface modification of other retroviruses.

For AAV vectors, the unnatural amino acid azidohomoalanine (Aha), a methionine surrogate, was added to the methionine-depleted cell growth medium during virus production for metabolic incorporation into the viral capsid. The impact of Aha on viral production and transduction efficiency was assessed by analyzing viruses produced in the presence of Aha in various concentrations using quantitative real time PCR assays and transduction assays. Successful incorporation of Aha onto viral surface was suggested by SDS-PAGE and western blotting analysis on virus samples modified with a reporter ligand through CuAAC.

This dissertation is dedicated to my parents.

#### **Table of Contents**

List of Figures ix
List of Schemes xi
List of Tablesxii
List of Abbreviationsxiii
Acknowledgments xiv
Chapter 1. Introduction
1.1 Viral vectors
1.1.1 Gene therapy 1
1.1.2 Gene transfer vectors
1.2 Bioorthogonal chemistry
1.3 Surface modification of viral vectors using bioorthogonal chemistry
Chapter 2. Engineering lentiviral surface via bioorthogonal chemistry
2.1 Introduction
2.1.1 The biology of lentivirus
2.1.2 Lentivirus as gene delivery vehicles 12
2.1.3 Surface remodeling of lentiviral vectors
2.1.4 Chemoselective modification of lenriviral surface via the metabolically introduced
unnatural sugar SiaNAz14

2.2 Results	17
2.2.1 Metabolic incorporation of SiaNAz onto lentiviral surface	17
2.2.2 Impact of SiaNAz incorporation on virus production and infectivity	21
2.3 Conclusion and discussion	23
2.4 Materials and methods	24
Chapter 3. Targeted lentiviral transduction of cancer cells via ligands introduced by	
bioorthogonal reactions	30
3.1 Introduction	30
3.2 Results	31
3.2.1 Metabolic incorporation of SiaNAz onto the surface of Sindbis envelope protein	
pseudotyped lentiviral vectors	31
3.2.2 Targeting lentiviral vectors to vascular endothelial growth factor 2 (VEGFR2)	33
3.2.3 Targeting lentiviral vectors to the tyrosine kinase receptor Tie2	35
3.2.4 Targeting lentiviral vectors to integrin $\alpha_v \beta_3$	37
3.2.5 Targeting lentiviral vectors to prostate-specific membrane antigen (PSMA)	39
3.2.6 Targeting lentiviral vectors to folate receptors	42
3.3 Conclusion and discussion	49
3.4 Materials and methods	51
Chapter 4. Surface modification of adeno-associated virus type 2 vectors via metabolically	
introduced azidohomoalanine	61

4.1 Introduction	61
4.1.1 The biology of AAV	61
4.1.2 AAV-based gene vectors	62
4.1.3 Engineering the AAV capsid	64
4.1.4 Chemoselective modification of AAV vector surface via the metabolically intr	roduced
unnatural amino acid azidohomoalanine	65
4.2 Results	66
4.2.1 Effect of Aha on viral production and transduction efficiency	66
4.2.2 Aha incorporation onto viral surface	69
4.3 Conclusion and future direction	70
4.4 Materials and methods	71
References	74
Appendix	

#### List of Figures

Figure 1-1. Indications addressed by gene therapy clinical trials (until July 2015)
Figure 1-2. Vectors used in gene therapy clinical trials (until July 2015)
Figure 1-3. Bioorthogonal chemical reporter system for biomolecule tagging
Figure 2-1. HIV-1 genome map and structure of HIV-1 virion
Figure 2-2. The sialic acid biosynthetic pathway is permissive of unnatural ManNAc analogs. 15
Figure 2-3. Two step labeling method for surface modification of lentiviral vectors
Figure 2-4. Confirmation of SiaNAz incorporation onto the surface of VSV-G pseudotyped
lentiviral vectors
Figure 2-5. Confirmation of SiaNAz incorporation by CuAAC
Figure 2-6. Impact of the metabolically introduced azides on virus production and transduction
efficiency
Figure 3-1. Confirmation of SiaNAz incorporation onto the surface of lentiviral vectors
pseudotyped with mutant Sindbis glycoproteins
Figure 3-2. Impact of SiaNAz incorporation on viral particle production of Sindbis glycoprotein
pseudotyped lentiviral vectors
Figure 3-3. Modification of lentiviral vectors with BCN-GGATWLPPR led to enhanced
transduction of a VEGFR2 over-expressing cell line HUVEC
Figure 3-4. Modification of lentiviral vectors with BCN-GGRLVAYEGWV led to enhanced
transduction of a Tie2 over-expressing cell line HUVEC
Figure 3-5. Modification of lentiviral vectors with cyclic RGD led to enhanced transduction of
an integrin $\alpha_v \beta_3$ over-expressing cell line U-87 MG

Figure 3-6. Modification of lentiviral vectors with a glutamate urea derived ligand led to
enhanced transduction of a prostate cancer cell line LNCaP
Figure 3-7. Targeting lentiviral vectors to folate receptors through conjugation with BCN-PEG-
folate
Figure 3-8. Structures of alkyne-PEG-folate and phosphine-PEG-folate
Figure 3-9. CuAAC modification of lentiviral vectors with alkyne-PEG-folate led to enhanced
transduction of an FR over-expressing cell line 4T147
Figure 3-10. Modification of lentiviral vectors with phosphine-PEG-folate led to enhanced
transduction of an FR over-expressing cell line ID8
Figure 4-1. Genomic organization of wild type AAV and rAAV vectors
Figure 4-2. Metabolic incorporation of Aha into AAV capsid and subsequent chemoselective
modification
Figure 4-3. Effect of Aha on viral production and transduction efficiency
Figure 4-4. Confirming Aha incorporation onto AAV capsid

#### List of Schemes

Scheme 2-1. Synthesis of BCN-PEG-FLAG.	18
Scheme 3-1. Synthesis of the VEGFR2 targeting ligand BCN-GGARWLPPR.	34
Scheme 3-2. Synthesis of the Tie2 targeting ligand BCN-GGRLVAYEGWV.	36
Scheme 3-3. Synthesis of the integrin $\alpha_v\beta_3$ targeting ligand BCN-c(RGDfK)	38
Scheme 3-4. Synthesis of the PSMA targeting ligand BCN-PEG-glutamate urea	40
Scheme 3-5. Synthesis of the FR targeting ligand BCN-PEG-folate.	44

#### List of Tables

Table 1-1. Bioorthogonal reactions used in the modification of biomolecules	6
Table 2-1. Proteins encoded by the HIV genome	. 10
Table 2-2. Advantages and disadvantages of lentiviral vectors	. 13
Table 4-1. Advantages and disadvantages of adeno-associated viral vectors	. 63

#### List of Abbreviations

AAV	adeno-associated virus
Ac <sub>4</sub> ManNAz	peracetylated N-α-azidoacetylmannosamine
ADA	adenosine deaminase deficiency
Aha	azidohomoalanine
BCN	bicyclononyne
CuAAC	Cu(I)-catalyzed azide-alkyne cycloaddition
DCC	N,N'-dicyclohexylcarbodiimide
DCM	dichloromethane
DHF	dihydrofolate
DIC	N,N'-diisopropylcarbodiimide
DIPEA	N,N-diisopropylethylamine
DMB	1,2-diamino-4,5-methylenedioxy-benzene
DMSO	dimethyl sulfoxide
FBS	fetal bovine serum
Fmoc	9-fluorenylmethyloxycarbonyl
FR	folate receptor
GFP	green fluorescent protein
GPI	glycosylphosphatidylinositol
HA	hemagglutinin
HBTU	2-(1H-benzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate
HIV	human immunodeficiency virus
HOBt	hydroxybenzotriazole
HRP	horseradish peroxidase
ITR	inverted terminal repeat
LTR	long terminal repeats
NHS	<i>N</i> -hydroxysuccinimide
ORF	open reading frame
PBS	phosphate buffered saline
PCFT	proton-coupled folate transporter
PCR	polymerase chain reaction
PEG	polyethylene glycol
PSMA	prostate-specific membrane antigen
RFC	reduced folate carrier
RGD	arginine-glycine-aspartate
SiaNAz	N-azidoacetyl sialic acid
SPAAC	strain-promoted alkyne-azide cycloaddition
SPANC	strain-promoted alkyne-nitrone cycloaddition
TEA	triethylamine
THF	tetrahydrofolate
VEGFR	vascular endothelial growth factor receptor
VSV-G	vesicular stomatitis virus glycoprotein

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#### **Chapter 1. Introduction**

#### **1.1 Viral vectors**

#### 1.1.1 Gene therapy

The understanding that many human diseases have a genetic origin, and the complete sequencing of the human genome established the molecular basis of gene therapy strategies. Generally defined as "the use of genes as medicine", gene therapy provides a rational therapeutic technique, which aims at eliminating the underlying biochemical defects of inherited and acquired diseases, rather than a symptomatic treatment. A therapeutic or working gene is transferred into specific cells of a patient in order to replace a faulty gene, or to introduce a new gene in order to prevent, cure or favorably modify the clinical course of a particular condition [1]. Since the first phase I trials treating adenosine deaminase deficiency (ADA) and malignant melanoma using retroviral vectors in 1990 [2, 3], more than 2200 gene therapy clinical trials have been reported, exploring novel approaches to the treatment of many genetic disorders, cancers, infectious diseases and other ailments (Figure 1-1). In this context, gene transfer systems are needed to deliver the desired gene to the target cells or tissues.

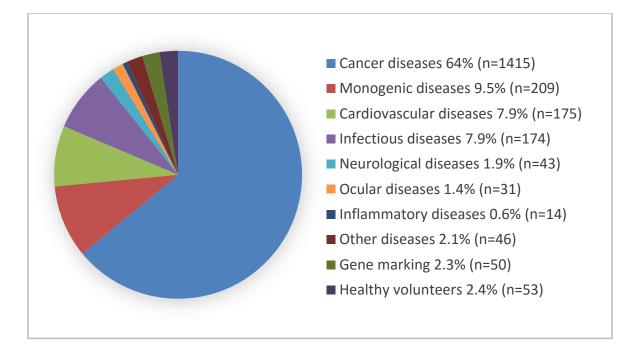


Figure 1-1. Indications addressed by gene therapy clinical trials (until July 2015).

Data reproduced from the *Journal of Gene Medicine*. Updated information available at www.wiley.co.uk/genmed/clinical.

#### **1.1.2 Gene transfer vectors**

Generally, gene transfer systems can be categorized into two groups: viral and non-viral vectors [4]. Non-viral vectors include naked DNA that is injected alone or in combination with physical methods [5-8], and a variety of synthetic delivery vectors such as lipids and liposomes [9, 10], polymers [11-13], polymersomes [14], cell-penetrating peptides [15] and inorganic nanoparticles [16]. Compared to viral vectors, non-viral delivery systems possess the advantages of easier and cheaper production, more effective cell/tissue targeting, lower immunogenicity and the capacity to transfer larger genes [4, 9, 17]. Nevertheless, the clinical usefulness of non-viral vectors is limited due to their low transduction efficiency relative to viral vectors [17, 18].

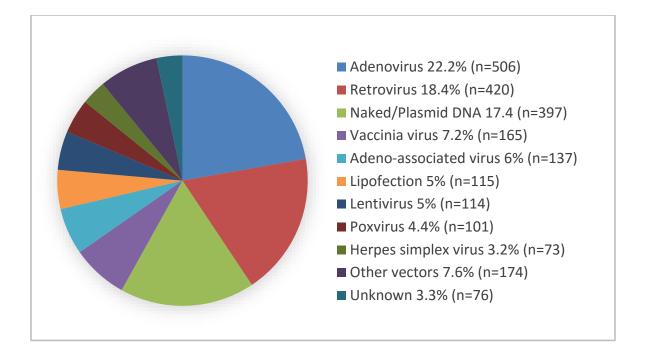


Figure 1-2. Vectors used in gene therapy clinical trials (until July 2015).

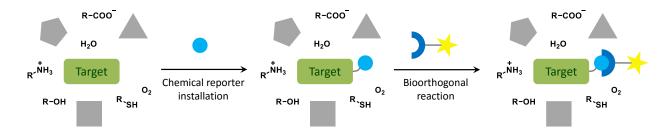
Data reproduced from the Journal of Gene Medicine. Updated information available at www.wiley.co.uk/genmed/clinical.

Viruses have evolved to effectively transport their genetic material into host cells. Viral vectors are generally viruses genetically modified to eliminate viral genes essential for replication and virulence, and make space to carry heterologous genes [19]. As a result, they are among the most efficient gene transfer vehicles. Nearly 70% of gene therapy clinical trials worldwide have used viral vectors derived from retroviruses, lentiviruses, adenoviruses, adeno-associated viruses, herpesviruses and poxviruses (Vaccinia viruses) (Figure 1-2). In addition to therapeutic applications such as correction of genetic defects, vaccination against cancer and infectious diseases, viral vectors are also used in many other areas for various goals including but not limited to functional gene studies and generation of experimental animal models. Yet, several limitations are associated with viral vectors, including the risk of insertional mutagenesis [20], toxicity [4], immunogenicity [21], restricted target cell specificity [22], limited gene packaging capacity [4, 23]

and difficulty of vector production [24]. Many efforts are still needed to develop viral vectors that are safe, efficient and capable of mediating well controlled expression of therapeutic genes in specific target cells/tissues.

#### **1.2 Bioorthogonal chemistry**

To understand the molecular details of extremely complicated biological processes in living organisms, various labeling strategies have been developed to probe diverse classes of biomolecules in real time. Genetically encoded reporters such as GFP represent typical biological tools to elucidate the roles of specific proteins in dynamic cellular processes, yet they are not suited for the study of other types of biomolecules such as glycans, lipids, nucleic acids, small molecule metabolites, as well as posttranslational modification [25-27]. To overcome this limitation, a general platform termed "bioorthogonal chemistry" has been developed over the past two decades. Biorthogonal reaction pairs react readily with each other but are inert to surrounding molecules under physiological conditions. The reaction pair and their products are stable and nontoxic to the biological system. Applications of bioorthogonal chemistries as bioconjugation strategies typically involve a two-step approach (Figure 1-3). First, a biomolecule is installed with a biocompatible functional group as the "chemical reporter". A variety of strategies have been developed to selectively introduce these chemical handles into the biomolecules of interest, including chemical or enzymatic modifications [28], residue-specific incorporation using the cell's biosynthetic machinery (metabolic labeling) [29, 30], and site-specific incorporation by an exogenous tRNA/aminoacyl-tRNA synthetase pair [31, 32]. Most notably, the metabolic incorporation method by hijacking native biosynthetic pathways greatly facilitated the study of biomolecules not directly encoded by the genome.

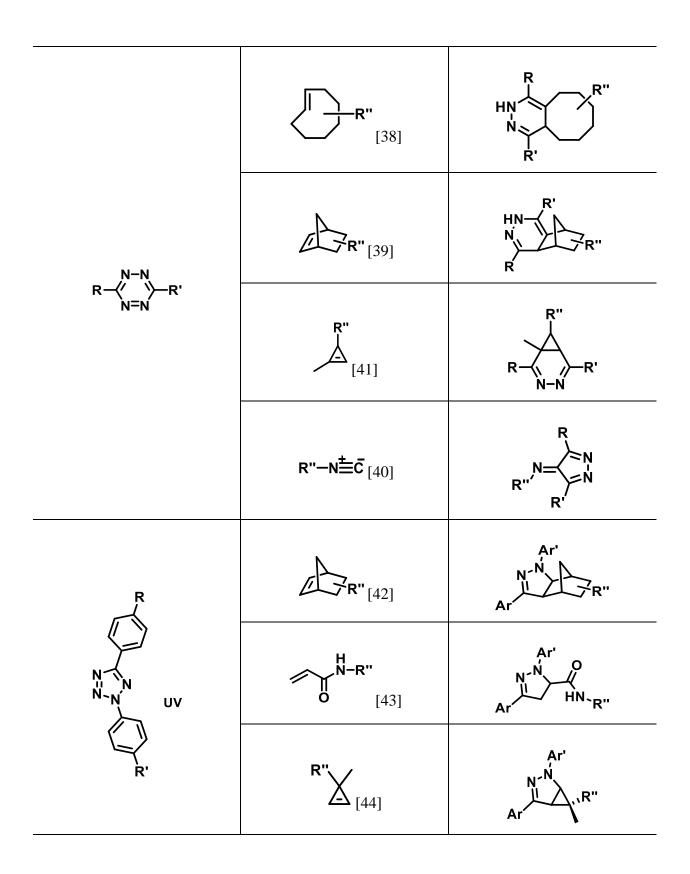


**Figure 1-3. Bioorthogonal chemical reporter system for biomolecule tagging.** Figure modified from reference [33].

The second step of the bioorthogonal strategy involves the selective reaction between the chemical reporter and the complementary probe *in situ*. Several bioorthogonal reactions have been developed since introduction of the term by Bertozzi, C.R. [34], including Staudinger ligation [35], Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC) [36], strain-promoted azide–alkyne cycloaddition (SPAAC) [37], tetrazine ligation [38-41], photoinduced tetrazole-alkene cycloadditon [42-44], strain-promoted alkyne-nitrone cycloaddition (SPANC) [45] and many other reactions. (Table 1-1). In the past decade, many efforts have been made to optimize existing bioorthogonal reactions and develop new biorthogonal pairs, particularly in the aspect of reaction kinetics improvement, expansion of the pool of unnatural substrates, fluorogenic reactions for robust imaging and photoinducible reactions for improved spatial and temporal control. In addition, increasing efforts have been directed to the development of mutually exclusive bioothogonal reactions for multiple modification of a single target [46, 47].

Reactant A	Reactant B	Product
	H₂N <sup>∕O</sup> `R"	N <sup>,OR"</sup> H R <sup>, H</sup> R'
0 R <sup>↓</sup> R'(H)	H₂N <sup>™</sup> <sup>H</sup> <sup>R</sup> "	
	R" HN-O H <sub>3</sub> C	
R−N₃	──R', Cu(I)	R'N-N-R'
	R' P(Ph) <sub>2</sub>	R' R' R' R' R' R' R' R' R' R' R' R' R' R
	R'	R <sup>-N</sup> -R'
	O CO <sub>2</sub> R' [48]	$R^{N=N}$ $CO_2R'$ $CF_3$

 Table 1-1. Bioorthogonal reactions used in the modification of biomolecules



#### 1.3 Surface modification of viral vectors using bioorthogonal chemistry

The ideal gene transfer vectors are capable of efficiently transducing the desired gene to the target cells or tissues for the appropriate period of time without causing adverse effects. Extensive effort has been invested in the modification of viral surfaces to generate vectors with enhanced properties such as specific cell tropism, easier production/purification and reduced immunogenicity. In this context, various chemical and biological strategies have been developed. Bioorthogonal chemical reactions have significantly facilitated the study of biomolecules in their native environment due to the fast rates and superior selectivity under physiological conditions. In this thesis we aimed to modify the surface of two frequently used viral gene delivery vehicles, lentiviral and adeno-associated viral (AAV) vectors, by the aforementioned two-step conjugation method. The viral particles were first metabolically labeled during production with unnatural substrates and subsequently modified via highly chemoselctive bioorthogonal reactions, allowing the attachment of a variety of ligands. This strategy exhibited minimal perturbation on virus physiology and demonstrated remarkable flexibility, making it a powerful tool to develop novel viral vectors with desired surface properties.

#### Chapter 2. Engineering lentiviral surface via bioorthogonal chemistry

#### **2.1 Introduction**

#### 2.1.1 The biology of lentivirus

Retroviruses are enveloped viruses possessing an RNA genome. They rely on the enzyme reverse transcriptase (RT) to convert viral genomic RNA into DNA, which is then integrated into the host's genome via the action of viral integrase (IN) [19, 49]. The viral genome then replicates as part of the host genome, leading to maintained expression in daughter cells. Lentivirus is a genus of slow viruses of the *Retroviridae* family, characterized by a long incubation period [50]. Unlike other retroviruses, lentiviruses can replicate in both dividing and non-dividing cells [51-53]. This is due to the ability of the lentiviral pre-integration complex to actively transport the reverse transcribed viral genome into the nucleus through nuclear pore complexes in an ATP-dependent manner, without the requirement of mitosis [54].

Several types of lentiviruses have been engineered to construct gene delivery vectors [55-58]. The most commonly used lentiviral vectors in both laboratory and clinical studies are based on human immunodeficiency virus type 1 (HIV-1) [59, 60], whose molecular biology has been extensively studied [61, 62]. Each HIV-1 virion contains two copies of the single-stranded positive-sense RNA genome approximately 9 kb in length, encoding nine different gene products (Table 2-1, Figure 2-1).

Class	Gene name	Protein products
Viral structural proteins and enzymes	gag	MA (p17), CA (p24), NC (p7), p6
	pol	RT, RNase H, IN, PR
	env	SU (gp120), TM (gp41)
Essential regulatory factors	tat	Tat
	rev	Rev
Accessory proteins	nef	Nef
	vpr	Vpr
	vif	Vif
	vpu	Vpu

### Table 2-1. Proteins encoded by the HIV genome

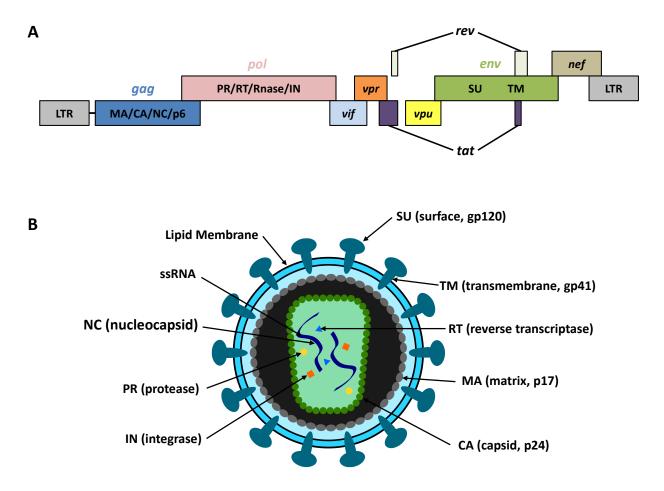


Figure 2-1. HIV-1 genome map and structure of HIV-1 virion.

(A) Schematic representation of the HIV-1 viral genome, which encodes the three structural, regulatory, and accessory genes flanked by LTRs. (B) Structure and major components of the HIV-1 virion. Figure modified from reference [59].

The three major structural proteins, Gag, Pol, and Env, are encoded in the three largest open-reading frames. The *gag* gene encodes viral core proteins that provide the basic physical infrastructure of the virus. The Gag precursor is the myristoylated p55 protein, which is processed by the viral protease during virion maturation to the matrix protein (MA), capsid protein (CA), nucleocapsid protein (NC) and p6 protein. The *pol* gene encodes the viral enzymes protease (PR), reverse transcriptase (RT), and integrase (IN). These enzymes are produced as a Gag-Pol precursor polyprotein, which is processed by the viral protease. The *env* gene encodes the viral surface

glycoprotein gp160, which is eventually cleaved by viral protease into gp120 and gp41. Gp120 is referred to as the surface subunit (SU), which attaches to its primary receptor CD4 and co-receptors on the cell surface. Gp41 is referred to as the transmembrane subunit (TM). The Env proteins can be replaced by surface glycoproteins of another virus to expand/alter vector tropism [63].

In addition to these major proteins, the HIV genome also encodes the essential regulatory proteins Tat and Rev. Tat transactivates and amplifies transcription of other structural viral proteins and Rev facilitates nuclear exports of viral transcripts. HIV-1 also encodes four other accessory proteins, Vif, Vpr, Vpu and Nef. Although these accessory proteins are generally not necessary for viral propagation in tissue culture, their conservation in the different isolates and experimental observations suggest important roles *in vivo*.

The HIV genome is flanked by two long terminal repeats (LTRs) at 5' and 3' extremities that contain important regulatory regions, required for viral transcription and integration. The genome dimerization and packaging signal  $\Psi$ , a set of 4 stem-loop structures preceding and overlapping the Gag start codon, is found in unspliced genomic transcripts, but absent from spliced viral mRNAs.

#### 2.1.2 Lentivirus as gene delivery vehicles

Over the past two decades, lentiviral vectors have been used widely as a gene delivery system for both therapeutic and basic biological applications[59, 60, 64], largely due to their ability to mediate stable, long term transgene expression by integrating into the genome of both dividing and non-dividing cells. Compared to their counterpart  $\gamma$ -retroviruses, lentiviruses are less prone to insertional mutagenesis [65, 66], capable of accommodating larger genes [67], and have the unique advantage of transducing target cells without complete cell division [53, 68], a feature especially

suited for resting and differentiated cells such as hematopoietic stem cells [69], macrophages [70] and neurons [71] (Table 2-2). With demonstrable clinical safety [72], these vectors present an attractive alternative therapy for a variety of genetic diseases [73, 74], cancer [75] as well as infectious diseases [76, 77]. In addition to the introduction of complementary therapeutic genes into target cells, lentiviral victors have been developed to induce gene silencing by siRNAs [71, 78, 79], and deliver genetic material to antigen-presenting cells for vaccination[80]. In the context of laboratory and preclinical applications, lentiviral vectors have been widely used in functional genetics, cell engineering and generating animal model systems [59, 60].

Advantages	Disadvantages
Transduce non-dividing and dividing cells	Possible insertional mutagenesis
Integration into host cell genome	Transient expression of transgene with integration- defective vectors
Carry up to 9 Kbp heterologous DNA	
Prolonged expression of transgene	
Integration-defective vectors available	

Table 2-2. Advantages and disadvantages of lentiviral vectors

Modified from reference [19].

#### 2.1.3 Surface remodeling of lentiviral vectors

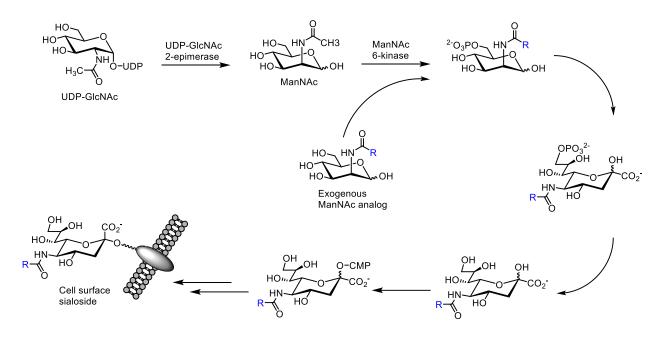
Extensive effort has been invested in the modification of the envelope glycoprotein of lentivirus to generate vectors with enhanced properties such as restricted cell tropism [81-86], easier purification [87] and reduced immunogenicity [88, 89]. Surface glycoproteins of lentivirus can be substituted by those of another virus, commonly referred to as pseudotyping, which is often used to alter the cell specificity of the vector or to improve particle stability and production [63].

Other methods for surface engineering of lentiviral vectors include genetic manipulation of the envelope protein sequence [81-83, 87, 90-93], non-covalent modification with bridging moieties such as antibodies [81, 90] or adaptor ligands [83], co-enveloping of virus vectors with a fusogenic protein and a membrane-bound targeting protein [85, 94-97], and chemical modification through the primary amino groups on surface exposed lysine residues [86, 88]. Low virus titer and transductional efficiency can result from genetic modification of the lentiviral envelope, and the types of motifs that can be introduced are limited. The targeting molecules in the co-enveloping strategies are limited to membrane-bound proteins [85, 94-97]. Although the bridging adaptor approach demonstrates wide substrate scope, it requires multistep procedures and the *in vivo* stability of these complexes is debatable. Lysine acylation also allows access to a wide variety of effector moieties, however, efficiency is relatively low, specificity is problematic and the chemistry will be adversely affected by the presence of other nucleophiles in the sample. As a result, additional methods of surface functionalization that are flexible, efficient, broadly applicable, and highly specific would aid in the development of next generation lentiviral vectors.

# 2.1.4 Chemoselective modification of lenriviral surface via the metabolically introduced unnatural sugar SiaNAz

Unlike more conventional *in vitro* bioconjugation methods, such as electophilic modification of free lysines and cysteines, azide-alkyne cycloaddition [36, 37, 98-100] and Staudinger ligation [35] reactions are highly selective, well-controlled and fast, making them preferable in complex biological systems. Metabolic incorporation of unnatural substrates bearing azide or terminal alkyne functionalities have been utilized to label glycans [101, 102], lipids [103], DNA [104] and proteins [30]. Specifically noteworthy for the work in this thesis, unnatural ManNAc analogs can be taken up by the sialic acid biosynthetic pathway and converted to

unnatural sialic acid analogs on the cell surface [29] (Figure 2-2). Bertozzi and coworkers have demonstrated the metabolic incorporation of the azido sialic acid analogue, N-azidoacetyl sialic acid (SiaNAz), onto cell surface glycoproteins as a chemical reporter for both *in vitro* and *in vivo* studies [35, 37, 105, 106].



**Figure 2-2. The sialic acid biosynthetic pathway is permissive of unnatural ManNAc analogs.** Figure modified from reference [29].

As the envelopes of lentiviruses are derived from portions of the host cell membranes, with the viral glycoproteins generated by the cellular biosynthetic machinery, we hypothesized that sialylated viral glycoproteins could be labeled through the same strategy used to label cellular proteins. As chemical "handles", the introduced azide groups are small, inert and expected to have little impact on virus assembly and function. Several azide-specific chemical reactions can be employed for subsequent modification of the viral surface, including the Staudinger ligation, Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC), and strain-promoted azide–alkyne cycloaddition (SPAAC), giving access to virtually any functionality desired. For SPAAC, the novel reagent bicyclo[6.1.0]nonyne (BCN) featuring the combination of easy preparation, high reactivity and relatively low lipophilicity was used in this work [107].

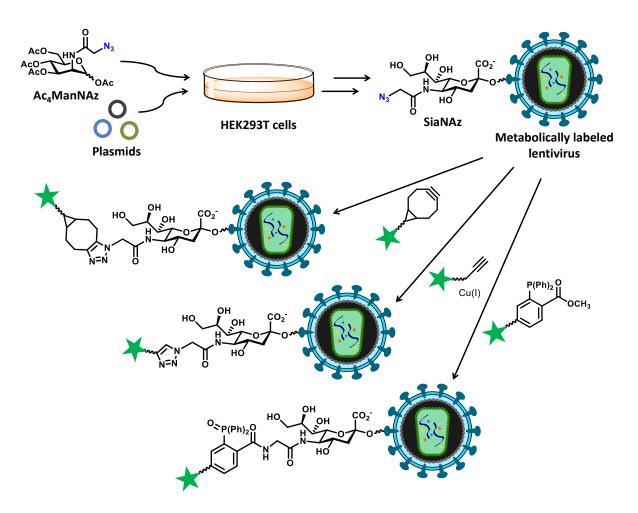


Figure 2-3. Two step labeling method for surface modification of lentiviral vectors.

Production of lentivirus in the presence of Ac<sub>4</sub>ManNAz leads to incorporation of SiaNAz onto viral surface glycans. Further modification via bioorthogonal reactions such as SPAAC, CuAAC and Staudinger ligation facilitates installation of various functional molecules.

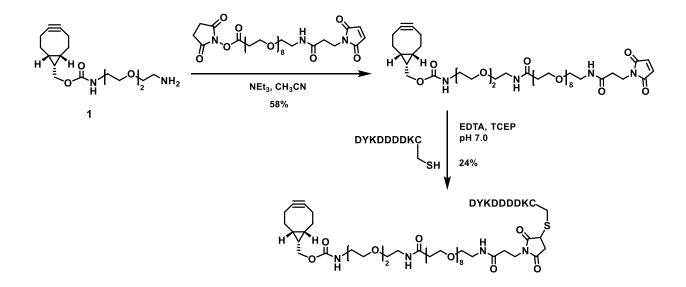
#### **2.2 Results**

#### 2.2.1 Metabolic incorporation of SiaNAz onto lentiviral surface

Vesicular stomatitis virus glycoprotein (VSV-G) is the most commonly used glycoprotein for pseudotyping lentiviruses due to its broad tropism, and ability to enable high-titer vector preparation [63]. As a result, VSV-G pseudotyped lentiviruses were chosen as a platform to test SiaNAz mediated surface labeling. Each pseudotyped lentivirus particle is encapsulated with approximately 216 copies of VSV-G [88], containing two complex N-linked oligosaccharides at amino acids 179 and 336 [108]. Both the extent of sialylation of the oligosaccharides and the ability of SiaNAz to replace sialic acid depends on the cell line in which the virus proteins are produced [109, 110]. Sialic acid analogs have been shown to be directly incorporated into surface sialoglycoconjugates by mammalian cells [111, 112]. Yet, the more synthetically accessible precursor peracetylated N-a-azidoacetylmannosamine (Ac4ManNAz) has demonstrated similar metabolic efficiency as the corresponding sialic acid analog has [113], and was therefore preferred in this work. When grown in medium with an initial Ac<sub>4</sub>ManNAz concentration of 50 µM, the lentivirus producing cell HEK293T exhibited about 27% substitution of cell surface sialic acid by SiaNAz [102]. However, it is unknown whether the incorporation plateaus at this concentration. In addition, the labeling efficiency of a single glycoprotein species, VSV-G in the case of this work, may vary from the average of the whole glycoprotein population of the cell.

Lentiviral vectors were produced using the calcium phosphate transfection method [114]. In a reported test of calcium phosphate transfection on HEK293 cells, it has been shown that plasmid expression, when tested 48 hours after transfection, reached a plateau after 5-7 hours of coincubation with the DNA/Ca-Pi coprecipitates [115]. Although the required coincubation time

may vary for a different cell line, we used this data as a guideline and applied Ac<sub>4</sub>ManNAz 7 hours after beginning of transfection, in an effort to avoid possible complications the unnatural substrate and ethanol (solvent of the stock solution) may impose on plasmid uptake. Transfection medium was then replaced with fresh medium also containing 75  $\mu$ M of Ac<sub>4</sub>ManNAz 16 to 18 hours after beginning transfection. Virus containing supernatant was collected and concentrated 48 hours after transfection.

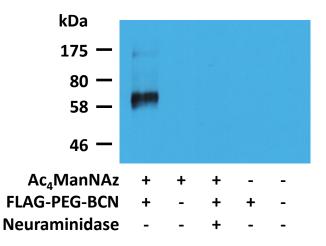


#### Scheme 2-1. Synthesis of BCN-PEG-FLAG.

FLAG-Cys peptide (DYKDDDDKC) was synthesized using a CEM microwave peptide synthesizer (model No. 908505). (1*R*,8*S*,9*s*)-bicyclo[6.1.0]non-4-yn-9-ylmethyl 3,6,9-trioxa-12-azadodecylcarbamate (*endo*-1) was synthesized by Fred Rubino as previously described [107].

Strain promoted "click" chemistry (SPAAC) has the advantage of being fast, highly selective for azides, and does not require the addition of a toxic catalyst. In order to access this chemistry, labeling/targeting moieties were modified with a previously described strained alkyne, bicyclo[6.1.0]nonyne (BCN) (Scheme 2-1). To demonstrate SiaNAz incorporation, viruses produced in the presence of Ac<sub>4</sub>ManNAz were exposed to a BCN armed FLAG epitope (300 µM

BCN-FLAG; 6 hours at room temperature) and analyzed by anti-FLAG western blot. The result indicated successful installation of SiaNAz onto the surface of VSV-G pseudotyped lentivirus (Figure 2-4). Notably there is a complete loss in signal with omission of either the azido sugar or BCN-FLAG. Neuraminidase treatment prior to SPAAC reaction also completely abrogates signal, indicating the azide specific labeling is directly associated with sialic acid residues.



## Figure 2-4. Confirmation of SiaNAz incorporation onto the surface of VSV-G pseudotyped lentiviral vectors.

The BCN-PEG-FLAG ligand was used to selectively modify SiaNAz residues on the surface of VSV-G pseudotyped lentiviral vectors. Prior to SPAAC modification, each virus sample was suspended in G1 reaction buffer (50 mM sodium citrate, pH 6.0, 10X buffer supplied with neuraminidase) and incubated with neuraminidase (2.5 U/ $\mu$ L, New England BioLabs, Cat. No. P0720) or merely the buffer at 37 °C for 1 hour. Each reaction sample was then run through a size-exclusion spin column (Princeton Separations, Cat. No. CS-901) equilibrated in PBS, and incubated with BCN-FLAG (300  $\mu$ M) or only the buffer at room temperature for 6 hours. The reaction samples were subsequently analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by western blotting with the monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592). FLAG-positive signal was observed on the western blot for the experimental reaction sample, whereas complete loss in signal was observed with omission of either the azido sugar or BCN-FLAG. Removal of sialic acid residues by neuraminidase prior to SPAAC reaction also completely abrogated signal. The molecular weight of VSV-G is ~67 kDa [116].

Alternatively, the incorporation of SiaNAz was also confirmed by CuAAC using an alkyne

functionalized FLAG ligand (alkyne-FLAG). Reaction samples were analyzed by anti-FLAG tag

and anti-VSVG western blot. As expected the results again suggested successful conjugation of the FLAG motif onto the viral surface (Figure 2-5).

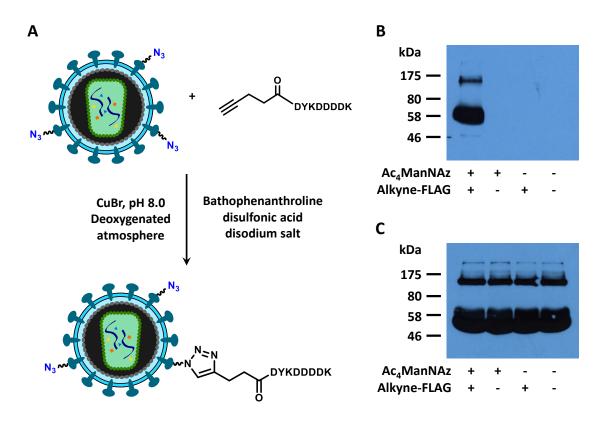


Figure 2-5. Confirmation of SiaNAz incorporation by CuAAC.

The terminal alkyne functionalized FLAG ligand alkyne-FLAG (synthesized by Isaac Carrico) was used to selectively modify SiaNAz residues on the surface of VSV-G pseudotyped lentiviral vectors. (A) Each virus sample was suspended in a reaction mixture containing tris (100mM, pH 8.0), bathophenanthroline disulfonic acid disodium salt (final conc. 3 mM, MP Biomedicals, Cat. No. 150112) and alkyne-FLAG (final conc. 200 µM or 0 µM). Each reaction mixture was kept in a deoxygenated glove bag for 12 hours to ensure full exclusion of oxygen, before CuBr (final conc. 1 mM, Alfa Aecar, Cat. No. 40752, 100 mM stock in DMSO freshly made before reaction) was added. The reaction mixtures were then incubated for another 12 hours at room temperature in deoxygenated atmosphere. Each sample was subsequently analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by western blotting with (B) monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592) to detect FLAG tag labeled proteins, or (C) anti-VSVG tag antibody (Abcam, Cat. No. ab49610) to detect VSV-G protein. FLAG-positive signal was observed with omission of either the azido sugar or BCN-FLAG. Anti-VSV-G western blot revealed essentially equal amount of VSV-G protein in each reaction sample.

#### 2.2.2 Impact of SiaNAz incorporation on virus production and infectivity

In contrast to genetic manipulations of envelope proteins, introduction of SiaNAz is posttranslational and results in the addition of only 3 atoms per site of incorporation. As a result, SiaNAz introduction is expected to cause minimal impact on the physiology of labeled lentiviral vectors. The impact of SiaNAz incorporation on both viral particle production and infectivity was determined. The former was assayed via p24 titer, whereas the latter was measured by quantifying gene delivery to cells via flow cytometry. With the addition of Ac<sub>4</sub>ManNAz during virus production, p24 ELISA demonstrated a reduction of titer (ng p24/mL) by 26% for unconcentrated samples and 22% for samples concentrated by ultracentrifugation (Figure 2-6 A and B). Transduction assays examining expression of the GFP transgene in HEK293T cells exhibited a reduction of functional titer (TU/mL) by 11% for unconcentrated samples and 7% for concentrated (Figure 2-6 C and D). Surprisingly, transduction efficiency values (TU/ng p24) calculated from these two types of titers indicated an increase of infectivity by 20% for unconcentrated samples and 19% for concentrated (Figure 2-6 E and F), for which no obvious explanation is currently available.

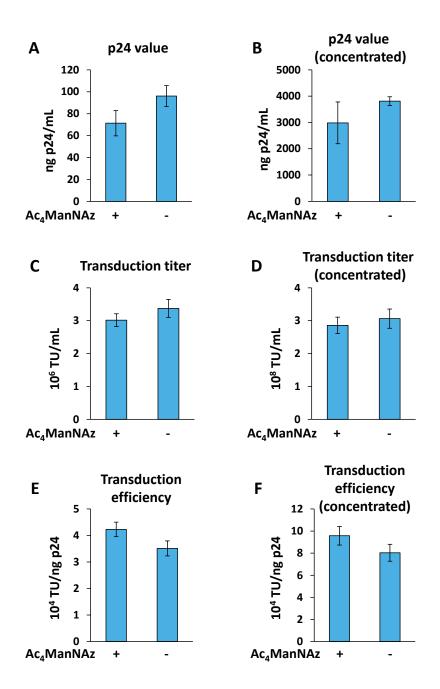


Figure 2-6. Impact of the metabolically introduced azides on virus production and transduction efficiency.

Production of virus particles with or without addition of Ac<sub>4</sub>ManNAz was examined by p24 ELISA assay on the (A) unconcentrated virus containing supernatant and (B) virus samples concentrated by ultracentrifugation. Each virus sample was assayed in triplicate and average value was reported. Error bars indicate standard deviation. Transduction titers of the virus samples were examined by infecting HEK293T cells and detecting transgene (GFP) expression by flow cytometry. Titers of the (C) unconcentrated and (D) concentrated virus samples were calculated based on percentage of GFP positive cells. 2.5  $\mu$ L, 5  $\mu$ L and 10  $\mu$ L aliquots of each unconcentrated virus sample and 100-fold diluted concentrated virus sample was used in transduction, each

amount was assayed in triplicate. Average value of all the calculated TU titers of each virus sample was reported. Error bars indicate standard deviation. Transduction efficiency values (TU/ng p24) of (E) unconcentrated and (F) concentrated virus samples were calculated by dividing the transduction titer values in (C) and (D) by the average p24 concentrations in (A) and (B) respectively.

These results indicate that neither the production of viral particles nor the gene delivery function of labeled lentiviral vectors is significantly affected by the metabolically incorporated SiaNAz. It is also evident that physical stress from ultracentrifugation did not result in larger difference in functional titers between azide (+) and azide (-) samples, suggesting that the stability of labeled lentiviral particles was not compromised by the metabolic incorporation of SiaNAz (Figure 2-6 C and D).

#### **2.3 Conclusion and discussion**

SiaNAz was successfully incorporated onto lentiviral surface with addition of Ac<sub>4</sub>ManNAz in the virus production culture. The azides can then be specifically modified by a variety of bioorthogonal reactions, providing a simple, versatile tool for lentiviral surface remodeling.

One advantage of our proposed technique is the minimal impact on viral fitness. Production of concentrated functional lentiviral vectors was affected by less than 10% with the addition of unnatural substrate (Figure 2-6 D). This reduction is more likely to be a result of hindered viral production rather than compromised infectivity of SiaNAz labeled virus. This assumption is supported by the fact that transduction efficiency (TU/ng p24) was not undermined by the inclusion of Ac<sub>4</sub>ManNAz during viral production (Figure 2-6 E and F). Possible reasons for the negative impact on viral production include cytotoxic effect of the unnatural substrate on virus producing cells and impeded viral particle assembly. Whether the metabolism of Ac<sub>4</sub>ManNAz saturates at the concentration that we used in the virus producing HEK293T cells is not yet known,

nor the potential toxicity. Incorporation percentage of SiaNAz in total virus samples can be obtained using protocols previously reported for cell lysate and recombinant glycoproteins, where sialic acids and analogs were derivatized with 1,2-diamino-4,5-methylenedioxy-benzene (DMB) and analyzed by HPLC with fluorescence detection [117]. However, data from this assay may not fairly represent the sialic acid composition on intact lentiviral particles, mainly due to the inherent contamination by cellular components and free viral proteins from the virus production.

#### 2.4 Materials and methods

#### Synthesis of Ac<sub>4</sub>ManNAz (1,3,4,6-tetra-*O*-acetyl-*N*-azidoacetyl-α,β-D-mannosamine)

Azidoacetic acid was synthesized as described by Luchansky *et al.*[118] D-mannosamine hydrochloride (0.216g, 1.0mmol, Totonto Reseach Chemicals Inc) was added to a solution of azidoacetic acid (0.145g, 1.4mmol) in methanol (10ml). Triethylamine (0.36ml, 2.4mmol) was then added and the reaction mixture stirred for 5 minutes at room temperature. The solution was cooled to 0 °C and N-hydroxybenzotriazole (HoBt) (0.159g, 1.0mmol, Advanced ChemTech) was added followed by 1-[3-(dimethylamino)propyl]-3-ethylcarbodiimide hydrochloride (DIC) (0.31mL, 2.0mmol, Acros Organics). The reaction was allowed to warm to room temperature overnight when TLC with ceric ammonium nitrate showed the reaction to be complete. The solution was concentrated and the crude ManNAz was purified by silica gel chromatography (Alfa Aesar, 230-400 mesh) twice, eluting with 10% methanol in dichloromethane, with a 30% yield.

Acetic anhydride (1.0ml, 11mmol) was added to a solution of ManNAz (27.5mg, 1.05mmol) in pyridine (2ml) and the reaction mixture stirred overnight at room temperature. The solution was then concentrated and resuspended in ethyl acetate, followed by washing with 1M HCl, saturated NaHCO<sub>3</sub> and saturated NaCl. The organic layer was dried, filtered and

concentrated. The crude peracetylated ManNAz was purified by silica gel chromatography eluting with 1:2 ethylacetate-hexane, with a 65% yield. <sup>1</sup>H NMR (300MHz, CDCl<sub>3</sub>):  $\delta$  2.01 (3H, s), 2.02 (3H, s), 2.08 (6H, s), 2.13 (6H, s), 2.14 (3H, s), 2.19 (3h, s), 3.83 (1H, ddd), 4.03-4.18 (7H, m), 4.21-4.29 (2H, m), 4.64 (1H, ddd), 4.74 (1H, ddd), 5.07 (1H, dd), 5.18 (1H, app t), 5.24 (1H, app t), 5.36 (1H, dd), 5.90 (1H, d), 6.06 (1H, d), 6.59 (1H, d), 6.65 (1H, d) ppm.

#### Synthesis of BCN-PEG-FLAG

FLAG-Cys peptide (DYKDDDDKC) was synthesized on a 0.25 mmol scale using a CEM Liberty microwave peptide synthesizer (model No. 908505) utilizing Fmoc chemistry. Solvents used were ACS-grade. Fmoc-Cys(trt)-Wang resin (500mg, 100-200 mesh, Novabiochem) was used as the starting reagent for the solid phase synthesis. Standard Fmoc reaction cycles were used. In each cycle, 5 equiv. (1.25 mmol) of an Fmoc amino acid (Fmoc-Asp(OtBu)-OH, Fmoc-Lys(Boc)-OH or Fmoc-Tyr(tBu)-OH) was activated by DIPEA and HBTU and attached to the growing peptide in a single coupling step (300 sec, 75 °C), followed by removal of the Fmoc group in two deprotection steps (30 sec and 180 sec, 75 °C) by 20% piperidine in DMF with HOBt. Resin was washed with DCM and collected by vacuum filtration before cleavage of the peptide using a mixture (10 mL) of 90% TFA, 5% thioanisole, 3% EDT and 2% anisole for 2 hours. Resin was then removed by vacuum filtration through a sintered glass funnel, and washed twice with TFA. The resulting solution was concentrated to ~ 2 mL by blowing Ar on the surface, and added drop wise into diethyl ether to precipitate the peptide. The precipitate was re-dissolved in 10% acetic acid (30 mL) and lyophilized to afford 310.7 mg of crude FLAG-Cys peptide. A portion of the crude peptide (87.4 mg) was then purified by reversed-phase HPLC using a Phenomenex Jupiter C12 semi-preparative column (250 x 10 mm, part No. 00G-4396-N0), eluting with a gradient of acetonitrile in water (5-25% over 40 min, flow rate 4 ml/min). TFA (0.1%) was included in the

mobile phase as the ion-pairing reagent. Subsequent lyophilization afforded purified FLAG-Cys peptide (21.3 mg, 27%). MS (MALDI+): m/z calcd for  $[C_{44}H_{66}N_{11}O_{21}S]^+$   $[M + H]^+$ : 1116.415, found: 1117.229.

(1R,8S,9s)-Bicyclo[6.1.0]non-4-yn-9-ylmethyl 3,6,9-trioxa-12-azadodecylcarbamate (*endo*-1) was synthesized as previously described [107]. To a solution of *endo*-1 (10.3 mg, 31.7 µmol) in anhydrous acetonitrile (400 µL) was added triethylamine (4 µL) and NHS-PEG<sub>8</sub>-maleimide (20 mg, 29.0 µmol, Thermo Scientific). The reaction was then allowed to proceed at room temperature for 12 hours with rotation (Scheme 2-1). The resulting BCN-PEG-maleimide was purified by reversed-phase HPLC using a Phenomenex Jupiter C12 semi-preparative column (250 x 10 mm, part No. 00G-4396-N0), eluting with a gradient of acetonitrile in water (10-50% over 40 min, flow rate 4 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by lyophilization afforded purified BCN-PEG-maleimide (15 mg, 58%). MS (ESI+): m/z calcd for  $[C_{43}H_{71}N_4O_{16}]^+$  [M + H]<sup>+</sup>: 899.486, found: 899.35.

Purified FLAG-Cys peptide (8.0 mg, 7.2  $\mu$ mol) was dissolved in reducing buffer (500  $\mu$ L, 20 mM EDTA, 10 mM TCEP, 50 mM sodium phosphate pH 7.0) and rotated on a Labquake Shaker Rotisserie (Thermo Scientific) at room temperature for 1 hour. To a suspension of BCN-PEG-maleimide (6.4 mg, 7.1  $\mu$ mol) in 500  $\mu$ L of CH<sub>3</sub>CN/H<sub>2</sub>O (4:1) was added the FLAG-Cys containing solution. After the reaction mixture was rotated at room temperature for 2 hours, DMSO (200  $\mu$ L) and an additional aliquot of BCN-PEG-maleimide (2.2 mg, 2.4  $\mu$ mol) was added. The reaction mixture was then rotated for an additional 6 hours (Scheme 2-1). The product BCN-PEG-FLAG was purified by reversed-phase HPLC using a Phenomenex Jupiter C12 semi-preparative column (250 x 10 mm, part No. 00G-4396-NO), eluting with a gradient of acetonitrile in water (5% for 5 min, then 5-45% over 40 min, flow rate 4 ml/min). TFA (0.1%) was included in the mobile

phase as the ion-pairing reagent. Subsequent removal of solvent by lyophilization afforded purified BCN-PEG-FLAG (3.5 mg, 1.7  $\mu$ mol, 24%). MS (MALDI+): m/z calcd for  $[C_{87}H_{136}N_{15}O_{37}S]^+$  [M + H]<sup>+</sup>: 2014.894, found: 2015.337 (Appendix 1).

#### Cells and viruses

All cell lines used in this work were grown at 37 °C in a 5% CO<sub>2</sub> environment in a humidified incubator. HEK 293T cells (gift of Dr. Soosan Ghazizadeh, Stony Brook University) were maintained at low passage in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS), 100 U/ml penicillin and 100 µg/ml streptomycin. To produce VSV-G glycoprotein pseudotyped lentivirus, HEK 293T cells were seeded into 10-cm tissue culture dishes at the density of  $3.3 \times 10^6$  cells per dish. Twenty four hours later, each dish of cells were transfected with 10 µg of pMD.G (VSV-G), 20 µg of pCMVAR8.91, and 20 µg of pHR.EF1α.GFP.WPRE.sin (all three plasmids were gift of Dr. Soosan Ghazizadeh, Stony Brook University) using standard calcium phosphate transfection [119]. For production of SiaNAz labeled virus, Ac<sub>4</sub>ManNAz in 50 mM stock solution was added into culture medium to the final concentration of 75  $\mu$ M 7 hour after initiation of transfection. Medium was removed by aspiration 16-18 hours after beginning of transfection, and cells were washed once with fresh medium before 7 ml of complete DMEM was added per dish. Again, for the production of SiaNAz labeled virus, 75 µM of Ac<sub>4</sub>ManNAz was included in culture medium. Virus containing medium was collected after another 48 hours and filtered through 0.45 µm sterile filter (VWR, Cat. No. 28145-481) to remove cell debris. Resulting virus samples was further concentrated by ultracentrifugation using a SW41 rotor for 2 hours 20 min at 25,000 rpm (82,700 g). The virus was stored at -80 °C until use [114].

#### p24 ELISA assay

The p24 value of lentiviral vectors was obtained by an ELISA assay using the Lenti-X<sup>TM</sup> p24 Rapid Titer Kit (Clontech, Cat. No. 632200), following the instruction provided in the kit. For standard curve, a series of 2 fold dilutions of p24 protein (0 pg/ml, 12.5 pg/ml, 25 pg/ml, 50 pg/ml, 100 pg/ml, 200 pg/ml) were made with complete DMEM. To fit into the concentration range of the standards, unconcentrated virus samples were diluted 10<sup>3</sup> fold, and virus samples concentrated by ultracentrifugation were diluted 10<sup>5</sup> fold. Each dilution of standard was assayed in duplicate, and each virus sample was assayed in triplicate. Assay was read on a PerkinElmer VICTOR<sup>TM</sup> X5 Multilabel Plate Reader.

#### **Transduction assay**

Virus titer by transducing unit (TU) was obtained by a flow cytometry method detecting expression of the GFP transgene in transduced HEK 293T cells. Briefly, HEK 293T cells were seeded at the density of 5 x  $10^4$  cells per well in 6-well plates 24 hours before transduction, and the number of cells per well was counted again using a hemacytometer immediately before transduction. Cells were then transduced by adding 0 µl, 2.5 µl, 5 µl and 10 µl aliquots of an unconcentrated virus stock, or of a 100 fold diluted concentrated virus stock. Each dilution was assayed in triplicate. Cells were harvested 2 days later and analyzed by flow cytometry.

#### Western blotting

The BCN-PEG-FLAG peptide was used to selectively modify SiaNAz labeled lentiviral vectors through SPAAC. In each reaction, control or experimental, a 16 ng p24 value of virus sample was suspended in 40  $\mu$ l G1 reaction buffer (50 mM sodium citrate, pH 6.0, 10X buffer supplied with neuraminidase) and incubated in the presence or absence of 100 U of neuraminidase

(New England BioLabs, Cat. No. P0720) at 37 °C for 1 hour. Each reaction mixture was then run through a size-exclusion spin column (Princeton Separations, Cat. No. CS-901) equilibrated in PBS. BCN-FLAG at a final concentration of 300 µM was added into the corresponding reactions, and the total volume of each reaction was adjusted to 50 µl by PBS. The reaction mixtures were then incubated in room temperature for 6 hours before they were analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by western blotting. Monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592) was used to detect FLAG peptide labeled proteins.

The alkyne-FLAG peptide (synthesized by Isaac Carrico) was used to selectively modify SiaNAz labeled lentiviral vectors through CuAAC. To each suspension of virus in tris buffer (100 mM, pH 8.0) was added bathophenanthroline disulfonic acid disodium salt (MP Biomedicals, Cat. No. 150112) at a final concentration of 3 mM, and alkyne-FLAG at a final concentration of 200 µM or 0 µM. Each reaction mixture was kept in a deoxygenated glove bag for 12 hours in order to ensure full exclusion of oxygen, before CuBr (Alfa Aecar, Cat. No. 40752, 100 mM stock in DMSO freshly made before reaction) was added to a final concentration of 1 mM. The reaction mixtures were then incubated for another 12 hours at room temperature in deoxygenated atmosphere. Each sample was subsequently analyzed by electrophoresis on 10% SDSpolyacrylamide gels followed by western blotting. Monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592) was used to detect FLAG peptide labeled proteins, and anti-VSVG tag antibody (Abcam, Cat. No. ab49610) was used to detect VSV-G protein.

# Chapter 3. Targeted lentiviral transduction of cancer cells via ligands introduced by bioorthogonal reactions

#### **3.1 Introduction**

Transductional targeting of lentiviral vectors towards specific cells or tissues is an attractive goal, particularly for *in vivo* applications. Towards this goal, we designed and synthesized several targeting ligands for bioorthogonal modification of SiaNAz labeled viral surfaces. In order to restrict endogenous cell tropism, we used lentiviruses pseudotyped with mutant Sindbis glycoproteins.

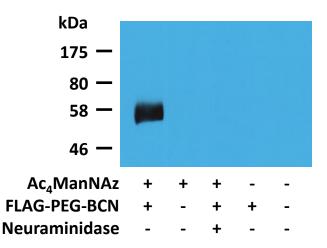
Sindbis virus envelope proteins, composed of two ~50 kDa transmembrane glycoproteins E1 and E2 [120], contain two complex N-glycans at amino acid 196 on E2 and aa 139 on E1, both exposed [121]. Chen and coworkers introduced a series of mutations into the Sindbis virus envelope proteins that resulted in reduced binding to the natural cell surface receptors, heparan sulfate and laminin receptor, while maintaining relatively high lentiviral vector production [81]. One of these "detargeted" mutants containing a 14 aa flexible linker inserted at the original receptor binding region and termed as "2.2 1L1L" [82] was used in this work. This scaffold of detargeted Sindbis glycoproteins was adopted by the Wang group who developed several variants of these mutants [85, 94, 95], including one termed "SINmu" that was also used in this work on several occasions. SINmu has essentially the same mutations as 2.2 1L1L, except for the replacement of the 14 aa linker by a 10 aa hemagglutinin (HA) tag to facilitate detection, and the absence of the mutations A226S and K227G in E1 that allows cholesterol-independent fusion in the target membrane. Importantly, the glycosylation sites are unperturbed in all of these mutants[121].

Lentiviral vectors have long been explored for cancer gene therapy, where they have been used to deliver cytotoxic genes [122-124], RNAi [79], or antigenic genes designed for cancer immunotherapy [80, 125]. The therapeutic potential of lentiviral vectors can be greatly improved through targeting of the vectors to tumor cells, facilitating direct *in vivo* application [81, 96]. Five high-profile cancer associated receptors were targeted in the context of this approach, vascular endothelial growth factor receptor 2 (VEGFR2), tyrosine kinase receptor Tie2,  $\alpha_v\beta_3$  integrin, prostate-specific membrane antigen (PSMA) and folate receptors (FRs). Ligands for these receptors have been extensively developed and, as a result, have facile modification routes for installation of functionalities capable of bioorthogonal reactions with azide.

**3.2 Results** 

## **3.2.1** Metabolic incorporation of SiaNAz onto the surface of Sindbis envelope protein pseudotyped lentiviral vectors

Akin to VSV-G pseudotyped lentiviral vectors, the extent of sialylation of Sindbis glycoproteins depends on the cell lines in which they are produced [126]. Sindbis envelope protein pseudotyped vectors were produced by the same procedures used for VSV-G pseudotyped vectors, differing only in the envelope encoding plasmid used in transfection. Incorporation of SiaNAz onto the surface of 2.2 1L1L pseudotyped vectors was confirmed by reaction with 300 µM BCN-FLAG and following anti-FLAG western blot. (Figure 3-1). Complete loss in signal with omission of either the azido sugar or BCN-FLAG was observed. Removal of sialic acid residues by neuraminidase prior to SPAAC reaction also completely abrogated signal.



### Figure 3-1. Confirmation of SiaNAz incorporation onto the surface of lentiviral vectors pseudotyped with mutant Sindbis glycoproteins.

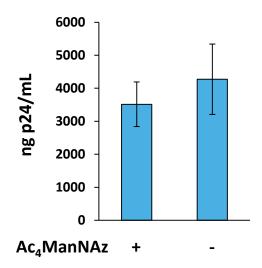
The BCN-PEG-FLAG ligand was used to selectively modify SiaNAz residues on the surface of 2.2 1L1L pseudotyped lentiviral vectors. Prior to SPAAC modification, each virus sample was suspended in G1 reaction buffer (50 mM sodium citrate, pH 6.0, 10X buffer supplied with neuraminidase) and incubated with neuraminidase (2.5 U/ $\mu$ L, New England BioLabs, Cat. No. P0720) or merely the buffer at 37 °C for 1 hour. Each reaction sample was then run through a size-exclusion spin column (Princeton Separations, Cat. No. CS-901) equilibrated in PBS, and incubated with BCN-FLAG (300  $\mu$ M) or only the buffer at room temperature for 6 hours. The reaction samples were subsequently analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by western blotting with the monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592). FLAG-positive signal was observed on the western blot for the experimental reaction sample, whereas complete loss in signal was observed with omission of either the azido sugar or BCN-FLAG. Removal of sialic acid residues by neuraminidase prior to SPAAC reaction also completely abrogated signal. The molecular weight of both Sindbis glycoprotein E1 and E2 are ~50 kDa [120].

The impact of SiaNAz incorporation on production of 2.2 1L1L pseudotyped viral particles

was evaluated via p24 titer. With the addition of Ac4ManNAz during virus production, p24 ELISA

assay demonstrated a reduction of titer (ng p24/mL) by 18% for virus samples concentrated by

ultracentrifugation (Figure 3-2), similar to what was observed for VSV-G pseudotyped vectors.



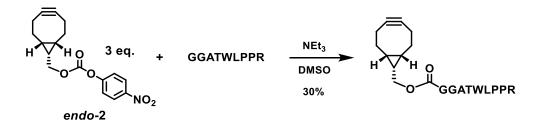
### Figure 3-2. Impact of SiaNAz incorporation on viral particle production of Sindbis glycoprotein pseudotyped lentiviral vectors.

The p24 titers of 2.2 1L1L pseudotyped lentiviral vectors produced with or without the addition of Ac<sub>4</sub>ManNAz was obtained by an ELISA assay using the Lenti- $X^{TM}$  p24 Rapid Titer Kit (Clontech, Cat. No. 632200). For standard curve, a series of 2 fold dilutions of p24 protein (0 pg/ml, 12.5 pg/ml, 25 pg/ml, 50 pg/ml, 100 pg/ml, 200 pg/ml) were made with complete DMEM. To fit into the concentration range of the standards, virus samples concentrated by ultracentrifugation were diluted 10<sup>5</sup> fold. Each dilution of standard was assayed in duplicate, and each virus sample was assayed in triplicate. Assay was read on a PerkinElmer VICTOR<sup>TM</sup> X5 Multilabel Plate Reader. The result is reported as mean ± standard deviation.

#### 3.2.2 Targeting lentiviral vectors to vascular endothelial growth factor 2 (VEGFR2)

Tumor angiogenesis is a prominent process that accompanies the development of solid tumors and the formation of cancer cell metastasis [127]. Neovasculature in tumors represents an attractive target for the activity and delivery of cancer therapeutics. As an angiogenic factor, vascular endothelial growth factor (VEGF) plays a crucial role in facilitating tumor vascularization and presents an important target for anticancer therapy [128]. Through phage display Mazi é and colleagues identified an effective peptide antagonist of VEGF, ATWLPPR [129]. A nitrophenyl carbonate of BCN (*endo-2*) was synthesized as described by van Delft and coworkers [107], and used in the coupling reaction with the amine terminus of this peptide antagonist, which contains

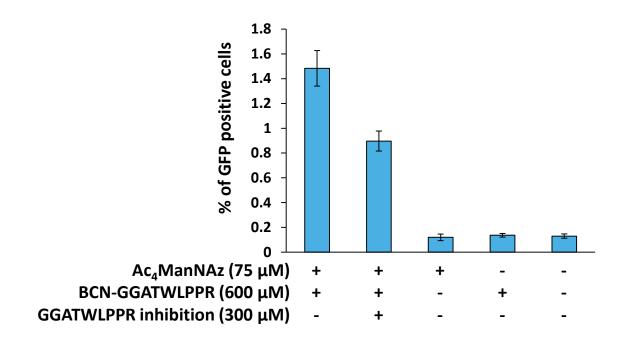
two extra glycine residues as a short spacer (Scheme 3-1). The resulting VEGFR2 targeting ligand BCN-GGATWLPPR was used in surface modification of 2.2 1L1L pseudotyped lentiviral vectors and tested for elevated transduction on a VEGFR2 over-expressing cell line HUVEC (Figure 3-3).



Scheme 3-1. Synthesis of the VEGFR2 targeting ligand BCN-GGARWLPPR.

The VEGFR2 targeting peptide GGATWLPPR was synthesized by Yoon Hyeun Oum on a CEM Liberty microwave peptide synthesizer (model No. 908505).

SiaNAz labeled lentiviral vectors were incubated with BCN-GGATWLPPR (600  $\mu$ M) for 6 hours at room temperature and used in transduction of HUVEC cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without BCN-GGATWLPPR were assayed under otherwise identical conditions. Viral surface conjugation with the BCN-ligand effectively enhanced the transduction efficiency toward target cells without extensive optimization of reaction conditions. Labeled vectors exhibited ~11-fold increased levels of transgene expression, which was specific to both azide labeling and SPAAC modification. Incubation of HUVEC cells with free GGATWLPPR peptide (300  $\mu$ M) as inhibitors one hour prior to infection attenuated ligand enhanced gene delivery (Figure 3-3).

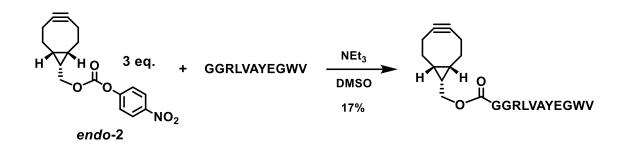


### Figure 3-3. Modification of lentiviral vectors with BCN-GGATWLPPR led to enhanced transduction of a VEGFR2 over-expressing cell line HUVEC.

HUVEC cells were seeded in 6-well plates at a density of  $2 \times 10^4$  cells/well one day prior to transduction and counted again right before infection. 90 ng p24 value of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 6 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 2 hours infection of three wells of HUVEC cells ( $3 \times 10^4$  cells/well). As a control, 300 µM of GGATWLPPR peptide was added into three wells, and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

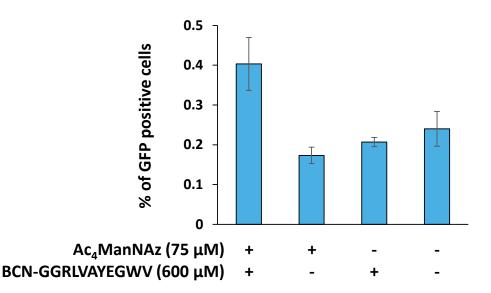
#### 3.2.3 Targeting lentiviral vectors to the tyrosine kinase receptor Tie2

The tyrosine kinase receptor Tie2 and its natural ligands, angiopoietins, play a crucial role in several vascular changes under both physiological and pathological conditions [130]. Elevated expression of Tie2 has been observed not only in the endothelium of the neovasculature in numerous solid tumors [131-135], but also in non-vascular cells in several types of cancer [130, 136-138]. The expression of Tie2 in different tumoral compartments makes it an attractive target for cancer therapy. The RLVAYEGWV peptide was identified from phage display libraries as a substrate to inhibit the kinase activity of the Tie2 receptor [139]. Naturally, the Tie2 targeting ligand BCN-GGRLVAYEGWV, which again contains two extra glycine residues as a short spacer, was synthesized following the same protocol used in the synthesis of the VEGFR2 ligand (Scheme 3-2).



Scheme 3-2. Synthesis of the Tie2 targeting ligand BCN-GGRLVAYEGWV. The Tie2 targeting peptide GGATWLPPR was synthesized by Yoon Hyeun Oum on a CEM Liberty microwave peptide synthesizer (model No. 908505).

SiaNAz labeled lentiviral vectors were incubated with BCN-GGRLVAYEGWV (600 µM) for 6 hours at room temperature and used in transduction of HUVEC cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without BCN-GGRLVAYEGWV were assayed under otherwise identical conditions. Viral surface conjugation with the BCN-ligand enhanced transduction efficiency toward target cells. Labeled vectors exhibited ~2-fold increased levels of transgene expression, which was specific to both azide labeling and SPAAC modification (Figure 3-4).

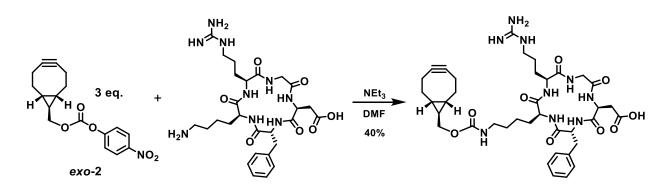


### Figure 3-4. Modification of lentiviral vectors with BCN-GGRLVAYEGWV led to enhanced transduction of a Tie2 over-expressing cell line HUVEC.

HUVEC cells were seeded in 6-well plates at a density of  $1.2 \times 10^4$  cells/well one day prior to transduction and counted again right before infection. 29 µL of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 6 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of HUVEC cells ( $3.6 \times 10^4$  cells/well). Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

#### 3.2.4 Targeting lentiviral vectors to integrin $\alpha_v \beta_3$

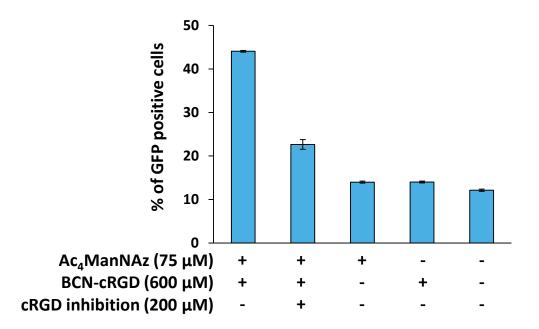
Cell adhesion  $\alpha_v\beta_3$  integrin, over-expressed in blood vessels of tumors, is required in tumor-induced angiogenesis and tumor metastasis. We developed a bicyclononyne functionalized integrin  $\alpha_v\beta_3$  targeting ligand based on a highly potent and selective antagonist first designed and synthesized by Kessler's group, the cyclic pentapeptide c(RGDfK) [140]. Compared to the RGD-4C version of cyclic RGD, where the RGD motif is cyclized through disulfide bonds, the c(RGDfK) peptide, which contains a D-phenylalanine and is cyclized via an amide bond, has higher affinity and specificity toward integrin  $\alpha_v\beta_3$ . The cyclic peptide was synthesized by Yoon Hyeun Oum using Fmoc solid-phase chemistry according to the scheme described by the Liu group[141]. The diastereomeric *exo*-isomer of the BCN nitrophenyl carbonate (*exo*-**2**) was used in the coupling reaction with the primary amino group on the lysine residue contained in the c(RGDfK) (Scheme 3-3). The resulting ligand BCN-c(RGDfK) was used in surface modification of 2.2 1L1L pseudotyped letiviral vectors. The transduction efficiency of the modified vectors was then tested on an integrin  $\alpha_{v}\beta_{3}$  over-expressing cell line U-87 MG (Figure 3-5).



Scheme 3-3. Synthesis of the integrin  $\alpha_v\beta_3$  targeting ligand BCN-c(RGDfK). Cyclo (-Arg-Gly-Asp-D-Phe-Lys-) peptide was synthesized manually by Yoon Hyeun Oum based on published procedures [141].

SiaNAz labeled lentiviral vectors were incubated with BCN-c(RGDfK) (600 µM) for 6 hours at room temperature and used in transduction of U-87 MG cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without BCN-c(RGDfK) were assayed under otherwise identical conditions. Viral surface conjugation with the BCN-ligand effectively enhanced the transduction efficiency toward target cells without extensive optimization of reaction conditions. Labeled vectors exhibited a more than 3-fold increase in levels of transgene expression, which was specific to both azide labeling and SPAAC modification. Incubation of U-87 MG cells with free c(RGDfK)

peptide (200  $\mu$ M) as inhibitors one hour prior to infection attenuated ligand enhanced gene delivery (Figure 3-5).



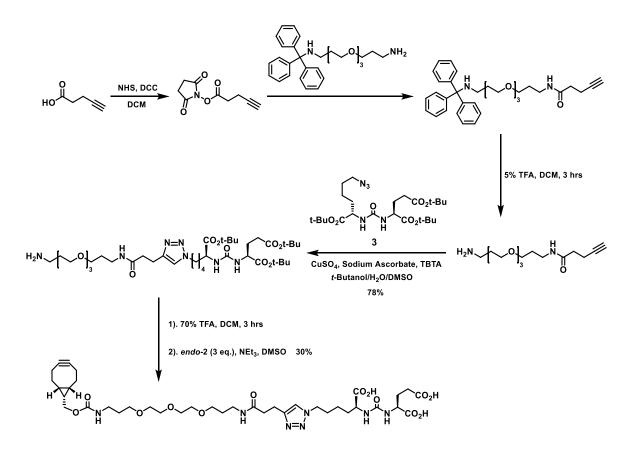
### Figure 3-5. Modification of lentiviral vectors with cyclic RGD led to enhanced transduction of an integrin $\alpha_{v}\beta_{3}$ over-expressing cell line U-87 MG.

U-87 MG cells were seeded in 6-well plates one day prior to transduction. 90 ng p24 value of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 6 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of U-87 MG cells. As a control, 200  $\mu$ M of cyclic(RGDfK) peptide was added into three wells, and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

#### 3.2.5 Targeting lentiviral vectors to prostate-specific membrane antigen (PSMA)

PSMA is a type II transmembrane protein expressed in all types of prostate tissues and at increased levels in prostate cancer tissues [142, 143]. Additionally, it is also robustly expressed in the neovasculature of various nonprostatic solid malignancies [144, 145]. Kozikowski and coworkers synthesized and tested a series of glutamate urea derivatives designed to inhibit PSMA.

An analog bearing a  $\gamma$ -tetrazole on one of the glutamic acid moieties was identified as the most potent inhibitor with a K<sub>i</sub> of 0.9 nM [146]. Spiegel and coworkers adopted this design and proposed a 1-butyl-4-alkyl-1,2,3-triazole analog for the construction of the cell-binding terminus in their antibody recruiting small molecule [147]. This scaffold was synthesized using a similar route to that described by Spiegel and colleagues, with a slightly different linker region, and conjugated to BCN via the same coupling scheme used for the three peptide ligands (Scheme 3-4). The resulting PSMA targeting ligand BCN-PEG-glutamate urea was tested on a human prostate cancer cell line LNCaP (Figure 3-6).



Scheme 3-4. Synthesis of the PSMA targeting ligand BCN-PEG-glutamate urea.

Compound **3** was synthesized by Paul Gelfand according to the procedure described by D. A. Spiegel, *et al.* [147].

SiaNAz labeled lentiviral vectors were incubated with BCN-PEG-glutamate urea (600  $\mu$ M) for 6 hours at room temperature and used in transduction of LNCaP cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without BCN-PEG-glutamate urea were assayed under otherwise identical conditions. Viral surface conjugation with the BCN-ligand effectively enhanced the transduction efficiency toward target cells without extensive optimization of reaction conditions. Labeled vectors exhibited 16 to 18 fold increased levels of transgene expression, which was specific to both azide labeling and SPAAC modification. Incubation of LNCaP cells with NH<sub>2</sub>-PEG-glutamate urea (200  $\mu$ M) as inhibitors one hour prior to infection attenuated ligand enhanced gene delivery (Figure 3-6).

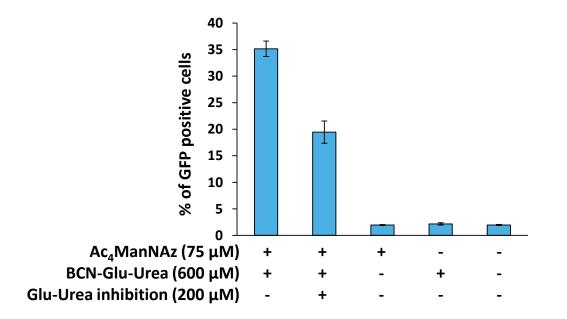


Figure 3-6. Modification of lentiviral vectors with a glutamate urea derived ligand led to enhanced transduction of a prostate cancer cell line LNCaP.

LNCaP cells were seeded in 6-well plates one day prior to transduction. 90 ng p24 value of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 6 hours. Each sample was then desalted by a size-exclusion

spin column, and used immediately in the 3 hours infection of three wells of LNCaP cells. As a control, 200  $\mu$ M of NH<sub>2</sub>-PEG-glutamate urea was added into three wells, and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

#### 3.2.6 Targeting lentiviral vectors to folate receptors

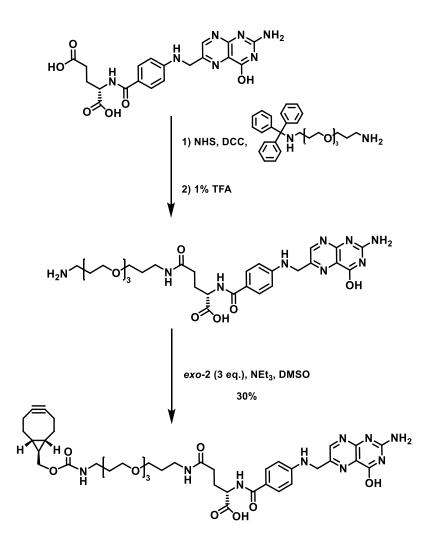
Folates, also known as the B9 vitamins, are required in multiple crucial biosynthetic pathways including *de novo* biosynthesis of purines and thymidylate, amino acid metabolism, and methylation reactions, and consequently, are essential for cell growth, proliferation and survival [148]. Folates can be found in an oxidized form as folic acid, or in naturally occurring reduced forms as the partially reduced intermediate 7,8-dihydrofolate (DHF) or the biologically active 5,6,7,8-tetrahydrofolate (THF) and derivatives [148, 149]. Mammalian cells lack the metabolic enzymes necessary for folate biosynthesis, therefore all folate requirements must be obtained through diet [150, 151].

Cellular uptake of folates occurs through three genetically distinct and functionally diverse transport systems, including the proton-coupled folate transporter (PCFT), the reduced folate carrier (RFC) and the folate receptors (FRs) [151]. PCFT is a proton-folate symporter that facilitates intestinal folate absorption at the acidic pH of the upper small intestine [151-154]. RFC is a ubiquitously expressed anion exchanger and serves as the primary pathway for the transport of reduced folates into various cells and tissues under physiological pH [155].

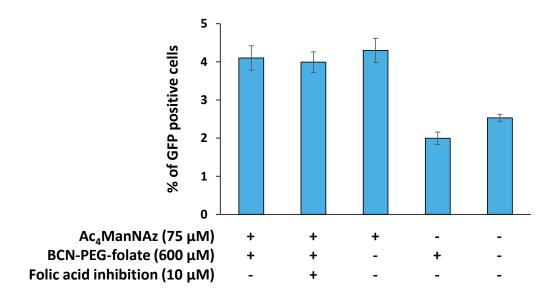
FRs bind folic acid, reduced folates, many antifolates and folate conjugates with high affinities (low nanomolar) [149, 151, 156-161], and facilitate internalization via receptor-mediated endocytosis [162, 163]. The two major isoforms of human FR, FR $\alpha$  and FR $\beta$ , are cell surface

glycosylphosphatidylinositol (GPI)-anchored glycoproteins [164]. In normal tissues and organs, FR $\alpha$  expression is confined to the apical (luminal) surface of polarized epithelial cells where it is inaccessible to circulating folates or intravenously administered folic acid conjugates [164-166], and FR $\beta$  expression is restricted mainly to the placenta and white blood cells of myeloid lineage, including activated macrophages [164, 167-170]. However, marked overexpression of FR $\alpha$  has been observed on many types of solid tumor cells, including ovarian, kidney, lung, brain, endometrial, colorectal, pancreatic, gastric, prostate, testicular, bladder, head and neck, and breast cancers, and non-small cell lung cancer [149, 157, 158, 166, 171-177]. FR $\beta$  has also been reported to express on the surface of a substantial fraction of chronic and acute myelogenous leukemia cells [176, 178]. As a result of this tumor associated overexpression, FR has emerged as an appealing target for cancer therapy, and a wide spectrum of folate conjugates has been developed as therapeutic and diagnostic agents [149, 161].

A short diamino-PEG spacer was conjugated to folic acid by Partha Banerjee through an NHS ester reaction scheme. The resulting NH<sub>2</sub>-PEG-folate was purified by reversed-phase HPLC and used in the coupling reaction with the BCN nitrophenyl carbonate (*exo-2*) to generate BCN-PEG-folate (Scheme 3-5). This FR targeting ligand then was used in surface modification of 2.2 1L1L pseudotyped letiviral vectors. The transduction efficiency of the modified vectors was tested on an FR over-expressing cell line ID8 (Figure 3-7).



**Scheme 3-5. Synthesis of the FR targeting ligand BCN-PEG-folate.** Crude NH<sub>2</sub>-PEG-folate was synthesized by Partha Banerjee.



#### Figure 3-7. Targeting lentiviral vectors to folate receptors through conjugation with BCN-PEG-folate.

ID8 cells were cultivated in (-) folate media for two weeks, and seeded in 6-well plates at a density of  $4 \times 10^4$  cells/well one day prior to transduction. 32 µL of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 5 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 2 hours infection of three wells of ID8 cells ( $9.4 \times 10^4$  cells/well). As a control, 10 µM of folic acid was added into three wells, and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

SiaNAz labeled lentiviral vectors were incubated with BCN-PEG-folate (600 µM) for 5

hours at room temperature and used in transduction of ID8 cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without BCN-PEG-folate were assayed under otherwise identical conditions. Unexpectedly, viral surface conjugation with the BCN-ligand did not obviously enhanced the transduction efficiency toward target cells. The difference in transduction efficiency between (+) SiaNAz and (-) SiaNAz vectors is likely inherited from the difference in TU titers between the

stock viruses in this particular batch, instead of a result of the chemical labeling. Incubation of ID8 cells with folic acid (10  $\mu$ M) as inhibitors one hour prior to infection did not show any effect either.

Alternatively, two other folate conjugates, alkyne-PEG-folate and phosphine-PEG-folate (designed and synthesized by Partha Banerjee [179-181]), were also used in modification of SiaNAz labeled lentiviral vectors via CuAAC and Staudinger ligation, respectively (Figure 3-8).

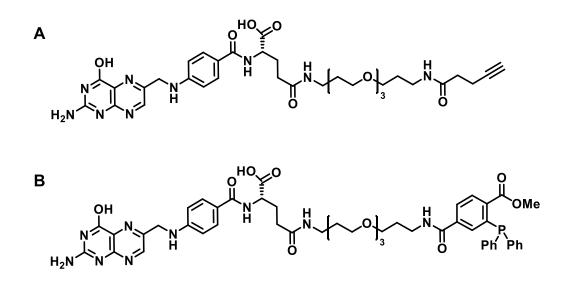
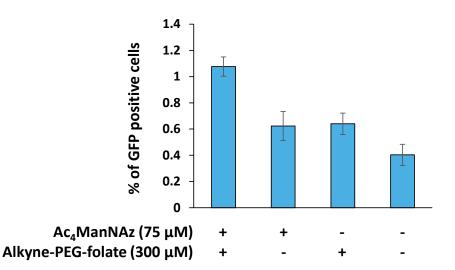


Figure 3-8. Structures of alkyne-PEG-folate and phosphine-PEG-folate.

Both folate conjugates, (A) alkyne-PEG-folate and (B) phosphine-PEG-folate, were designed and synthesized by Partha Banerjee [179-181].

Alkyne-PEG-folate was used in surface modification of SINmu pseudotyped letiviral vectors via CuAAC. The transduction efficiency of the modified vectors was tested on an FR overexpressing cell line 4T1 (Figure 3-9).

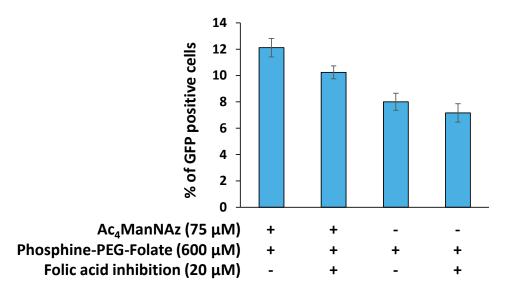


### Figure 3-9. CuAAC modification of lentiviral vectors with alkyne-PEG-folate led to enhanced transduction of an FR over-expressing cell line 4T1.

4T1 cells were cultivated in (-) folate media for two weeks, and then seeded in 6-well plates at a density of  $5 \times 10^4$  cells/well one day prior to transduction. 50 µL of concentrated SINmu pseudotyped lentivirus was suspended in a reaction mixture (total vol. 100 µL) containing tris (100 mM, pH 8.0), bathophenanthroline disulfonic acid disodium salt (3 mM) and alkyne-PEG-folate (300 µM or 0 µM). Each reaction mixture was kept in a deoxygenated glove bag for 12 hours to ensure full exclusion of oxygen before CuBr (1 mM) was added, followed by incubation at room temperature for another 6 hours in deoxygenated atmosphere. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of 4T1 cells ( $5 \times 10^4$  cells/well). Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

SiaNAz labeled vectors were incubated with alkyne-PEG-folate (300 µM) in the presence of tris (100mM, pH 8.0), bathophenanthroline disulfonic acid disodium salt (3 mM) and CuBr (1 mM) in deoxygenated atmosphere for 6 hours at room temperature and used in transduction of 4T1 cells immediately after removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, virus samples produced in the absence of Ac<sub>4</sub>ManNAz or incubated without alkyne-PEG-folate were assayed under otherwise identical conditions. Viral surface conjugation with the terminal alkyne-ligand enhanced the transduction efficiency toward target cells. Labeled vectors exhibited ~2-fold increased levels of transgene expression, which was specific to both azide labeling and CuAAC modification (Figure 3-9).

Phosphine-PEG-folate was used in surface modification of SINmu pseudotyped letiviral vectors via Staudinger ligation. The transduction efficiency of the modified vectors was tested on the FR over-expressing cell line ID8 (Figure 3-10).



### Figure 3-10. Modification of lentiviral vectors with phosphine-PEG-folate led to enhanced transduction of an FR over-expressing cell line ID8.

ID8 cells were cultivated in (-) folate media for two weeks, and seeded in 6-well plates at a density of  $3.5 \times 10^4$  cells/well one day prior to transduction. 50 µL of concentrated SINmu pseudotyped lentivirus was used in each reaction with phosphine-PEG-folate. Reaction mixtures were incubated at room temperature for 5 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of ID8 cells (6×10<sup>4</sup> cells/well). As a control, 20 µM of folic acid was added into six wells, three for SiaNAz (+) viruses and three for SiaNAz (-), and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. Expression of the GFP transgene in transduced cells was analyzed by flow cytometry two days later and the mean value of the resulting percentages of GFP positive cells for each illustrated virus sample was reported. Error bars indicate standard deviation.

SINmu pseudotyped lentiviral vectors were incubated with phosphine-PEG-folate (600

µM) for 5 hours at room temperature and used in transduction of ID8 cells immediately after

removal of excess ligand by size exclusion spin columns. Expression of the GFP transgene was analyzed by flow cytometry two days later. As controls, 20  $\mu$ M of folic acid was added into three wells of cells for (+) SiaNAz viruses and three wells for (-) SiaNAz viruses, and the cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors. All reaction samples were assayed under otherwise identical conditions. Viral surface conjugation with the Staudinger ligand enhanced the transduction efficiency toward target cells, which was attenuated by incubation of the cells with folic acid (20  $\mu$ M) as inhibitors one hour prior to infection (Figure 3-10).

#### **3.3 Conclusion and discussion**

Seven targeting ligands equipped with BCN, terminal alkyne or the Staudinger phosphine were introduced onto lentiviral viral surfaces via metabolically incorporated SiaNAz for higher transduction efficiency toward target cells. Four of these are small molecule ligands selective for prostate-specific membrane antigen (PSMA) or folate receptors (FRs), and the other three are peptides that are known to localize in angiogenic regions. In almost every case, simple and efficient bioorthogonal modification of viral surfaces significantly enhanced transduction toward cells bearing the targeted cell surface receptors. Notably, saturation of the cell surface receptor with free ligand prior to exposure to viruses only partially reduced ligand enhanced gene delivery. Presumably this is a result of the high local concentration of targeting ligands on the virus/cell interface associated with multidentate binding.

One merit of this two-step method is that it can serve as a general, flexible route to covalently introduce synthetic molecules. Bioorthogonal chemical modifications on the viral surface azide groups give access to virtually any kind of functionality, with excellent efficiency and specificity. Three out of the five targeting molecules we have conjugated to the viral surface through bioorthogonal reactions, the c(RGDfK) peptide, the glutamate urea derivative and the folate, cannot be directly introduced by genetic insertion. In almost every case, excellent targeting was seen without any optimization in ligand concentration or reaction time. It may be advantageous to limit the number of ligands appended to viral surface, which can alter surface charge and aggregation propensity. Control over ligand density can easily be obtained by altering the level of SiaNAz incorporation, through changing the concentration of precursor azido sugar, or the efficiency of subsequent chemoselective modification, via change in modification time or ligand concentration.

The modified Sindbis virus envelope proteins we used as the pseudotype for the targeting assays include two glycoproteins, E1 and E2. E2 mediates binding to cellular receptors [182-185], while E1 is responsible for the fusion between the viral envelope and the cell membrane [186]. It is plausible that targeting ligands attached to aa 139 on E1 would interfere with its fusion function, especially in cases when the ligands are bulky. However, we do expect the ligand on E1 to contribute to the specific binding and increased transduction toward targeted cells. It has been shown that, when expressed in a high mannose structure, the glycan on E1 aa 139 alone can mediate higher transduction by binding to DC-SIGN, a cell surface lectin expressed on dendritic cells, just like the glycan on E2 aa 196 [121]. It might be interesting to introduce mutations at E2 aa 196 or E1 aa 139 to remove the glycan and observe the effect on our targeting system with different targeting ligands.

In summary, we have developed a general method for labeling Lentiviral surfaces that is both flexible and robust. This method lends itself to being applied to a wide variety of applications including expanded targeting, purification via modification with affinity tags, and fluorescence/MRI/PET based particle tracking. Modification via azido sugar introduction can be widely applied to budded viruses. Lentiviral vectors were chosen for expediency, but in principle this method will be accessible with any virus bearing sialylated glycoproteins. Although most glycosylated viruses bear sialic acid residues, alternate methods for azide introduction include the use of other azido sugar precursors, azido amino acids and azido lipids.

#### **3.4 Materials and methods**

#### Synthesis of BCN-GGATWLPPR

(1R,8S,9s)-Bicyclo[6.1.0]non-4-yn-9ylmethyl (4-nitrophenyl) carbonate (*endo-2*) was synthesized as previously described [107]. The same protocol used in the synthesis of the FLAG-Cys peptide was followed to synthesize the VEGFR2 targeting peptide GGATWLPPR by Yoon Hyeun Oum using a CEM Liberty microwave peptide synthesizer (model No. 908505). To a solution of peptide GGATWLPPR (3.3 mg, 3.5 µmol) in DMSO (400 µL) was added triethylamine (9 µL) and *endo-2* (3.3 mg, 10.4 µmol). The reaction was then rotated at room temperature for 6 hours (Scheme 3-1). The resulting BCN-GGATWLPPR was purified by reversed-phase HPLC using a Varian C18 analytical column (250 x 4.6 mm, part No. R0086200C5), eluting with a gradient of acetonitrile in water (5% for 5 min, then 5-50% over 55 min, flow rate 1 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified BCN-GGATWLPPR (1.2 mg, 30%). MS (MALDI+): m/z calcd for [C<sub>55</sub>H<sub>80</sub>N<sub>13</sub>O<sub>13</sub>]<sup>+</sup> [M + H]<sup>+</sup>: 1130.600, found: 1130.987 (Appendix 2).

51

#### Synthesis of BCN-GGRLVAYEGWV

The tyrosine kinase receptor Tie2 targeting peptide GGRLVAYEGWV was designed and synthesized by Yoon Hyeun Oum using a CEM Liberty microwave peptide synthesizer (model No. 908505), based on the description by H. J. Haisma, *et al.*[139, 187]. To a solution of peptide GGRLVAYEGWV (2.0 mg, 1.7 µmol) in DMSO (400 µL) was added TEA (9 µL) and (*endo-2*) (1.6 mg, 5 µmol). The reaction was then rotated at room temperature for 6 hours (Scheme 3-2). The resulting BCN- GGRLVAYEGWV was purified by reversed-phase HPLC using a Varian C18 analytical column (250 x 4.6 mm, part No. R0086200C5), eluting with a gradient of acetonitrile in water (5% for 5 min, then 5-50% over 55 min, flow rate 1 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified BCN-GGRLVAYEGWV (0.4 mg, 17%). MS (MALDI+): m/z calcd for [C<sub>67</sub>H<sub>96</sub>N<sub>15</sub>O<sub>17</sub>]<sup>+</sup> [M + H]<sup>+</sup>: 1382.710, found: 1383.019 (Appendix 3).

#### Synthesis of BCN-c(RGDfK)

(1R,8S,9r)-Bicyclo[6.1.0]non-4-yn-9ylmethyl (4-nitrophenyl) carbonate (*exo-2*) was synthesized as previously described [107]. Cyclo (-Arg-Gly-Asp-D-Phe-Lys-) was synthesized manually by Yoon Hyeun Oum based on published procedures [141]. To a solution of c(-RGDfK-) (5.0 mg, 6.0 µmol) in DMF (500 µL) was added triethylamine (10 µL) and *exo-2* (7.3 mg, 20 µmol). The reaction was rotated at room temperature for 8 hours (Scheme 3-3). The resulting BCNc(RGDfK) was purified by reversed-phase HPLC using a Varian C18 analytical column (250 x 4.6 mm, part No. R0086200C5), eluting with a gradient of acetonitrile in water (15-50% over 35 min, flow rate 1 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified BCN-c(RGDfK) (1.9 mg, 40%). MS (ESI+): m/z calcd for  $[C_{38}H_{54}N_9O_9]^+$   $[M + H]^+$ : 780.404, found: 780.3 (Appendix 4).

#### Synthesis of BCN-PEG-glutamate urea

To a solution of 4-pentynoic acid (196.2 mg, 2mmol) and N-hydroxysuccinimide (230.2 mg, 2 mmol) in DCM (20 mL) at 0 °C was added N,N'-Dicyclohexylcarbodiimide (495.2 mg, 2.4 mmol). The resulting mixture was stirred at room temperature for 5 hours. The DCU precipitate was removed by filtration, and to the filtrate was added Trt-NH-(PEG)<sub>2</sub>-NH<sub>2</sub> (105 mg, 0.227 mmol, Novabiochem, Cat. No. 01-63-0143). The reaction mixture was stirred for 13 hours, and filtered again. The product in the filtrate was purified by column chromatography on silica gel (EtOAc 100%). R<sub>f</sub> = 0.2 (EtOAc 100%). All purified alkyne-PEG-NH-trt was dissolved in 20 mL dry DCM, and to the solution was added 1 mL TFA. The solution was then stirred at room temperature for 3 hours to remove the trityl group, and the crude product was concentrated over high vacuum (Scheme 3-4).

(S)-di-tertbutyl 2-(3-((S)-6-azido-1-tert-butoxy-1-oxohexan-2-yl)ureido)pentanedioate (**3**) was synthesized by Paul Gelfand following published procedures [147]. A mixture of **3** (10.5 mg, 20  $\mu$ mol) and alkyne-PEG-NH<sub>2</sub> (crude, 15.8 mg) was dissolved in a mixture of *tert*-butanol (500  $\mu$ L) and water (130  $\mu$ L) in a 1.7 mL eppendorf tube. To this solution was added 50 mM sodium ascorbate (200  $\mu$ L, 10  $\mu$ mol), 50 mM copper (II) sulfate (20  $\mu$ L, 1  $\mu$ mol), 10 mM TBTA (100  $\mu$ L, 1  $\mu$ mol) in DMSO, and 1 M Tris buffer (pH 7.5, 50  $\mu$ L, 50  $\mu$ mol). The tube was then flushed with Ar and rotated at room temperature for 12 hours (Scheme 3-4). The resulting compound named *t*-butyl-glutamate urea-NH<sub>2</sub> was purified by reversed-phase HPLC using a Phenomenex Jupiter C12 semi-preparative column (250 x 10 mm, part No. 00G-4396-N0), eluting with a gradient of

acetonitrile in water (10-70% over 60 min, flow rate 4 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified *t*-butyl-glutamate urea-NH<sub>2</sub> (12.7 mg, 78%). MS (ESI+): m/z calcd for  $[C_{39}H_{72}N_7O_{11}]^+$  [M + H]<sup>+</sup>: 814.528, found: 814.5.

Purified t-butyl-glutamate urea-NH<sub>2</sub> (5.4 mg, 6.6 µmol) was treated by a mixture of 300 µL DCM and 700 µL TFA for 3 hours to remove the t-buty protecting groups. Solvent was then removed by vacuum. To a solution of the resulting crude glutamate urea-PEG-NH<sub>2</sub> in DMSO (400 µL) was added triethylamine (10 µL) and *endo-2* (6.3 mg, 20 µmol). Reaction was allowed to proceed at room temperature for 3 hours (Scheme 3-4). The product was purified by reversed-phase HPLC using a Varian C18 analytical column (250 x 4.6 mm, part No. R0086200C5), eluting with a gradient of acetonitrile in water (5% for 5 min, then 5-50% over 55 min, flow rate 1 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified BCN-PEG-glutamate urea (1.6 mg, 30%). MS (ESI+): *m/z* calcd for  $[C_{38}H_{60}N_7O_{13}]^+$  [M + H]<sup>+</sup>: 822.425, found: 823.1 (Appendix 5).

#### Synthesis of BCN-PEG-folate

Crude NH<sub>2</sub>-PEG-folate was synthesized by Partha S. Banerjee. Folic acid was activated by NHS and DCC in dry DMSO. Trt-NH-(PEG)<sub>2</sub>-NH<sub>2</sub> was added to the solution and stirred overnight, followed by filtration. The reaction was acidified by 1% TFA to remove protecting trityl group, and then vacuum concentrated to remove TFA.

The crude  $NH_2$ -PEG-folate was purified by reversed-phase HPLC using a Phenomenex Jupiter C12 semi-preparative column (250 x 10 mm, part No. 00G-4396-N0), eluting with a gradient of acetonitrile in water (10-18% over 24 min, flow rate 4 ml/min). TFA (0.1%) was

included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified NH<sub>2</sub>-PEG-folate (6.0 mg, 9.4  $\mu$ mol). MS (ESI+): *m/z* calcd for [C<sub>29</sub>H<sub>42</sub>N<sub>9</sub>O<sub>8</sub>]<sup>+</sup> [M + H]<sup>+</sup>: 644.315, found: 644.3; and *m/z* calcd for [C<sub>29</sub>H<sub>43</sub>N<sub>9</sub>O<sub>8</sub>]<sup>2+</sup> [M + 2H]<sup>2+</sup>: 322.661, found: 322.6.

To a solution of *exo-2* (3.9 mg, 12.4 µmol) in dry DMSO (200 µL), was added TEA (7 µL) and purified NH<sub>2</sub>-PEG-folate (2.5 mg, 3.9 µmol). The reaction was agitated by rotation at room temperature for 15 hours. The resulting product was purified by reversed-phase HPLC using a Varian C18 analytical column (250 x 4.6 mm, part No. R0086200C5), eluting with a gradient of acetonitrile in water (10-50% over 40 min, flow rate 1 ml/min). TFA (0.1%) was included in the mobile phase as the ion-pairing reagent. Subsequent removal of solvent by vacuum afforded purified BCN-PEG-folate (0.5 mg, 16%). MS (ESI+): m/z calcd for  $[C_{40}H_{54}N_9O_{10}]^+$  [M + H]<sup>+</sup>: 820.399, found: 821.3; and m/z calcd for  $[C_{40}H_{55}N_9O_{10}]^{2+}$  [M + 2H]<sup>2+</sup>: 410.703, found: 410.7 (Appendix 6).

#### **Cells and viruses**

All cell lines used in this work were grown at 37 °C in a 5% CO<sub>2</sub> environment in a humidified incubator. HEK 293T cells (gift of Dr. Soosan Ghazizadeh, Stony Brook University) were maintained at low passage in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS), 100 U/ml penicillin and 100  $\mu$ g/ml streptomycin. To produce VSV-G glycoprotein pseudotyped lentivirus, HEK 293T cells were seeded into 10-cm tissue culture dishes at the density of 3.3 x 10<sup>6</sup> cells per dish. Twenty four hours later, cells in each dish were transfected with 10  $\mu$ g of pMD.G (VSV-G), 20  $\mu$ g of pCMV $\Delta$ R8.91, and 20  $\mu$ g of pHR.EF1 $\alpha$ .GFP.WPRE.sin (all three plasmids were gift of Dr. Soosan Ghazizadeh, Stony Brook University) using standard

calcium phosphate transfection [119]. For production of SiaNAz labeled virus, Ac<sub>4</sub>ManNAz in 50 mM stock solution was added into culture medium to the final concentration of 75  $\mu$ M 7 hour after initiation of transfection. 16-18 hours after beginning of transfection, medium was removed by aspiration and cells were washed once with fresh medium before 7 ml of complete DMEM was added per dish. Again, for the production of SiaNAz labeled virus, 75  $\mu$ M of Ac<sub>4</sub>ManNAz was included in culture medium. Virus containing medium was collected after another 48 hours and filtered through 0.45  $\mu$ m sterile filter (VWR, Cat. No. 28145-481) to remove cell debris. Resulting virus samples was further concentrated by ultracentrifugation using a SW41 rotor for 2 hours 20 min at 25,000 rpm (82,700 g). The virus was stored at – 80 °C until use [114].

Sindbis virus glycoprotein pseudotyped lentivirus was produced by the same procedure, only the plasmid pMD.G (VSV-G) was replaced with the plasmid 2.2 1L1L (mutated Sindbis virus glycoprotein, gift of Dr. Irvin Chen, UCLA) [82], or the plasmid pSINmu (mutated Sindbis virus glycoprotein, gift of Dr. Pin Wang, USC) [95].

HUVEC cells were maintained at low passage in Endothelial Cell Growth Complete Medium (Lonza, Cat. No. CC-3024). U-87 MG cells were maintained at low passage in Eagle's Minimum Essential Medium (EMEM) with 10% fetal bovine serum (FBS), 100 U/ml penicillin and 100 µg/ml streptomycin. LNCaP, ID8 and 4T1 cells were maintained at low passage in RPMI-1640 Medium with 10% fetal bovine serum (FBS), 100 U/ml penicillin and 100 µg/ml streptomycin.

#### p24 ELISA assay

The p24 value of lentiviral vectors was obtained by an ELISA assay using the Lenti-X<sup>TM</sup> p24 Rapid Titer Kit (Clontech, Cat. No. 632200), following the instruction provided in the kit. For

standard curve, a series of 2 fold dilutions of p24 protein (0 pg/ml, 12.5 pg/ml, 25 pg/ml, 50 pg/ml, 100 pg/ml, 200 pg/ml) were made with complete DMEM. To fit into the concentration range of the standards, unconcentrated virus samples were diluted 10<sup>3</sup> fold, and virus samples concentrated by ultracentrifugation were diluted 10<sup>5</sup> fold. Each dilution of standard was assayed in duplicate, and each virus sample was assayed in triplicate. Assay was read on a PerkinElmer VICTOR<sup>TM</sup> X5 Multilabel Plate Reader.

#### Western blotting

The BCN-PEG-FLAG peptide was used to selectively modify SiaNAz labeled lentiviruses. In each reaction, control or experimental, 16 ng p24 value of virus sample was suspended in 40  $\mu$ l G1 reaction buffer (50 mM sodium citrate, pH 6.0, 10X buffer supplied with neuraminidase) and incubated in the presence or absence of 100 U of neuraminidase (New England BioLabs, Cat. No. P0720) at 37 °C for 1 hour. Each reaction was then run through a size-exclusion spin column (Princeton Separations, Cat. No. CS-901) equilibrated in PBS. BCN-FLAG at a final concentration of 300  $\mu$ M was added into the corresponding reactions, and the total volume of each reaction was adjusted to 50  $\mu$ l by PBS. The reaction mixtures were then incubated in room temperature for 6 hours before they were analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by western blotting. Monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592) was used to detect FLAG peptide labeled proteins.

#### **Targeting assays**

In the VEGFR2,  $\alpha_{v}\beta_{3}$  integrin and PSMA targeting assays, 90 ng p24 value of concentrated 2.2 1L1L pseudotyped lentivirus was suspended in DMEM containing the corresponding BCN conjugated targeting reagent at a final concentration of 600  $\mu$ M (stock solution: 10 mM in DMSO).

The reaction mixture therefore contained 6% DMSO originating from the stock solutions of the ligands. In negative controls, equal amount of DMSO instead of ligand stock was included in the reaction. Each reaction mixture was then incubated in room temperature for 6 hours, desalted by a size-exclusion spin column (Princeton Separations, Cat. No. CS-901) and used immediately in transduction. One-third of each reaction was used for infection of cells in one well of a 6-well plate. Cells were seeded one day prior to transduction and counted right before infection. For VEGFR targeting, 3 x 10<sup>4</sup> HUVEC cells per well were infected with 30 ng p24 value of BCN-GGATWLPPR labeled virus or corresponding negative controls for 2 hours. As a control, 300 µM of GGATWLPPR peptide was added into three wells of cells and incubated for 1 hour at 37 °C before infection to block cell surface receptors. For integrin  $\alpha_{v}\beta_{3}$  targeting, 6 x 10<sup>4</sup> U-87 MG cells per well were infected with 30 ng p24 value of BCN-c(RGDfK) labeled virus or corresponding negative controls for 3 hours. As a control, 200 µM of c(RGDfK) peptide was added into three wells of cells and incubated for 1 hour at 37 °C before infection to block cell surface receptors. For PSMA targeting, 6 x 10<sup>4</sup> LNCaP cells per well were infected with 30 ng p24 value of BCN-PEG-glutamate urea labeled virus or corresponding negative controls for 3 hours. As a control, 300 µM of NH<sub>2</sub>-PEG-glutamate-urea was added into three wells of cells and incubated for 1 hour at 37 °C before infection to block cell surface receptors.

In the Tie2 and FR targeting assays, the p24 titer of the stock viruses were not available. Therefore the quantity of the virus used in each reaction was determined in volume instead of p24 value.

In the Tie2 targeting assay, HUVEC cells were seeded in 6-well plates at a density of  $1.2 \times 10^4$  cells/well one day prior to transduction. 29 µL of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature

for 6 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of HUVEC cells ( $3.6 \times 10^4$  cells/well).

In the FR targeting assays using BCN-PEG-folate, ID8 cells were cultivated in (-) folate media for two weeks, and seeded in 6-well plates at a density of  $4 \times 10^4$  cells/well one day prior to transduction. 32 µL of concentrated 2.2 1L1L pseudotyped lentivirus was used in each reaction, experimental or control, and incubated at room temperature for 5 hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 2-hour infection of three wells of ID8 cells ( $9.4 \times 10^4$  cells/well). As a control, 10 µM of folic acid was added into three wells, and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors.

In the FR targeting assays using alkyne-PEG-folate, 4T1 cells were cultivated in (-) folate media for two weeks, and then seeded in 6-well plates at a density of  $5 \times 10^4$  cells/well one day prior to transduction. 50 µL of concentrated SINmu pseudotyped lentivirus was suspended in a reaction mixture (total vol. 100 µL) containing tris (100 mM, pH 8.0), bathophenanthroline disulfonic acid disodium salt (3 mM) and alkyne-PEG-folate (300 µM or 0 µM). Each reaction mixture was kept in a deoxygenated glove bag for 12 hours to ensure full exclusion of oxygen before CuBr (1 mM) was added, followed by incubation at room temperature for another 6 hours in deoxygenated atmosphere. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of 4T1 cells ( $5 \times 10^4$  cells/well).

In the FR targeting assays using phosphine-PEG-folate, ID8 cells were cultivated in (-) folate media for two weeks, and seeded in 6-well plates at a density of  $3.5 \times 10^4$  cells/well one day prior to transduction. 50 µL of concentrated SINmu pseudotyped lentivirus was used in each reaction with phosphine-PEG-folate. Reaction mixtures were incubated at room temperature for 5

hours. Each sample was then desalted by a size-exclusion spin column, and used immediately in the 3 hours infection of three wells of ID8 cells ( $6 \times 10^4$  cells/well). As a control, 20  $\mu$ M of folic acid was added into six wells, three for SiaNAz (+) viruses and three for SiaNAz (-), and cells were incubated for 1 hour at 37 °C before infection to block cell surface receptors.

In all assays, transduced cells were harvested 2 days after infection and analyzed by flow cytometry detecting expression of the GFP transgene.

# Chapter 4. Surface modification of adeno-associated virus type 2 vectors via metabolically introduced azidohomoalanine

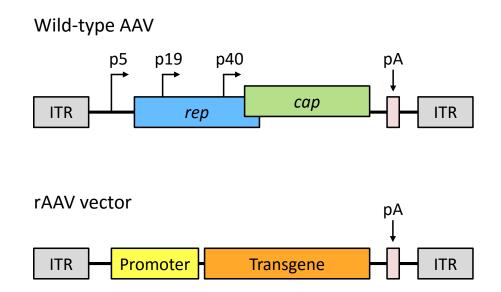
#### **4.1 Introduction**

#### 4.1.1 The biology of AAV

Adeno-associated virus (AAV) is a small, nonenveloped virus that contains a single stranded DNA genome of approximately 4.7 kb [188-190]. It is a member of the family *Parvoviridae* and is designated in the genus *Dependovirus* due to the requirement of a helper virus such as adenovirus or herpesvirus for productive infection [191-193]. In the absence of helper viruses or helper functions, the viral DNA can be site-specifically integrated into the host chromosomal genome to establish a latent infection [194]. Numerous serotypes of AAV with differences in cellular tropism have been identified, among which the AAV serotype 2 (AAV-2) is the best characterized and most commonly used to construct recombinant AAV (rAAV) vectors [195-198].

The AAV genome contains two open reading frames (ORF), *rep* and *cap*, flanked by inverted terminal repeats (ITRs) [189] (Figure 4-1). The ITRs are essential for viral genome replication, integration, packaging, transcription and negative regulation under nonpermissive conditions [199-202]. The *rep* gene encodes four regulatory proteins called Rep78, Rep68, Rep52 and Rep40, which are involved in viral DNA replication, transcriptional control, site-specific integration processes and encapsidation of AAV genome [203-206]. Expression of Rep78 and Rep68 is driven by the promoter P5, whereas expression of Rep52 and Rep40 is driven by the promoter P19. The *cap* gene produces three viral capsid proteins (VP1, VP2, and VP3) using the

P40 promoter [196, 197]. These capsid proteins differ from each other at the N terminus as a result of alternative splicing and the use of an atypical start codon. The unspliced transcript produces VP1 (87 kDa), the largest of the capsid proteins. The spliced transcript produces VP2 (72 kDa) using a non-conventional start codon (ACG), whereas VP3 (62 kDa) is produced using a downstream conventional codon (AUG). VP1, VP2 and VP3 assemble in a molar ratio of 1:1:10 to form a viral capsid with 60 subunits arranged into an icosahedral structure [207].



#### Figure 4-1. Genomic organization of wild type AAV and rAAV vectors.

The wild-type AAV genome consists of the viral genes *rep* and *cap* coding for the four Rep proteins (Rep78, Rep68, Rep52 and Rep40) and three capsid proteins (VP1, VP2 and VP3), the AAV promoters (p5, p19 and p40), the inverted terminal repeats (ITRs) and the polyadenylation site (pA). The p5 and p19 promoters regulate the transcription of the Rep proteins, whereas p40 controls expression of the VPs. In rAAV vectors, the viral *rep* and *cap* genes are replaced by a transgene cassette carrying the transgene and the respective eukaryotic promoter.

#### 4.1.2 AAV-based gene vectors

AAV represents one of the most promising viral vector systems for gene therapy due to its many advantages: non-pathogenicity, relatively low immunogenicity, the ability to infect both dividing and non-dividing cells, persistent transgene expression, potential site-specific integration without known side effects and many available serotypes [195-198, 208] (Table 4-1). AAV vectors have become increasingly common in clinical trials for gene therapy of many diseases such as cystic fibrosis [209], hemophilia B [210], muscular dystrophy [211] and Parkinson's disease [212].

Advantages	Disadvantages
Transduce non-dividing and dividing cells	Limited transgene capacity (~5Kbp)
Parental virus apathogenic	Site-specific integration lost following REP deletion
Wide cellular tropism	
Long-term transgene expression	
Potential site-specific integration	
Low immunogenicity	

Table 4-1. Advantages and disadvantages of adeno-associated viral vectors

Modified from reference [19].

In recombinant AAV (rAAV) vectors, the *rep* and *cap* ORFs in the wild-type viral genome are replaced by the transgene of interest and its eukaryotic promoter, leaving only the ITRs, which are the solely required *cis* elements for vector genome replication and packaging into viral particles [195-198] (Figure 4-1). The excised Rep and VP proteins can be provided in *trans* by another plasmid, which does not carry the ITR sequences [213]. Although wild-type AAV is capable of site-specific integration into the host genome on chromosome 19, the viral Rep proteins required for this integration are normally absent in current rAAV vectors. Thus, common rAAV vectors persist primarily as extrachromosomal elements (episomes), although they can also integrate into the host cell's genome at a low rate semi-randomly [214-217].

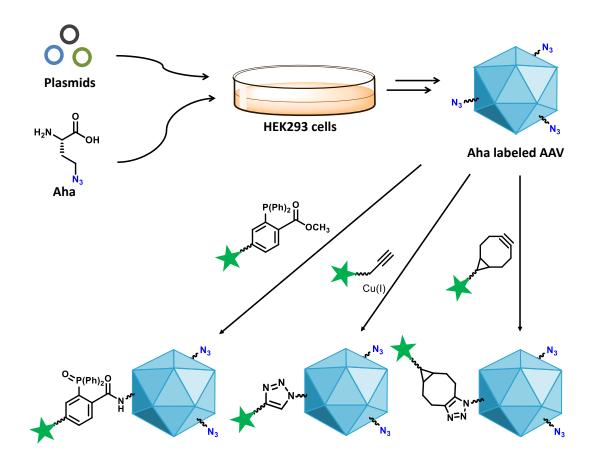
#### 4.1.3 Engineering the AAV capsid

Despite many advantageous properties, AAV-based vectors still have problems that need to be addressed to improve the efficiency, specificity and thereby the safety for their utility in both laboratories and clinics. For example, *in vivo* application of rAAV vectors requires targeted gene delivery to specific cell types which is often limited by the virus's natural tropism. Many efforts have been made to manipulate capsid proteins to alter tropism and enhance transduction efficiency. Beside pseudotyping with the capsid of another serotype and the use of chimeric and mosaic capsids [218-222], various other rational and combinatorial targeting strategies have been developed, such as bridging with bi-specific adaptors [223, 224], chemical modification on surface lysine residues [224], and genetic introduction of a targeting peptide/protein [225-228] or an adaptor to recruit targeting ligands (e.g. an IgG binding domain to recruit antibodies) [229]. Although pseudotyping with capsids of novel AAV serotypes has extended rAAV delivery to many previously refractory cell types, it is unlikely to have a serotype with specificity toward a single cell type or tissue of interest [195]. The ratios between two serotypes in a mosaic capsid may vary from one production to another, and the mosaic capsid can potentially be inactivated by neutralizing antibodies directed against either serotype [218]. Although the bridging adaptor approach demonstrates wide substrate scope, it may require multistep procedures and the *in vivo* stability of some complexes is debatable [223, 224, 230]. Genetic modification of the AAV capsid can result in loss of virion integrity or function, and the type of motifs that can be introduced is limited. Chemical modification through the primary amino groups on lysine residues also allows access to a wide variety of effector moieties, however, efficiency is relatively low, specificity is problematic and the chemistry will be adversely affected by the presence of other nucleophiles in the sample. As a result, novel methods of viral surface functionalization that are flexible, efficient,

broadly applicable, and highly specific could aid in the development of superior AAV-based vectors.

## 4.1.4 Chemoselective modification of AAV vector surface via the metabolically introduced unnatural amino acid azidohomoalanine

The unnatural amino acid azidohomoalanine (Aha) can be metabolically incorporated into proteins in mammalian cells as a methionine (Met) surrogate through translational competition [30, 231]. In this work it was hypothesized that chemoselective modification of AAV vectors could be achieved through metabolic incorporation of Aha into the viral capsid. Two surface accessible methionine sites can be found on each AAV capsid protein when modeled using the program Visual Molecular Dynamics by Paul Gelfand. Residue-specific introduction of Aha into AAV capsid proteins can potentially be achieved by including Aha in methionine-depleted cell culture medium during virus production. Surface accessible Aha residues can then be selectively modified through bioorthogonal reactions such as CuAAC, SPAAC and Staudinger ligation to install various effector motifs including affinity ligands for targeted transduction (Figure 4-2).



### Figure 4-2. Metabolic incorporation of Aha into AAV capsid and subsequent chemoselective modification.

Residue-specific introduction of Aha into AAV capsid proteins by addition of Aha in methioninedepleted cell culture medium during virus production. Surface accessible Aha residues can then be selectively modified through bioorthogonal reactions such as Staudinger ligation, CuAAC, and SPAAC to install various effector molecules.

#### 4.2 Results

#### 4.2.1 Effect of Aha on viral production and transduction efficiency

To assess the effect of Aha on viral particle production and transduction efficiency, HEK293 cells were incubated for 10 hours with reconstituted DMEM containing either methionine (0.2 mM) or Aha (4 mM, 8 mM, 16 mM and 32 mM) during virus production. The resulting viruses, crude (cell lysates) or concentrated by (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> fractional precipitation, were analyzed by quantitative real time PCR assays for the viral particle titer, and infection assays for the functional titer. With increasing concentration of Aha in the cell medium, viral particle titer and transductional titer both exhibited gradual reduction (Figure 4-3). Surprisingly, virus samples produced in the presence of 4 mM and 8 mM Aha scored higher in both assays compared to viruses produced without methionine substitution. This is possibly a result of experimental inconsistency caused by handling big numbers of samples with lengthy procedures during harvesting.

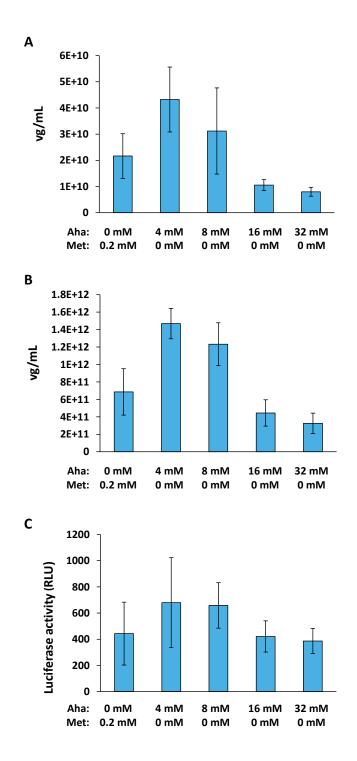


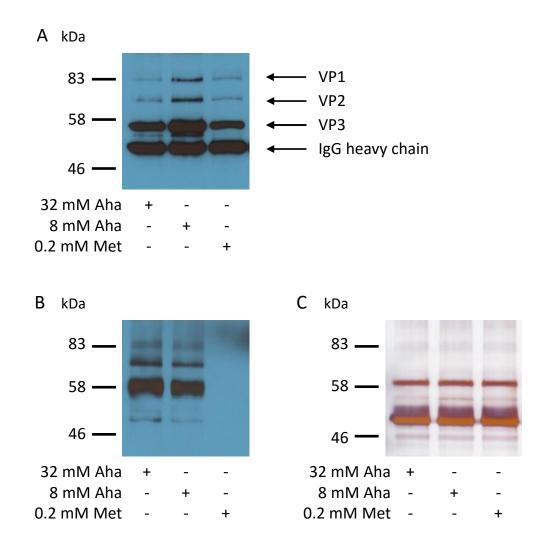
Figure 4-3. Effect of Aha on viral production and transduction efficiency.

Transfected HEK 293 cells were incubated in media containing methionine (0.2 mM) or Aha (4 mM, 8 mM, 16 mM and 32 mM) for 10 hours during virus production. Three independent productions were made for each condition. (A) Virus containing cell lysates and (B) virus samples concentrated by  $(NH_4)_2SO_4$  fractional precipitation were quantified by qPCR after treatment with DNase I and proteinase K. (C) 20 µL of each concentrated virus sample was used in a 3 hour

transduction of 2 x  $10^4$  HeLa cells. 24 hours after transduction, cells were analyzed using the Bright-Glo<sup>TM</sup> Luciferase Assay System. Background signal by uninfected HeLa cells was subtracted from each column. For each Aha concentration the average value of all three independent productions was reported. Error bars indicate standard deviation.

#### 4.2.2 Aha incorporation onto viral surface

To confirm Aha incorporation onto viral surface, concentrated AAV samples produced in the presence of 0 mM, 8 mM or 32 mM Aha were modified by CuAAC reactions with alkyne-PEG-FLAG. AAV capsid proteins were then immunoprecipitated by anti-VP1, -VP2, and -VP3 monoclonal antibody (B1) and Protein G PLUS-Agarose. After elution by boiling directly in SDS-PAGE loading buffer, capsid proteins were separated by gel and detected by silver staining, anti-FLAG tag western blotting and anti-AAV VP western blotting. As shown in Figure 4-4, anti-FLAG tag western blotting exhibited the same pattern of bands as anti-AAV VP western blotting, with slightly shifted molecular weight possibly due to addition of the charged FLAG tag to the VPs. This result suggested successful incorporation of Aha onto viral capsids. Yet, because the silver staining revealed unsatisfying purity of the VP samples, the identities of the proteins detected on the anti-FLAG tag western blotting were rendered inconclusive. AAV samples with enhanced purity may be needed for reliable confirmation of Aha incorporation.



#### Figure 4-4. Confirming Aha incorporation onto AAV capsid.

Concentrated AAV samples produced in the presence of 0 mM, 8 mM or 32 mM Aha were modified by CuAAC reactions with alkyne-PEG-FLAG. AAV capsid proteins were then immunoprecipitated by anti-VP1, -VP2, and -VP3 monoclonal antibody (B1) and Protein G PLUS-Agarose. Proteins in each elution were analyzed by SDS-PAGE followed by detection using (A) anti-AAV VP western blot, (B) anti-FLAG tag western blot and (C) silver staining.

#### **4.3 Conclusion and future direction**

10 hour incubation of AAV producing HEK 293 cells with media containing Aha in the concentration rage from 4 mM to 32 mM did not cause significant negative impact on viral particle production, although viral titer gradually decrease with rising amount of Aha. Despite the drop in

viral particle count, the transduction function of the vectors did not seem to be affected. Confirmation of Aha incorporation onto viral capsid under these conditions was hindered by the presence of contaminating cellular proteins, therefore virus samples with higher purity is needed. To this end, an alternative production protocol featuring larger production scale and improved prepurification by PEG 8000 precipitation was used to generate cleaner AAV samples. Other purification methods such as enrichment by heparin-agarose are also available. Enhanced purity will facilitate more reliable assessment of Aha incorporation by immunoblotting and mass spectrometry.

Azide groups displayed on the AAV capsid facilitate a wide variety of applications including expanded targeting, immune evasion, and visualization/tracking of viral particles by fluorescence/MRI/PET. Modification via azido sugar introduction can be widely applied to budded viruses. Many ligands capable of selective modification of azide have been developed for the lentiviral and adenoviral platform in our lab, which can be easily applied to the Aha labeled AAV vectors.

#### 4.4 Materials and methods

#### **Cells and viruses**

HEK 293 cells were maintained at low passage in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS), 100 U/ml penicillin and 100  $\mu$ g/ml streptomycin at 37 °C in a 5% CO<sub>2</sub> environment in a humidified incubator. To produce AAV-2 vectors HEK 293 cells were seeded into 15-cm tissue culture dishes at the density of 1.3 x 10<sup>7</sup> cells per dish. Twenty two hours later, the medium was replaced with 25 ml per dish of complete IMDM/10% FBS. After another 3 hours of incubation, each dish of cells were transfected with 22.5  $\mu$ g of pXX6-80

(adenoviral helper plasmid), 7.5 µg of pTR-CMV-LUC (rAAV vector plasmid containing the luciferase gene), and 7.5 µg of pXR2 (AAV-2 helper plasmid) using standard calcium phosphate transfection. 14 hours after initiation of transfection, cells were washed twice with 10 ml of methionine-depleted DMEM per dish, and medium was replaced with 13 ml of reconstituted DMEM containing either methionine (0.2 mM) or Aha (4 mM, 8 mM, 16 mM or 32 mM). Medium was again replaced with complete DMEM containing 2% FBS 24 hours post-transfection, and viruses were harvested 65 hours post-transfection by lysis of cells using freeze/thaw and sonication. Three independent productions were made for each condition. Virus containing cell lysates were either used directly in quantification assays or concentrated and partially purified by (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> fractional precipitation [232].

#### Quantitative real time PCR assay

Virus containing cell lysates (crude/dilute virus) and concentrated virus samples were quantified by quantitative real time PCR (qPCR) after treatment with DNase I and proteinase K. A 200 bp fragment of the CMV promoter sequence was amplified by PCR. Increase of the PCR product was monitored by SYBR Green. Primers: forward 5'-GGGAACTTT-CCTACTTGGCA-3', reverse 5'-GGCGGAGTTGTTACGACAT-3' [233]. Conditions used for the reactions were as follow: 1 cycle at 95  $\degree$  for 15 min; 45 cycles at 94  $\degree$  for 10 s, 55  $\degree$  for 20 s, and 72  $\degree$  for 30 s.

#### **Transduction assay**

20  $\mu$ L of each concentrated virus sample was used in a 3 hour transduction of 2 x 10<sup>4</sup> HeLa cells. 24 hours after transduction, cells were analyzed using the Bright-Glo<sup>TM</sup> Luciferase Assay System. Uninfected HeLa cells were used as controls to subtracted background signal from each readout.

#### Confirming Aha incorporation onto viral surface using CuAAC and western blotting

Concentrated AAV samples produced in the presence of 0 mM, 8 mM or 32 mM Aha were modified by CuAAC reactions with alkyne-FLAG. Each virus sample was suspended in a reaction mixture containing tris (100mM, pH 8.0), bathophenanthroline disulfonic acid disodium salt (final conc. 1 mM, MP Biomedicals, Cat. No. 150112) and alkyne-FLAG (final conc. 600 µM). Each reaction mixture was kept in a deoxygenated glove bag for 12 hours to ensure full exclusion of oxygen, before CuBr (final conc. 1 mM, Alfa Aecar, Cat. No. 40752, 50 mM stock in DMSO freshly made before reaction) was added. The reaction mixtures were then incubated for another 12 hours at room temperature in deoxygenated atmosphere. AAV capsid proteins in each reaction sample were then immunoprecipitated by anti-VP1, -VP2, and -VP3 monoclonal antibody (B1) (Acris, BM5015) and Protein G PLUS-Agarose. After elution by boiling in SDS-PAGE loading buffer, capsid proteins were analyzed by electrophoresis on 10% SDS-polyacrylamide gels followed by silver staining, and western blotting with monoclonal ANTI-FLAG® M2-Peroxidase (HRP) antibody (Sigma-Aldrich, Cat. No. A8592) to detect FLAG tag labeled proteins, or with anti-VP1, -VP2, and -VP3 monoclonal antibody (B1) to detect AAV VP proteins.

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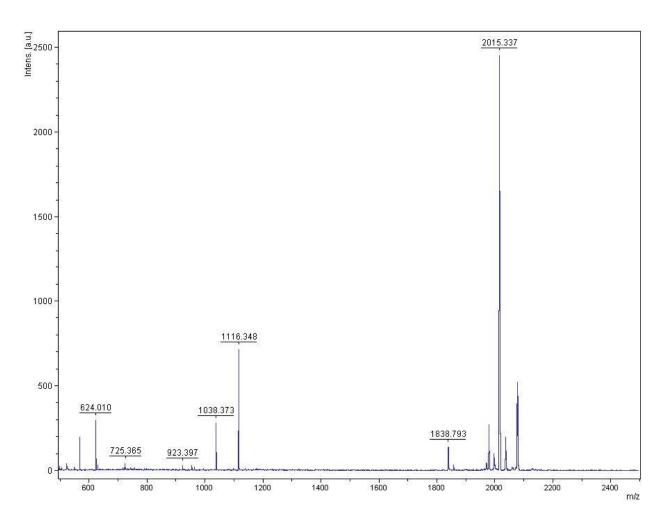
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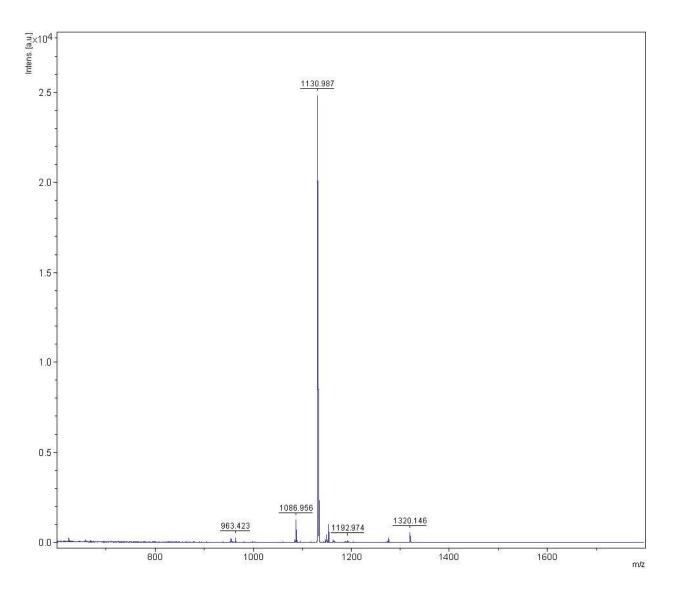
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#### Appendix

Appendix 1. Mass Spectrum (MALDI+) of BCN-PEG-FLAG	94
Appendix 2. Mass spectrum (MALDI+) of BCN-GGATWLPPR.	95
Appendix 3. Mass spectrum (MALDI+) of BCN-GGRLVAYEGWV.	96
Appendix 4. Mass spectrum (ESI+) of BCN-c(RGDfK)	97
Appendix 5. Mass spectrum (ESI+) of BCN-PEG-glutamate urea.	98
Appendix 6. Mass spectrum (ESI+) of BCN-PEG-folate	.99

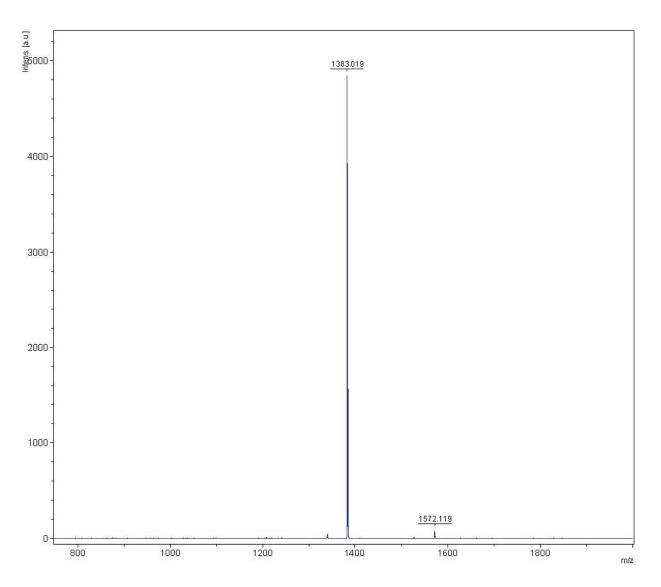


Appendix 1. Mass Spectrum (MALDI+) of BCN-PEG-FLAG. m/z calcd for  $[C_{87}H_{136}N_{15}O_{37}S]^+ [M + H]^+$ : 2014.894, found: 2015.337.



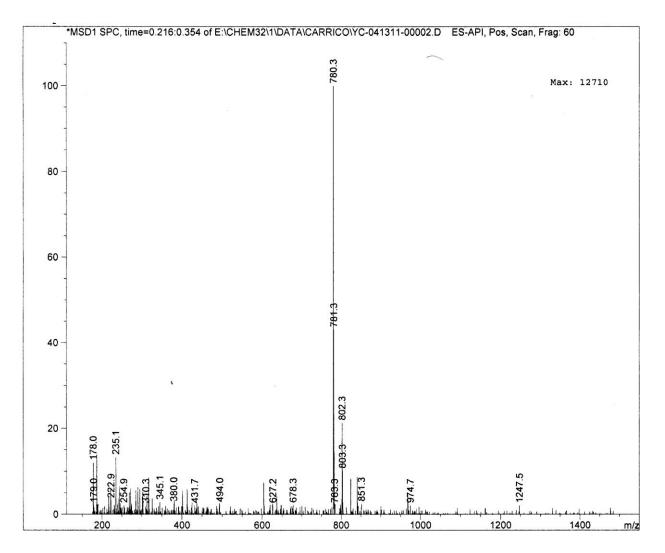
Appendix 2. Mass spectrum (MALDI+) of BCN-GGATWLPPR.

m/z calcd for  $[C_{55}H_{80}N_{13}O_{13}]^+$   $[M + H]^+$ : 1130.600, found: 1130.987.



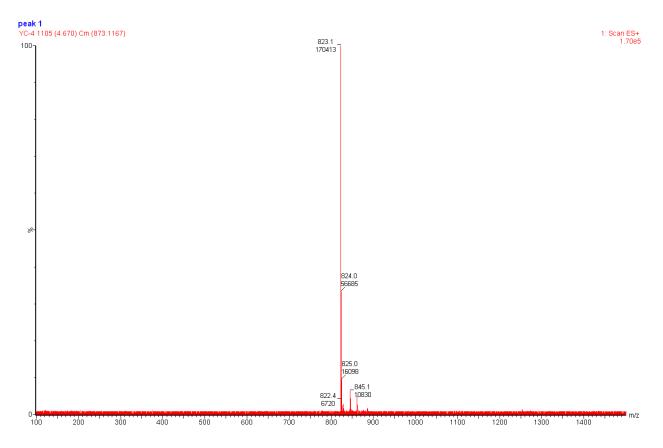
Appendix 3. Mass spectrum (MALDI+) of BCN-GGRLVAYEGWV.

m/z calcd for  $[C_{67}H_{96}N_{15}O_{17}]^+$   $[M + H]^+$ : 1382.710, found: 1383.019.



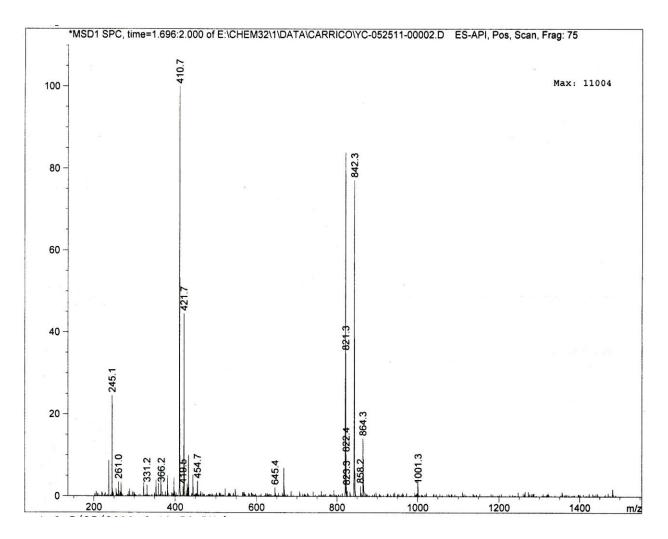
Appendix 4. Mass spectrum (ESI+) of BCN-c(RGDfK).

m/z calcd for  $[C_{38}H_{54}N_9O_9]^+$   $[M + H]^+$ : 780.404, found: 780.3.



Appendix 5. Mass spectrum (ESI+) of BCN-PEG-glutamate urea.

m/z calcd for  $[C_{38}H_{60}N_7O_{13}]^+$   $[M + H]^+$ : 822.425, found: 823.1.



#### Appendix 6. Mass spectrum (ESI+) of BCN-PEG-folate.

m/z calcd for  $[C_{40}H_{54}N_9O_{10}]^+$   $[M + H]^+$ : 820.399, found: 821.3; and m/z calcd for  $[C_{40}H_{55}N_9O_{10}]^{2+}$   $[M + 2H]^{2+}$ : 410.703, found: 410.7.